

## 10. ELECTROWEAK MODEL AND CONSTRAINTS ON NEW PHYSICS

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### 10.1. Introduction

The standard electroweak model (SM) is based on the gauge group [1]  $SU(2) \times U(1)$ , with gauge bosons  $W_\mu^i$ ,  $i = 1, 2, 3$ , and  $B_\mu$  for the  $SU(2)$  and  $U(1)$  factors, respectively, and the corresponding gauge coupling constants  $g$  and  $g'$ . The left-handed fermion fields  $\psi_i = \begin{pmatrix} \nu_i \\ \ell_i^- \end{pmatrix}$  and  $\begin{pmatrix} u_i \\ d_i' \end{pmatrix}$  of the  $i^{\text{th}}$  fermion family transform as doublets under  $SU(2)$ , where  $d_i' \equiv \sum_j V_{ij} d_j$ , and  $V$  is the Cabibbo-Kobayashi-Maskawa mixing matrix. (Constraints on  $V$  and tests of universality are discussed in Ref. 2 and in the Section on “The CKM Quark-Mixing Matrix.” The extension of the formalism to allow an analogous leptonic mixing matrix is discussed in the Section on “Neutrino Mass, Mixing, and Flavor Change.”) The right-handed fields are  $SU(2)$  singlets. In the minimal model there are three fermion families and a single complex Higgs doublet  $\phi \equiv \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}$ .

After spontaneous symmetry breaking the Lagrangian for the fermion fields is

$$\begin{aligned}
 \mathcal{L}_F = & \sum_i \bar{\psi}_i \left( i \not{\partial} - m_i - \frac{gm_i H}{2M_W} \right) \psi_i \\
 & - \frac{g}{2\sqrt{2}} \sum_i \bar{\psi}_i \gamma^\mu (1 - \gamma^5) (T^+ W_\mu^+ + T^- W_\mu^-) \psi_i \\
 & - e \sum_i q_i \bar{\psi}_i \gamma^\mu \psi_i A_\mu \\
 & - \frac{g}{2 \cos \theta_W} \sum_i \bar{\psi}_i \gamma^\mu (g_V^i - g_A^i \gamma^5) \psi_i Z_\mu .
 \end{aligned} \tag{10.1}$$

$\theta_W \equiv \tan^{-1}(g'/g)$  is the weak angle;  $e = g \sin \theta_W$  is the positron electric charge; and  $A \equiv B \cos \theta_W + W^3 \sin \theta_W$  is the (massless) photon field.  $W^\pm \equiv (W^1 \mp iW^2)/\sqrt{2}$  and  $Z \equiv -B \sin \theta_W + W^3 \cos \theta_W$  are the massive charged and neutral weak boson fields,

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respectively.  $T^+$  and  $T^-$  are the weak isospin raising and lowering operators. The vector and axial-vector couplings are

$$g_V^i \equiv t_{3L}(i) - 2q_i \sin^2 \theta_W , \quad (10.2a)$$

$$g_A^i \equiv t_{3L}(i) , \quad (10.2b)$$

where  $t_{3L}(i)$  is the weak isospin of fermion  $i$  ( $+1/2$  for  $u_i$  and  $\nu_i$ ;  $-1/2$  for  $d_i$  and  $e_i$ ) and  $q_i$  is the charge of  $\psi_i$  in units of  $e$ .

The second term in  $\mathcal{L}_F$  represents the charged-current weak interaction [3,4]. For example, the coupling of a  $W$  to an electron and a neutrino is

$$-\frac{e}{2\sqrt{2}\sin\theta_W} \left[ W_\mu^- \bar{e} \gamma^\mu (1 - \gamma^5) \nu + W_\mu^+ \bar{\nu} \gamma^\mu (1 - \gamma^5) e \right] . \quad (10.3)$$

For momenta small compared to  $M_W$ , this term gives rise to the effective four-fermion interaction with the Fermi constant given (at tree level, *i.e.*, lowest order in perturbation theory) by  $G_F/\sqrt{2} = g^2/8M_W^2$ .  $CP$  violation is incorporated in the SM by a single observable phase in  $V_{ij}$ . The third term in  $\mathcal{L}_F$  describes electromagnetic interactions (QED), and the last is the weak neutral-current interaction.

In Eq. (10.1),  $m_i$  is the mass of the  $i^{\text{th}}$  fermion  $\psi_i$ . For the quarks these are the current masses. For the light quarks, as described in the note on “Quark Masses” in the Quark Listings,  $\hat{m}_u \approx 1.5\text{--}3$  MeV,  $\hat{m}_d \approx 3\text{--}7$  MeV, and  $\hat{m}_s = 95 \pm 25$  MeV. These are running  $\overline{\text{MS}}$  masses evaluated at the scale  $\mu = 2$  GeV. (In this Section we denote quantities defined in the  $\overline{\text{MS}}$  scheme by a caret; the exception is the strong coupling constant,  $\alpha_s$ , which will always correspond to the  $\overline{\text{MS}}$  definition and where the caret will be dropped.) For the heavier quarks we use QCD sum rule constraints [5] and recalculate their masses in each call of our fits to account for their direct  $\alpha_s$  dependence. We find,  $\hat{m}_c(\mu = \hat{m}_c) = 1.274_{-0.045}^{+0.036}$  GeV and  $\hat{m}_b(\mu = \hat{m}_b) = 4.196 \pm 0.028$  GeV, with a correlation of 28%. The top quark “pole” mass,  $m_t = 170.9 \pm 1.8$  GeV, is an average [6] of published and preliminary CDF and DØ results from run I and II. We are working, however, with  $\overline{\text{MS}}$  masses in all expressions to minimize theoretical uncertainties, and therefore convert this result to the top quark  $\overline{\text{MS}}$  mass,

$$\hat{m}_t(\mu = \hat{m}_t) = m_t \left[ 1 - \frac{4}{3} \frac{\alpha_s}{\pi} + \mathcal{O}(\alpha_s^2) \right],$$

using the three-loop formula [7]. This introduces an additional uncertainty which we estimate to 0.6 GeV (the size of the three-loop term). We are assuming that the kinematic mass extracted from the collider events corresponds within this uncertainty to the pole mass. Using the BLM optimized [8] version of the two-loop perturbative QCD formula [9] (as we did in previous editions of this *Review*) gives virtually identical results. Thus, we will use  $m_t = 170.9 \pm 1.8 \pm 0.6$  GeV  $\approx 170.9 \pm 1.9$  GeV (together with  $M_H = 117$  GeV) for the numerical values quoted in Sec. 10.2–Sec. 10.4. In the presence of right-handed neutrinos, Eq. (10.1) gives rise also to Dirac neutrino masses. The possibility of Majorana masses is discussed in the Section on “Neutrino Mass, Mixing, and Flavor Change.”

$H$  is the physical neutral Higgs scalar which is the only remaining part of  $\phi$  after spontaneous symmetry breaking. The Yukawa coupling of  $H$  to  $\psi_i$ , which is flavor diagonal in the minimal model, is  $gm_i/2M_W$ . In non-minimal models there are additional charged and neutral scalar Higgs particles [10].

## 10.2. Renormalization and radiative corrections

The SM has three parameters (not counting the Higgs boson mass,  $M_H$ , and the fermion masses and mixings). A particularly useful set is:

- (a) The fine structure constant  $\alpha = 1/137.035999679(94)$ , determined from the  $e^\pm$  anomalous magnetic moment, the quantum Hall effect, and other measurements [11]. In most electroweak renormalization schemes, it is convenient to define a running  $\alpha$  dependent on the energy scale of the process, with  $\alpha^{-1} \sim 137$  appropriate at very low energy. (The running has also been observed [12] directly.) For scales above a few hundred MeV this introduces an uncertainty due to the low-energy hadronic contribution to vacuum polarization. In the modified minimal subtraction ( $\overline{\text{MS}}$ ) scheme [13] (used for this *Review*), and with  $\alpha_s(M_Z) = 0.120$  for the QCD coupling at  $M_Z$ , we have  $\hat{\alpha}(m_\tau)^{-1} = 133.452 \pm 0.016$  and  $\hat{\alpha}(M_Z)^{-1} = 127.925 \pm 0.016$ . The latter corresponds to a quark sector contribution (without the top) to the conventional (on-shell) QED coupling,  $\alpha(M_Z) = \frac{\alpha}{1 - \Delta\alpha(M_Z)}$ , of  $\Delta\alpha_{\text{had}}^{(5)}(M_Z) \approx 0.02786 \pm 0.00012$ .

These values are updated from Ref. 14 with  $\Delta\alpha_{\text{had}}^{(5)}(M_Z)$  slightly moved upwards and its uncertainty decreased by 40% (mostly due to a more precise  $\hat{\alpha}(m_c)$ ). Its correlation with  $\hat{\alpha}(M_Z)$ , as well as the non-linear  $\alpha_s$  dependence of  $\hat{\alpha}(M_Z)$  and the resulting correlation with the input variable  $\alpha_s$ , are fully taken into account in the fits. This is done by using as actual input (fit constraint) instead of  $\Delta\alpha_{\text{had}}^{(5)}(M_Z)$  the analogous low-energy contribution by the three light quarks,  $\Delta\alpha_{\text{had}}^{(3)}(1.8 \text{ GeV}) = 56.91 \pm 0.96 \times 10^{-4}$ , and by calculating the perturbative and heavy quark contributions to  $\hat{\alpha}(M_Z)$  in each call of the fits according to Ref. 14. The uncertainty is from  $e^+e^-$  annihilation data below 1.8 GeV and  $\tau$  decay data, from isospin breaking effects (affecting the interpretation of the  $\tau$  data); from uncalculated higher order perturbative and non-perturbative QCD corrections; and from the  $\overline{\text{MS}}$  quark masses. Such a short distance mass definition (unlike the pole mass) is free from non-perturbative and renormalon uncertainties. Various recent evaluations of  $\Delta\alpha_{\text{had}}^{(5)}$  are summarized in Table 10.1, where the relation between the on-shell and  $\overline{\text{MS}}$  definitions is given by

$$\Delta\hat{\alpha}(M_Z) - \Delta\alpha(M_Z) = \frac{\alpha}{\pi} \left( \frac{100}{27} - \frac{1}{6} - \frac{7}{4} \ln \frac{M_Z^2}{M_W^2} \right) \approx 0.0072$$

to leading order, where the first term is from fermions and the other two are from  $W^\pm$  loops which are usually excluded from the on-shell definition. Most of the older results relied on  $e^+e^- \rightarrow$  hadrons cross-section measurements up to energies of 40 GeV, which were somewhat higher than the QCD prediction, suggested

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stronger running, and were less precise. The most recent results typically assume the validity of perturbative QCD (PQCD) at scales of 1.8 GeV and above, and are in reasonable agreement with each other. (Evaluations in the on-shell scheme utilize resonance data from BES [33] as further input.) There is, however, some discrepancy between analyzes based on  $e^+e^- \rightarrow$  hadrons cross-section data and those based on  $\tau$  decay spectral functions [34–36]. The latter imply lower central values for the extracted  $M_H$  of  $\mathcal{O}(10 \text{ GeV})$ . The discrepancy originates from the kinematic region  $\sqrt{s} \gtrsim 0.6 \text{ GeV}$ . However, at least some of it appears to be experimental. The dominant  $e^+e^- \rightarrow \pi^+\pi^-$  cross-section was measured with the CMD-2 [37] and SND [38] detectors at the VEPP-2M  $e^+e^-$  collider at Novosibirsk and the results are (after an initial discrepancy due to a flaw in the Monte Carlo event generator used by SND) in good agreement with each other. As an alternative to cross-section scans, one can use the high statistics radiative return events [39] at  $e^+e^-$  accelerators operating at resonances such as the  $\Phi$  or the  $\Upsilon(4S)$ . The method is systematics dominated. The energy dependence of the  $\pi^+\pi^-$  radiative return results from the  $\Phi$  obtained by the KLOE collaboration [40] differ significantly from what is observed at VEPP-2M. Likewise, the BaBar collaboration [41] studied multi-hadron events radiatively returned from the  $\Upsilon(4S)$  and found only partial agreement with previous results. For a recent review on these  $e^+e^-$  data, see Ref. 42. All measurements including older data [43] are accounted for in the fits on the basis of results in Refs. [24,34,42,44]. Further improvement of this dominant theoretical uncertainty in the interpretation of precision data will require better measurements of the cross-section for  $e^+e^- \rightarrow$  hadrons below the charmonium resonances, as well as in the threshold region of the heavy quarks (to improve the precision in  $\hat{m}_c(\hat{m}_c)$  and  $\hat{m}_b(\hat{m}_b)$ ).

- (b) The Fermi constant,  $G_F = 1.166367(5) \times 10^{-5} \text{ GeV}^{-2}$ , determined from the muon lifetime formula [45,46],

$$\begin{aligned} \tau_\mu^{-1} &= \frac{G_F^2 m_\mu^5}{192\pi^3} F\left(\frac{m_e^2}{m_\mu^2}\right) \left(1 + \frac{3}{5} \frac{m_\mu^2}{M_W^2}\right) \\ &\times \left[1 + \left(\frac{25}{8} - \frac{\pi^2}{2}\right) \frac{\alpha(m_\mu)}{\pi} + C_2 \frac{\alpha^2(m_\mu)}{\pi^2}\right], \end{aligned} \quad (10.4a)$$

where

$$F(x) = 1 - 8x + 8x^3 - x^4 - 12x^2 \ln x, \quad (10.4b)$$

$$C_2 = \frac{156815}{5184} - \frac{518}{81}\pi^2 - \frac{895}{36}\zeta(3) + \frac{67}{720}\pi^4 + \frac{53}{6}\pi^2 \ln(2), \quad (10.4c)$$

and

$$\alpha(m_\mu)^{-1} = \alpha^{-1} - \frac{2}{3\pi} \ln\left(\frac{m_\mu}{m_e}\right) + \frac{1}{6\pi} \approx 136. \quad (10.4d)$$

The  $\mathcal{O}(\alpha^2)$  corrections to  $\mu$  decay have been completed in Ref. 46. The remaining uncertainty in  $G_F$  is from the experimental uncertainty which has recently been halved by the MuLan [47] and FAST [48] collaborations.

- (c) The  $Z$  boson mass,  $M_Z = 91.1876 \pm 0.0021$  GeV, determined from the  $Z$  lineshape scan at LEP 1 [49].

**Table 10.1:** Recent evaluations of the on-shell  $\Delta\alpha_{\text{had}}^{(5)}(M_Z)$ . For better comparison we adjusted central values and errors to correspond to a common and fixed value of  $\alpha_s(M_Z) = 0.120$ . References quoting results without the top quark decoupled are converted to the five flavor definition. Ref. [25] uses  $\Lambda_{\text{QCD}} = 380 \pm 60$  MeV; for the conversion we assumed  $\alpha_s(M_Z) = 0.118 \pm 0.003$ .

Reference	Result	Comment
Martin, Zeppenfeld [15]	$0.02744 \pm 0.00036$	PQCD for $\sqrt{s} > 3$ GeV
Eidelman, Jegerlehner [16]	$0.02803 \pm 0.00065$	PQCD for $\sqrt{s} > 40$ GeV
Geshkenbein, Morgunov [17]	$0.02780 \pm 0.00006$	$\mathcal{O}(\alpha_s)$ resonance model
Burkhardt, Pietrzyk [18]	$0.0280 \pm 0.0007$	PQCD for $\sqrt{s} > 40$ GeV
Swartz [19]	$0.02754 \pm 0.00046$	use of fitting function
Aleman <i>et al.</i> [20]	$0.02816 \pm 0.00062$	incl. $\tau$ decay data
Krasnikov, Rodenberg [21]	$0.02737 \pm 0.00039$	PQCD for $\sqrt{s} > 2.3$ GeV
Davier & Höcker [22]	$0.02784 \pm 0.00022$	PQCD for $\sqrt{s} > 1.8$ GeV
Kühn & Steinhauser [23]	$0.02778 \pm 0.00016$	complete $\mathcal{O}(\alpha_s^2)$
Erlar [14]	$0.02779 \pm 0.00020$	conv. from $\overline{\text{MS}}$ scheme
Davier & Höcker [24]	$0.02770 \pm 0.00015$	use of QCD sum rules
Groote <i>et al.</i> [25]	$0.02787 \pm 0.00032$	use of QCD sum rules
Martin <i>et al.</i> [26]	$0.02741 \pm 0.00019$	includes new BES data
Burkhardt, Pietrzyk [27]	$0.02763 \pm 0.00036$	PQCD for $\sqrt{s} > 12$ GeV
de Troconiz, Yndurain [28]	$0.02754 \pm 0.00010$	PQCD for $s > 2$ GeV <sup>2</sup>
Jegerlehner [29]	$0.02765 \pm 0.00013$	conv. from MOM scheme
Hagiwara <i>et al.</i> [30]	$0.02757 \pm 0.00023$	PQCD for $\sqrt{s} > 11.09$ GeV
Burkhardt, Pietrzyk [31]	$0.02760 \pm 0.00035$	incl. KLOE data
Hagiwara <i>et al.</i> [32]	$0.02770 \pm 0.00022$	incl. selected KLOE data

With these inputs,  $\sin^2 \theta_W$  and the  $W$  boson mass,  $M_W$ , can be calculated when values for  $m_t$  and  $M_H$  are given; conversely (as is done at present),  $M_H$  can be constrained by  $\sin^2 \theta_W$  and  $M_W$ . The value of  $\sin^2 \theta_W$  is extracted from  $Z$  pole observables and neutral-current processes [49–52], and depends on the renormalization prescription. There are a number of popular schemes [53–60] leading to values which differ by small factors depending on  $m_t$  and  $M_H$ . The notation for these schemes is shown in Table 10.2.

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**Table 10.2:** Notations used to indicate the various schemes discussed in the text. Each definition of  $\sin^2 \theta_W$  leads to values that differ by small factors depending on  $m_t$  and  $M_H$ . Approximate values are also given for illustration.

Scheme	Notation and Value
On-shell	$s_W^2 = \sin^2 \theta_W \approx 0.2231$
NOV	$s_{M_Z}^2 = \sin^2 \theta_W \approx 0.2311$
$\overline{\text{MS}}$	$\hat{s}_Z^2 = \sin^2 \theta_W \approx 0.2312$
$\overline{\text{MS}}$ ND	$\hat{s}_{\text{ND}}^2 = \sin^2 \theta_W \approx 0.2314$
Effective angle	$\bar{s}_f^2 = \sin^2 \theta_W \approx 0.2315$

- (i) The on-shell scheme [53] promotes the tree-level formula  $\sin^2 \theta_W = 1 - M_W^2/M_Z^2$  to a definition of the renormalized  $\sin^2 \theta_W$  to all orders in perturbation theory, *i.e.*,  $\sin^2 \theta_W \rightarrow s_W^2 \equiv 1 - M_W^2/M_Z^2$ :

$$M_W = \frac{A_0}{s_W(1 - \Delta r)^{1/2}}, \quad (10.5a)$$

$$M_Z = \frac{M_W}{c_W}, \quad (10.5b)$$

where  $c_W \equiv \cos \theta_W$ ,  $A_0 = (\pi\alpha/\sqrt{2}G_F)^{1/2} = 37.28057(8)$  GeV, and  $\Delta r$  includes the radiative corrections relating  $\alpha$ ,  $\alpha(M_Z)$ ,  $G_F$ ,  $M_W$ , and  $M_Z$ . One finds  $\Delta r \sim \Delta r_0 - \rho_t/\tan^2 \theta_W$ , where  $\Delta r_0 = 1 - \alpha/\hat{\alpha}(M_Z) = 0.06649(12)$  is due to the running of  $\alpha$ , and  $\rho_t = 3G_F m_t^2/8\sqrt{2}\pi^2 = 0.00915(m_t/170.9 \text{ GeV})^2$  represents the dominant (quadratic)  $m_t$  dependence. There are additional contributions to  $\Delta r$  from bosonic loops, including those which depend logarithmically on  $M_H$ . One has  $\Delta r = 0.0369 \mp 0.0007 \pm 0.00012$ , where the second uncertainty is from  $\alpha(M_Z)$ . Thus the value of  $s_W^2$  extracted from  $M_Z$  includes an uncertainty ( $\mp 0.00023$ ) from the currently allowed range of  $m_t$ . This scheme is simple conceptually. However, the relatively large ( $\sim 3\%$ ) correction from  $\rho_t$  causes large spurious contributions in higher orders.

- (ii) A more precisely determined quantity  $s_{M_Z}^2$  [54] can be obtained from  $M_Z$  by removing the  $(m_t, M_H)$  dependent term from  $\Delta r$  [55], *i.e.*,

$$s_{M_Z}^2 c_{M_Z}^2 \equiv \frac{\pi\alpha(M_Z)}{\sqrt{2}G_F M_Z^2}. \quad (10.6)$$

Using  $\alpha(M_Z)^{-1} = 128.91 \pm 0.02$  yields  $s_{M_Z}^2 = 0.23108 \mp 0.00005$ . The small uncertainty in  $s_{M_Z}^2$  compared to other schemes is because the  $m_t$  dependence has

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been removed by definition. However, the  $m_t$  uncertainty reemerges when other quantities (*e.g.*,  $M_W$  or other  $Z$  pole observables) are predicted in terms of  $M_Z$ .

Both  $s_W^2$  and  $s_{M_Z}^2$  depend not only on the gauge couplings but also on the spontaneous-symmetry breaking, and both definitions are awkward in the presence of any extension of the SM which perturbs the value of  $M_Z$  (or  $M_W$ ). Other definitions are motivated by the tree-level coupling constant definition  $\theta_W = \tan^{-1}(g'/g)$ .

- (iii) In particular, the modified minimal subtraction ( $\overline{\text{MS}}$ ) scheme introduces the quantity  $\sin^2 \hat{\theta}_W(\mu) \equiv \hat{g}'^2(\mu)/[\hat{g}^2(\mu) + \hat{g}'^2(\mu)]$ , where the couplings  $\hat{g}$  and  $\hat{g}'$  are defined by modified minimal subtraction and the scale  $\mu$  is conveniently chosen to be  $M_Z$  for many electroweak processes. The value of  $\hat{s}_Z^2 = \sin^2 \hat{\theta}_W(M_Z)$  extracted from  $M_Z$  is less sensitive than  $s_W^2$  to  $m_t$  (by a factor of  $\tan^2 \theta_W$ ), and is less sensitive to most types of new physics than  $s_W^2$  or  $s_{M_Z}^2$ . It is also very useful for comparing with the predictions of grand unification. There are actually several variant definitions of  $\sin^2 \hat{\theta}_W(M_Z)$ , differing according to whether or how finite  $\alpha \ln(m_t/M_Z)$  terms are decoupled (subtracted from the couplings). One cannot entirely decouple the  $\alpha \ln(m_t/M_Z)$  terms from all electroweak quantities because  $m_t \gg m_b$  breaks SU(2) symmetry. The scheme that will be adopted here decouples the  $\alpha \ln(m_t/M_Z)$  terms from the  $\gamma$ - $Z$  mixing [13,56], essentially eliminating any  $\ln(m_t/M_Z)$  dependence in the formulae for asymmetries at the  $Z$  pole when written in terms of  $\hat{s}_Z^2$ . (A similar definition is used for  $\hat{\alpha}$ .) The various definitions are related by

$$\hat{s}_Z^2 = c(m_t, M_H) s_W^2 = \bar{c}(m_t, M_H) s_{M_Z}^2, \quad (10.7)$$

where  $c = 1.0352 \pm 0.0008$  and  $\bar{c} = 1.0012 \mp 0.0003$ . The quadratic  $m_t$  dependence is given by  $c \sim 1 + \rho_t/\tan^2 \theta_W$  and  $\bar{c} \sim 1 - \rho_t/(1 - \tan^2 \theta_W)$ , respectively. The expressions for  $M_W$  and  $M_Z$  in the  $\overline{\text{MS}}$  scheme are

$$M_W = \frac{A_0}{\hat{s}_Z(1 - \Delta\hat{r}_W)^{1/2}}, \quad (10.8a)$$

$$M_Z = \frac{M_W}{\hat{\rho}^{1/2}\hat{c}_Z}, \quad (10.8b)$$

and one predicts  $\Delta\hat{r}_W = 0.06962 \pm 0.00003 \pm 0.00012$ .  $\Delta\hat{r}_W$  has no quadratic  $m_t$  dependence, because shifts in  $M_W$  are absorbed into the observed  $G_F$ , so that the error in  $\Delta\hat{r}_W$  is dominated by  $\Delta r_0 = 1 - \alpha/\hat{\alpha}(M_Z)$  which induces the second quoted uncertainty. The quadratic  $m_t$  dependence has been shifted into  $\hat{\rho} \sim 1 + \rho_t$ , where including bosonic loops,  $\hat{\rho} = 1.01023 \pm 0.00022$ . Quadratic  $M_H$  effects are deferred to two-loop order, while the leading logarithmic  $M_H$  effects are dominant only for large  $M_H$  values which are currently disfavored by the precision data. As an illustration, the shift in  $M_W$  due to a large  $M_H$  (for fixed  $M_Z$ ) is given by

$$\Delta_H M_W = -\frac{11}{96} \frac{\alpha}{\pi} \frac{M_W}{c_W^2 - s_W^2} \ln \frac{M_H^2}{M_W^2} + \mathcal{O}(\alpha^2). \quad (10.9)$$

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- (iv) A variant  $\overline{\text{MS}}$  quantity  $\widehat{s}_{\text{ND}}^2$  (used in the 1992 edition of this *Review*) does not decouple the  $\alpha \ln(m_t/M_Z)$  terms [57]. It is related to  $\widehat{s}_Z^2$  by

$$\widehat{s}_Z^2 = \widehat{s}_{\text{ND}}^2 / \left(1 + \frac{\widehat{\alpha}}{\pi} d\right), \quad (10.10a)$$

$$d = \frac{1}{3} \left( \frac{1}{\widehat{s}^2} - \frac{8}{3} \right) \left[ \left(1 + \frac{\alpha_s}{\pi}\right) \ln \frac{m_t}{M_Z} - \frac{15\alpha_s}{8\pi} \right], \quad (10.10b)$$

Thus,  $\widehat{s}_Z^2 - \widehat{s}_{\text{ND}}^2 \sim -0.0002$  for  $m_t = 172.7$  GeV.

- (v) Yet another definition, the effective angle [58–60]  $\overline{s}_f^2$  for the  $Z$  vector coupling to fermion  $f$ , is described in Sec. 10.3.

Experiments are at a level of precision that complete  $\mathcal{O}(\alpha)$  radiative corrections must be applied. For neutral-current and  $Z$  pole processes, these corrections are conveniently divided into two classes:

1. QED diagrams involving the emission of real photons or the exchange of virtual photons in loops, but not including vacuum polarization diagrams. These graphs often yield finite and gauge-invariant contributions to observable processes. However, they are dependent on energies, experimental cuts, *etc.*, and must be calculated individually for each experiment.
2. Electroweak corrections, including  $\gamma\gamma$ ,  $\gamma Z$ ,  $ZZ$ , and  $WW$  vacuum polarization diagrams, as well as vertex corrections, box graphs, *etc.*, involving virtual  $W$ 's and  $Z$ 's. Many of these corrections are absorbed into the renormalized Fermi constant defined in Eq. (10.4). Others modify the tree-level expressions for  $Z$  pole observables and neutral-current amplitudes in several ways [50]. One-loop corrections are included for all processes. In addition, certain two-loop corrections are also important. In particular, two-loop corrections involving the top quark modify  $\rho_t$  in  $\widehat{\rho}$ ,  $\Delta r$ , and elsewhere by

$$\rho_t \rightarrow \rho_t [1 + R(M_H, m_t) \rho_t / 3]. \quad (10.11)$$

$R(M_H, m_t)$  is best described as an expansion in  $M_Z^2/m_t^2$ . The unsuppressed terms were first obtained in Ref. 61, and are known analytically [62]. Contributions suppressed by  $M_Z^2/m_t^2$  were first studied in Ref. 63 with the help of small and large Higgs mass expansions, which can be interpolated. These contributions are about as large as the leading ones in Refs. 61 and 62. The complete two-loop calculation of  $\Delta r$  (without further approximation) has been performed in Refs. 64 and 65 for fermionic and purely bosonic diagrams, respectively. Similarly, the electroweak two-loop calculation for the relation between  $\overline{s}_\ell^2$  and  $s_W^2$  is complete [66] including the recently obtained purely bosonic contribution [67]. For  $M_H$  above its lower direct limit,  $-17 < R \leq -13$ .

Mixed QCD-electroweak contributions to gauge boson self-energies of order  $\alpha\alpha_s m_t^2$  [68] and  $\alpha\alpha_s^2 m_t^2$  [69] increase the predicted value of  $m_t$  by 6%. This is, however, almost entirely an artifact of using the pole mass definition for  $m_t$ . The



equivalent corrections when using the  $\overline{\text{MS}}$  definition  $\widehat{m}_t(\widehat{m}_t)$  increase  $m_t$  by less than 0.5%. The subleading  $\alpha\alpha_s$  corrections [70] are also included. Further three-loop corrections of order  $\alpha\alpha_s^2$  [71],  $\alpha^3 m_t^6$  [72,73], and  $\alpha^2\alpha_s m_t^4$  (for  $M_H = 0$ ) [72], are rather small. The same is true for  $\alpha^3 M_H^4$  [74] corrections unless  $M_H$  approaches 1 TeV. Also known are the singlet contributions (pure gluonic intermediate states) of order  $\alpha\alpha_s^2$  [75] and  $\alpha\alpha_s^3$  [76]. Very recently, the corresponding non-singlet contributions have been computed as well [77].

The leading electroweak two-loop terms for the  $Z \rightarrow b\bar{b}$ -vertex of  $\mathcal{O}(\alpha^2 m_t^4)$  have been obtained in Refs. 61 and 62, and the mixed QCD-electroweak contributions in Refs. 78 and 79. The  $\mathcal{O}(\alpha\alpha_s)$ -vertex corrections involving massless quarks [80] add coherently, resulting in a sizable effect and shift the extracted  $\alpha_s(M_Z)$  by  $\approx +0.0007$ .

Throughout this *Review* we utilize electroweak radiative corrections from the program GAPP [81], which works entirely in the  $\overline{\text{MS}}$  scheme, and which is independent of the package ZFITTER [60].

### 10.3. Cross-section and asymmetry formulae

It is convenient to write the four-fermion interactions relevant to  $\nu$ -hadron,  $\nu$ - $e$ , and parity violating  $e$ -hadron neutral-current processes in a form that is valid in an arbitrary gauge theory (assuming massless left-handed neutrinos). One has

$$\begin{aligned}
 -\mathcal{L}^{\nu\text{Hadron}} &= \frac{G_F}{\sqrt{2}} \bar{\nu} \gamma^\mu (1 - \gamma^5) \nu \\
 &\times \sum_i \left[ \epsilon_L(i) \bar{q}_i \gamma_\mu (1 - \gamma^5) q_i + \epsilon_R(i) \bar{q}_i \gamma_\mu (1 + \gamma^5) q_i \right], \quad (10.12)
 \end{aligned}$$

$$-\mathcal{L}^{\nu e} = \frac{G_F}{\sqrt{2}} \bar{\nu}_\mu \gamma^\mu (1 - \gamma^5) \nu_\mu \bar{e} \gamma_\mu (g_V^{\nu e} - g_A^{\nu e} \gamma^5) e \quad (10.13)$$

(for  $\nu_e$ - $e$  or  $\bar{\nu}_e$ - $e$ , the charged-current contribution must be included), and

$$\begin{aligned}
 -\mathcal{L}^{e\text{Hadron}} &= -\frac{G_F}{\sqrt{2}} \\
 &\times \sum_i \left[ C_{1i} \bar{e} \gamma_\mu \gamma^5 e \bar{q}_i \gamma^\mu q_i + C_{2i} \bar{e} \gamma_\mu e \bar{q}_i \gamma^\mu \gamma^5 q_i \right]. \quad (10.14)
 \end{aligned}$$

(One must add the parity-conserving QED contribution.)

The SM expressions for  $\epsilon_{L,R}(i)$ ,  $g_{V,A}^{\nu e}$ , and  $C_{ij}$  are given in Table 10.3. Note, that  $g_{V,A}^{\nu e}$  and the other quantities are coefficients of effective four-Fermi operators, which differ from the quantities defined in Eq. (10.2) in the radiative corrections and in the presence of possible physics beyond the SM.

A precise determination of the on-shell  $s_W^2$ , which depends only very weakly on  $m_t$  and  $M_H$ , is obtained from deep inelastic scattering (DIS) of neutrinos from

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(approximately) isoscalar targets [82]. The ratio  $R_\nu \equiv \sigma_{\nu N}^{NC}/\sigma_{\nu N}^{CC}$  of neutral- to charged-current cross-sections has been measured to 1% accuracy by the CDHS [83] and CHARM [84] collaborations at CERN. The CCFR [85] collaboration at Fermilab has obtained an even more precise result, so it is important to obtain theoretical expressions for  $R_\nu$  and  $R_{\bar{\nu}} \equiv \sigma_{\bar{\nu} N}^{NC}/\sigma_{\bar{\nu} N}^{CC}$  to comparable accuracy. Fortunately, most of the uncertainties from the strong interactions and neutrino spectra cancel in the ratio. The largest theoretical uncertainty is associated with the  $c$ -threshold, which mainly affects  $\sigma^{CC}$ . Using the slow rescaling prescription [86] the central value of  $\sin^2 \theta_W$  from CCFR varies as  $0.0111(m_c [\text{GeV}] - 1.31)$ , where  $m_c$  is the effective mass which is numerically close to the  $\overline{\text{MS}}$  mass  $\hat{m}_c(\hat{m}_c)$ , but their exact relation is unknown at higher orders. For  $m_c = 1.31 \pm 0.24$  GeV (determined from  $\nu$ -induced dimuon production [87]) this contributes  $\pm 0.003$  to the total uncertainty  $\Delta \sin^2 \theta_W \sim \pm 0.004$ . (The experimental uncertainty is also  $\pm 0.003$ .) This uncertainty largely cancels, however, in the Paschos-Wolfenstein ratio [88],

$$R^- = \frac{\sigma_{\nu N}^{NC} - \sigma_{\bar{\nu} N}^{NC}}{\sigma_{\nu N}^{CC} - \sigma_{\bar{\nu} N}^{CC}} . \quad (10.15)$$

It was measured by Fermilab's NuTeV collaboration [89] for the first time, and required a high-intensity and high-energy anti-neutrino beam.

A simple zero<sup>th</sup>-order approximation is

$$R_\nu = g_L^2 + g_R^2 r , \quad (10.16a)$$

$$R_{\bar{\nu}} = g_L^2 + \frac{g_R^2}{r} , \quad (10.16b)$$

$$R^- = g_L^2 - g_R^2 , \quad (10.16c)$$

where

$$g_L^2 \equiv \epsilon_L(u)^2 + \epsilon_L(d)^2 \approx \frac{1}{2} - \sin^2 \theta_W + \frac{5}{9} \sin^4 \theta_W , \quad (10.17a)$$

$$g_R^2 \equiv \epsilon_R(u)^2 + \epsilon_R(d)^2 \approx \frac{5}{9} \sin^4 \theta_W , \quad (10.17b)$$

and  $r \equiv \sigma_{\bar{\nu} N}^{CC}/\sigma_{\nu N}^{CC}$  is the ratio of  $\bar{\nu}$  and  $\nu$  charged-current cross-sections, which can be measured directly. (In the simple parton model, ignoring hadron energy cuts,  $r \approx (\frac{1}{3} + \epsilon)/(1 + \frac{1}{3}\epsilon)$ , where  $\epsilon \sim 0.125$  is the ratio of the fraction of the nucleon's momentum carried by anti-quarks to that carried by quarks.) In practice, Eq. (10.16) must be corrected for quark mixing, quark sea effects,  $c$ -quark threshold effects, non-isoscalarity,  $W$ - $Z$  propagator differences, the finite muon mass, QED and electroweak radiative corrections. Details of the neutrino spectra, experimental cuts,  $x$  and  $Q^2$  dependence of structure functions, and longitudinal structure functions enter only at the level of these corrections and therefore lead to very small uncertainties. The CCFR group quotes  $s_W^2 = 0.2236 \pm 0.0041$  for  $(m_t, M_H) = (175, 150)$  GeV with very little sensitivity to  $(m_t, M_H)$ .

**Table 10.3:** Standard Model expressions for the neutral-current parameters for  $\nu$ -hadron,  $\nu$ - $e$ , and  $e$ -hadron processes. At tree level,  $\rho = \kappa = 1$ ,  $\lambda = 0$ . If radiative corrections are included,  $\rho_{\nu N}^{NC} = 1.0079$ ,  $\widehat{\kappa}_{\nu N}(\langle Q^2 \rangle = -20 \text{ GeV}^2) = 0.9971$ ,  $\widehat{\kappa}_{\nu N}(\langle Q^2 \rangle = -35 \text{ GeV}^2) = 0.9964$ ,  $\lambda_{uL} = -0.0031$ ,  $\lambda_{dL} = -0.0025$ , and  $\lambda_{dR} = 2 \lambda_{uR} = 7.5 \times 10^{-5}$ . For  $\nu$ - $e$  scattering,  $\rho_{\nu e} = 1.0125$  and  $\widehat{\kappa}_{\nu e} = 0.9964$  (at  $\langle Q^2 \rangle = 0$ ). For atomic parity violation and the SLAC polarized electron experiment,  $\rho'_{eq} = 0.9875$ ,  $\rho_{eq} = 1.0004$ ,  $\widehat{\kappa}'_{eq} = 1.0025$ ,  $\widehat{\kappa}_{eq} = 1.0298$ ,  $\lambda_{1d} = -2 \lambda_{1u} = 3.6 \times 10^{-5}$ ,  $\lambda_{2u} = -0.0121$  and  $\lambda_{2d} = 0.0026$ . The dominant  $m_t$  dependence is given by  $\rho \sim 1 + \rho_t$ , while  $\widehat{\kappa} \sim 1$  ( $\overline{\text{MS}}$ ) or  $\kappa \sim 1 + \rho_t / \tan^2 \theta_W$  (on-shell).

Quantity	Standard Model Expression
$\epsilon_L(u)$	$\rho_{\nu N}^{NC} \left( \frac{1}{2} - \frac{2}{3} \widehat{\kappa}_{\nu N} \widehat{s}_Z^2 \right) + \lambda_{uL}$
$\epsilon_L(d)$	$\rho_{\nu N}^{NC} \left( -\frac{1}{2} + \frac{1}{3} \widehat{\kappa}_{\nu N} \widehat{s}_Z^2 \right) + \lambda_{dL}$
$\epsilon_R(u)$	$\rho_{\nu N}^{NC} \left( -\frac{2}{3} \widehat{\kappa}_{\nu N} \widehat{s}_Z^2 \right) + \lambda_{uR}$
$\epsilon_R(d)$	$\rho_{\nu N}^{NC} \left( \frac{1}{3} \widehat{\kappa}_{\nu N} \widehat{s}_Z^2 \right) + \lambda_{dR}$
$g_V^{\nu e}$	$\rho_{\nu e} \left( -\frac{1}{2} + 2 \widehat{\kappa}_{\nu e} \widehat{s}_Z^2 \right)$
$g_A^{\nu e}$	$\rho_{\nu e} \left( -\frac{1}{2} \right)$
$C_{1u}$	$\rho'_{eq} \left( -\frac{1}{2} + \frac{4}{3} \widehat{\kappa}'_{eq} \widehat{s}_Z^2 \right) + \lambda_{1u}$
$C_{1d}$	$\rho'_{eq} \left( \frac{1}{2} - \frac{2}{3} \widehat{\kappa}'_{eq} \widehat{s}_Z^2 \right) + \lambda_{1d}$
$C_{2u}$	$\rho_{eq} \left( -\frac{1}{2} + 2 \widehat{\kappa}_{eq} \widehat{s}_Z^2 \right) + \lambda_{2u}$
$C_{2d}$	$\rho_{eq} \left( \frac{1}{2} - 2 \widehat{\kappa}_{eq} \widehat{s}_Z^2 \right) + \lambda_{2d}$

The NuTeV collaboration found  $s_W^2 = 0.2277 \pm 0.0016$  (for the same reference values), which was  $3.0 \sigma$  higher than the SM prediction. NuTeV also measured [90] the difference between the strange and antistrange quark momentum distributions,  $S^- \equiv \int_0^1 dx x [s(x) - \bar{s}(x)] = 0.00196 \pm 0.00135$ , from dimuon events utilizing the first complete next-to-leading order QCD description [91] and parton distribution functions (PDFs) according to Ref. 92. The magnitude of the central value agrees with the published result [93] but differs in sign. Since  $S^-$  is only marginally (at the  $1.5 \sigma$  level) consistent with zero, the initial result (which assumed a symmetric strange quark distribution) needs to be adjusted. The effect of  $S^- \neq 0$  on the NuTeV value for  $s_W^2$  has been studied in Ref. 93, and the  $S^-$  above translates into a shift  $\delta s_W^2 = -0.0014 \pm 0.0010$ .

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Most of the  $s_W^2$  dependence and the NuTeV discrepancy reside in  $g_L^2$  (initially  $2.7 \sigma$  low), which we adjust correspondingly by  $\delta g_L^2 = +0.00094 \pm 0.00065$  to arrive at  $g_L^2 = 0.3010 \pm 0.0015$ . The right-handed coupling,  $g_R^2 = 0.0308 \pm 0.0011$  (which is  $0.7 \sigma$  high) and the other  $\nu$ -DIS data are expected to exhibit shifts as well, but these ought to be less significant since their relative experimental uncertainties are larger. Moreover, the dimuon data simultaneously affect the effective mass  $m_c$  and thus the interpretation of all  $\nu$ -DIS data. In view of these developments and caveats, we consider the NuTeV result and the other  $\nu$ -DIS data as preliminary until a re-analysis using PDFs including all experimental and theoretical information and correlations has been completed. This is also because of the following three categories [94] of effects within the SM that could cause or contribute to the remaining  $1.9 \sigma$  deviation in  $g_L^2$ . (i) One possibility is that the PDFs violate isospin symmetry at levels much stronger than generally expected [95]. A minimum  $\chi^2$  set of PDFs generalized in this sense [96] shows a reduction in the NuTeV discrepancy in  $s_W^2$  by 0.0015. But isospin symmetry violating PDFs are currently not well constrained and within uncertainties the NuTeV anomaly could be accounted for in full or conversely made larger [96]. (ii) Nuclear physics effects by themselves appear too small to explain the NuTeV anomaly [97]. In particular, while nuclear shadowing corrections are likely to affect the interpretation of the NuTeV result [98] at some level, the NuTeV collaboration argues that their data are dominated by values of  $Q^2$  at which nuclear shadowing is expected to be relatively small. The model of Ref. 99 indicates that nuclear shadowing effects differ for CC and NC cross-sections as well as  $\nu$  and  $\bar{\nu}$  (both would affect the extraction of  $s_W^2$ ), but also that  $R_{\bar{\nu}}$  is affected more than  $R_\nu$ , while the anomaly is in the latter. Overall, the model predicts a shift in  $s_W^2$  by  $\sim 0.001$  with a sign corresponding to a reduction of the discrepancy. (iii) The extracted  $s_W^2$  may also shift at the level of the quoted uncertainty when analyzed using the most recent set of QED and electroweak radiative corrections [100,101], as well as QCD corrections to the structure functions [102]. However, their precise impact can be estimated only after the NuTeV data have been analyzed with a new set of PDFs including these new radiative corrections while simultaneously allowing isospin breaking and asymmetric strange seas. A step in this direction was taken in Ref. 103 in which QED induced isospin violations were shown to reduce the discrepancy in  $s_W^2$  by  $5\text{--}10 \times 10^{-4}$ . Remaining one- and two-loop radiative corrections have been estimated [101] to induce uncertainties in the extracted  $s_W^2$  of  $\pm 0.0004$  and  $\pm 0.0003$ , respectively. It is well conceivable that various effects add up to bring the NuTeV data in line with the SM prediction. It is likely that the overall uncertainties in  $g_L^2$  and  $g_R^2$  will increase, but at the same time the older  $\nu$ -DIS results may become more precise when analyzed with better PDFs than were available at the time.

The cross-section in the laboratory system for  $\nu_\mu e \rightarrow \nu_\mu e$  or  $\bar{\nu}_\mu e \rightarrow \bar{\nu}_\mu e$  elastic scattering is

$$\frac{d\sigma_{\nu,\bar{\nu}}}{dy} = \frac{G_F^2 m_e E_\nu}{2\pi} \left[ (g_V^{\nu e} \pm g_A^{\nu e})^2 + (g_V^{\nu e} \mp g_A^{\nu e})^2 (1-y)^2 - (g_V^{\nu e 2} - g_A^{\nu e 2}) \frac{y m_e}{E_\nu} \right], \quad (10.18)$$

where the upper (lower) sign refers to  $\nu_\mu(\bar{\nu}_\mu)$ , and  $y \equiv T_e/E_\nu$  (which runs from 0 to  $(1 + m_e/2E_\nu)^{-1}$ ) is the ratio of the kinetic energy of the recoil electron to the incident  $\nu$

or  $\bar{\nu}$  energy. For  $E_\nu \gg m_e$  this yields a total cross-section

$$\sigma = \frac{G_F^2 m_e E_\nu}{2\pi} \left[ (g_V^{\nu e} \pm g_A^{\nu e})^2 + \frac{1}{3} (g_V^{\nu e} \mp g_A^{\nu e})^2 \right]. \quad (10.19)$$

The most accurate leptonic measurements [104–107] of  $\sin^2 \theta_W$  are from the ratio  $R \equiv \sigma_{\nu\mu e} / \sigma_{\bar{\nu}\mu e}$  in which many of the systematic uncertainties cancel. Radiative corrections (other than  $m_t$  effects) are small compared to the precision of present experiments and have negligible effect on the extracted  $\sin^2 \theta_W$ . The most precise experiment (CHARM II) [106] determined not only  $\sin^2 \theta_W$  but  $g_{V,A}^{\nu e}$  as well. The cross-sections for  $\nu_e e$  and  $\bar{\nu}_e e$  may be obtained from Eq. (10.18) by replacing  $g_{V,A}^{\nu e}$  by  $g_{V,A}^{\nu e} + 1$ , where the 1 is due to the charged-current contribution [107,108].

The SLAC polarized-electron experiment [109] measured the parity-violating asymmetry

$$A = \frac{\sigma_R - \sigma_L}{\sigma_R + \sigma_L}, \quad (10.20)$$

where  $\sigma_{R,L}$  is the cross-section for the deep-inelastic scattering of a right- or left-handed electron:  $e_{R,L} N \rightarrow eX$ . In the quark parton model

$$\frac{A}{Q^2} = a_1 + a_2 \frac{1 - (1 - y)^2}{1 + (1 - y)^2}, \quad (10.21)$$

where  $Q^2 > 0$  is the momentum transfer and  $y$  is the fractional energy transfer from the electron to the hadrons. For the deuteron or other isoscalar targets, one has, neglecting the  $s$ -quark and anti-quarks,

$$a_1 = \frac{3G_F}{5\sqrt{2}\pi\alpha} \left( C_{1u} - \frac{1}{2}C_{1d} \right) \approx \frac{3G_F}{5\sqrt{2}\pi\alpha} \left( -\frac{3}{4} + \frac{5}{3}\sin^2 \theta_W \right), \quad (10.22a)$$

$$a_2 = \frac{3G_F}{5\sqrt{2}\pi\alpha} \left( C_{2u} - \frac{1}{2}C_{2d} \right) \approx \frac{9G_F}{5\sqrt{2}\pi\alpha} \left( \sin^2 \theta_W - \frac{1}{4} \right). \quad (10.22b)$$

In another polarized-electron scattering experiment on deuterons, but in the quasi-elastic kinematic regime, the SAMPLE experiment [110] at MIT-Bates extracted the combination  $C_{2u} - C_{2d}$  at  $Q^2$  values of 0.1 GeV<sup>2</sup> and 0.038 GeV<sup>2</sup>. What was actually determined were nucleon form factors from which the quoted results were obtained by the removal of a multi-quark radiative correction. Other linear combinations of the  $C_{iq}$  have been determined in polarized-lepton scattering at CERN in  $\mu$ -C DIS, at Mainz in  $e$ -Be (quasi-elastic), and at Bates in  $e$ -C (elastic). See the review articles in Refs. 51 and 111 for more details. Recent polarized electron asymmetry experiments, *i.e.*, SAMPLE, the PVA4 experiment at Mainz, and the HAPPEX and G0 experiments at Jefferson Lab, have focussed on the strange quark content of the nucleon. These are reviewed in Ref. 112, where it is shown that they can also provide significant constraints on  $C_{1u}$  and  $C_{1d}$  which complement those from atomic parity violation.

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There are now precise experiments measuring atomic parity violation (APV) [113] in cesium [114,115] (at the 0.4% level [114]), thallium [116], lead [117], and bismuth [118]. The uncertainties associated with atomic wave functions are quite small for cesium [119], and have been reduced to about 0.4%. In the past, the semi-empirical value of the tensor polarizability added another source of theoretical uncertainty [120]. The ratio of the off-diagonal hyperfine amplitude to the polarizability has now been measured directly by the Boulder group [121]. Combined with the precisely known hyperfine amplitude [122] one finds excellent agreement with the earlier results, reducing the overall theory uncertainty to only 0.5% (while slightly increasing the experimental error). An earlier  $2.3 \sigma$  deviation from the SM (see the year 2000 edition of this *Review*) is now seen at the  $1 \sigma$  level, after the contributions from the Breit interaction have been reevaluated [123], and after the subsequent inclusion of other large and previously underestimated effects [124] (*e.g.*, from QED radiative corrections), and an update of the SM calculation [125] resulted in a vanishing net effect. The theoretical uncertainties are 3% for thallium [126] but larger for the other atoms. The electroweak physics is contained in the “weak charges”, which at tree level are defined by

$$Q_W = -2[C_{1u}(2Z + N) + C_{1d}(Z + 2N)] \approx Z(1 - 4\sin^2 \theta_W) - N. \quad (10.23)$$

The Boulder experiment in cesium also observed the parity-violating weak corrections to the nuclear electromagnetic vertex (the anapole moment [127]).

In the future it could be possible to reduce the theoretical wave function uncertainties by taking the ratios of parity violation in different isotopes [113,128]. There would still be some residual uncertainties from differences in the neutron charge radii, however [129]. Experiments in hydrogen and deuterium are another possibility for reducing the uncertainties [130].

The forward-backward asymmetry for  $e^+e^- \rightarrow \ell^+\ell^-$ ,  $\ell = \mu$  or  $\tau$ , is defined as

$$A_{FB} \equiv \frac{\sigma_F - \sigma_B}{\sigma_F + \sigma_B}, \quad (10.24)$$

where  $\sigma_F(\sigma_B)$  is the cross-section for  $\ell^-$  to travel forward (backward) with respect to the  $e^-$  direction.  $A_{FB}$  and  $R$ , the total cross-section relative to pure QED, are given by

$$R = F_1, \quad (10.25)$$

$$A_{FB} = 3F_2/4F_1, \quad (10.26)$$

where

$$F_1 = 1 - 2\chi_0 g_V^e g_V^\ell \cos \delta_R + \chi_0^2 (g_V^{e2} + g_A^{e2}) (g_V^{\ell 2} + g_A^{\ell 2}), \quad (10.27a)$$

$$F_2 = -2\chi_0 g_A^e g_A^\ell \cos \delta_R + 4\chi_0^2 g_A^e g_A^\ell g_V^e g_V^\ell, \quad (10.27b)$$

$$\tan \delta_R = \frac{M_Z \Gamma_Z}{M_Z^2 - s}, \quad (10.28)$$

$$\chi_0 = \frac{G_F}{2\sqrt{2}\pi\alpha} \frac{sM_Z^2}{[(M_Z^2 - s)^2 + M_Z^2\Gamma_Z^2]^{1/2}}, \quad (10.29)$$

and  $\sqrt{s}$  is the CM energy. Eq. (10.27) is valid at tree level. If the data are radiatively corrected for QED effects (as described above), then the remaining electroweak corrections can be incorporated [131,132] (in an approximation adequate for existing PEP, PETRA, and TRISTAN data, which are well below the  $Z$  pole) by replacing  $\chi_0$  by  $\chi(s) \equiv (1 + \rho_t)\chi_0(s)\alpha/\alpha(s)$ , where  $\alpha(s)$  is the running QED coupling, and evaluating  $g_V$  in the  $\overline{\text{MS}}$  scheme. Reviews and formulae for  $e^+e^- \rightarrow$  hadrons may be found in Ref. 133.

At LEP 1 and SLC, there were high-precision measurements of various  $Z$  pole observables [49,134–139], as summarized in Table 10.5. These include the  $Z$  mass and total width,  $\Gamma_Z$ , and partial widths  $\Gamma(f\bar{f})$  for  $Z \rightarrow f\bar{f}$  where fermion  $f = e, \mu, \tau$ , hadrons,  $b$ , or  $c$ . It is convenient to use the variables  $M_Z, \Gamma_Z, R_{\ell_i} \equiv \Gamma(\text{had})/\Gamma(\ell_i^+\ell_i^-)$  ( $\ell_i = e, \mu, \tau$ ),  $\sigma_{\text{had}} \equiv 12\pi\Gamma(e^+e^-)\Gamma(\text{had})/M_Z^2\Gamma_Z^2$ ,  $R_b \equiv \Gamma(b\bar{b})/\Gamma(\text{had})$ , and  $R_c \equiv \Gamma(c\bar{c})/\Gamma(\text{had})$ , most of which are weakly correlated experimentally. ( $\Gamma(\text{had})$  is the partial width into hadrons.) The three values for  $R_{\ell_i}$  are not inconsistent with lepton universality (although  $R_\tau$  is somewhat low), but we use the general analysis in which the three observables are treated as independent. Similar remarks apply to  $A_{FB}^{0,\ell_i}$  below ( $A_{FB}^{0,\tau}$  is somewhat high).  $\mathcal{O}(\alpha^3)$  QED corrections introduce a large anti-correlation ( $-30\%$ ) between  $\Gamma_Z$  and  $\sigma_{\text{had}}$ . The anti-correlation between  $R_b$  and  $R_c$  is  $-18\%$  [49]. The  $R_{\ell_i}$  are insensitive to  $m_t$  except for the  $Z \rightarrow b\bar{b}$  vertex and final state corrections and the implicit dependence through  $\sin^2\theta_W$ . Thus, they are especially useful for constraining  $\alpha_s$ . The width for invisible decays [49],  $\Gamma(\text{inv}) = \Gamma_Z - 3\Gamma(\ell^+\ell^-) - \Gamma(\text{had}) = 499.0 \pm 1.5$  MeV, can be used to determine the number of neutrino flavors much lighter than  $M_Z/2$ ,  $N_\nu = \Gamma(\text{inv})/\Gamma^{\text{theory}}(\nu\bar{\nu}) = 2.985 \pm 0.009$  for  $(m_t, M_H) = (170.9, 117)$  GeV.

There were also measurements of various  $Z$  pole asymmetries. These include the polarization or left-right asymmetry

$$A_{LR} \equiv \frac{\sigma_L - \sigma_R}{\sigma_L + \sigma_R}, \quad (10.30)$$

where  $\sigma_L(\sigma_R)$  is the cross-section for a left-(right-)handed incident electron.  $A_{LR}$  was measured precisely by the SLD collaboration at the SLC [135], and has the advantages of being extremely sensitive to  $\sin^2\theta_W$  and that systematic uncertainties largely cancel. In addition, the SLD collaboration extracted the final-state couplings  $A_b, A_c$  [49],  $A_s$  [136],  $A_\tau$ , and  $A_\mu$  [137] from left-right forward-backward asymmetries, using

$$A_{LR}^{FB}(f) = \frac{\sigma_{LF}^f - \sigma_{LB}^f - \sigma_{RF}^f + \sigma_{RB}^f}{\sigma_{LF}^f + \sigma_{LB}^f + \sigma_{RF}^f + \sigma_{RB}^f} = \frac{3}{4}A_f, \quad (10.31)$$

where, for example,  $\sigma_{LF}$  is the cross-section for a left-handed incident electron to produce a fermion  $f$  traveling in the forward hemisphere. Similarly,  $A_\tau$  was measured at LEP 1 [49] through the negative total  $\tau$  polarization,  $\mathcal{P}_\tau$ , and  $A_e$  was extracted from the angular distribution of  $\mathcal{P}_\tau$ . An equation such as (10.31) assumes that initial

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state QED corrections, photon exchange,  $\gamma$ - $Z$  interference, the tiny electroweak boxes, and corrections for  $\sqrt{s} \neq M_Z$  are removed from the data, leaving the pure electroweak asymmetries. This allows the use of effective tree-level expressions,

$$A_{LR} = A_e P_e , \quad (10.32)$$

$$A_{FB} = \frac{3}{4} A_f \frac{A_e + P_e}{1 + P_e A_e} , \quad (10.33)$$

where

$$A_f \equiv \frac{2\bar{g}_V^f \bar{g}_A^f}{\bar{g}_V^{f2} + \bar{g}_A^{f2}} , \quad (10.34)$$

and

$$\bar{g}_V^f = \sqrt{\rho_f} (t_{3L}^{(f)} - 2q_f \kappa_f \sin^2 \theta_W) , \quad (10.34b)$$

$$\bar{g}_A^f = \sqrt{\rho_f} t_{3L}^{(f)} . \quad (10.34c)$$

$P_e$  is the initial  $e^-$  polarization, so that the second equality in Eq. (10.31) is reproduced for  $P_e = 1$ , and the  $Z$  pole forward-backward asymmetries at LEP 1 ( $P_e = 0$ ) are given by  $A_{FB}^{(0,f)} = \frac{3}{4} A_e A_f$  where  $f = e, \mu, \tau, b, c, s$  [138], and  $q$ , and where  $A_{FB}^{(0,q)}$  refers to the hadronic charge asymmetry. Corrections for  $t$ -channel exchange and  $s/t$ -channel interference cause  $A_{FB}^{(0,e)}$  to be strongly anti-correlated with  $R_e$  ( $-37\%$ ). The correlation between  $A_{FB}^{(0,b)}$  and  $A_{FB}^{(0,c)}$  amounts to 15%. The initial state coupling,  $A_e$ , was also determined through the left-right charge asymmetry [139] and in polarized Bhabba scattering at the SLC [137]. The forward-backward asymmetry,  $A_{FB}$ , for  $e^+e^-$  final states in  $p\bar{p}$  collisions has been measured by CDF [140] and a value for  $\bar{s}_\ell^2$  has been extracted. By varying the invariant mass and the scattering angle (and assuming the electron couplings), the effective  $Z$  couplings to light quarks,  $\bar{g}_{V,A}^{u,d}$ , resulted, as well, but with large uncertainties and mutual correlations. Similar analyses have also been reported by the H1 and Zeus collaborations at HERA [141] and by the LEP collaborations [49].

The electroweak radiative corrections have been absorbed into corrections  $\rho_f - 1$  and  $\kappa_f - 1$ , which depend on the fermion  $f$  and on the renormalization scheme. In the on-shell scheme, the quadratic  $m_t$  dependence is given by  $\rho_f \sim 1 + \rho_t$ ,  $\kappa_f \sim 1 + \rho_t / \tan^2 \theta_W$ , while in  $\overline{\text{MS}}$ ,  $\hat{\rho}_f \sim \hat{\kappa}_f \sim 1$ , for  $f \neq b$  ( $\hat{\rho}_b \sim 1 - \frac{4}{3}\rho_t$ ,  $\hat{\kappa}_b \sim 1 + \frac{2}{3}\rho_t$ ). In the  $\overline{\text{MS}}$  scheme the normalization is changed according to  $G_F M_Z^2 / 2\sqrt{2}\pi \rightarrow \hat{\alpha} / 4\hat{s}_Z^2 \hat{c}_Z^2$ . (If one continues to normalize amplitudes by  $G_F M_Z^2 / 2\sqrt{2}\pi$ , as in the 1996 edition of this *Review*, then  $\hat{\rho}_f$  contains an additional factor of  $\hat{\rho}$ .) In practice, additional bosonic and fermionic loops, vertex corrections, leading higher order contributions, *etc.*, must be included. For example, in the  $\overline{\text{MS}}$  scheme one has  $\hat{\rho}_\ell = 0.9981$ ,  $\hat{\kappa}_\ell = 1.0013$ ,  $\hat{\rho}_b = 0.9874$ , and  $\hat{\kappa}_b = 1.0065$ . It is convenient to define an effective angle  $\bar{s}_f^2 \equiv \sin^2 \bar{\theta}_{Wf} \equiv \hat{\kappa}_f \hat{s}_Z^2 = \kappa_f s_W^2$ , in terms of which  $\bar{g}_V^f$  and  $\bar{g}_A^f$  are given by  $\sqrt{\rho_f}$  times their tree-level formulae. Because  $\bar{g}_V^\ell$  is very small, not only  $A_{LR}^0 = A_e$ ,  $A_{FB}^{(0,\ell)}$ , and  $\mathcal{P}_\tau$ , but also  $A_{FB}^{(0,b)}$ ,  $A_{FB}^{(0,c)}$ ,  $A_{FB}^{(0,s)}$ , and

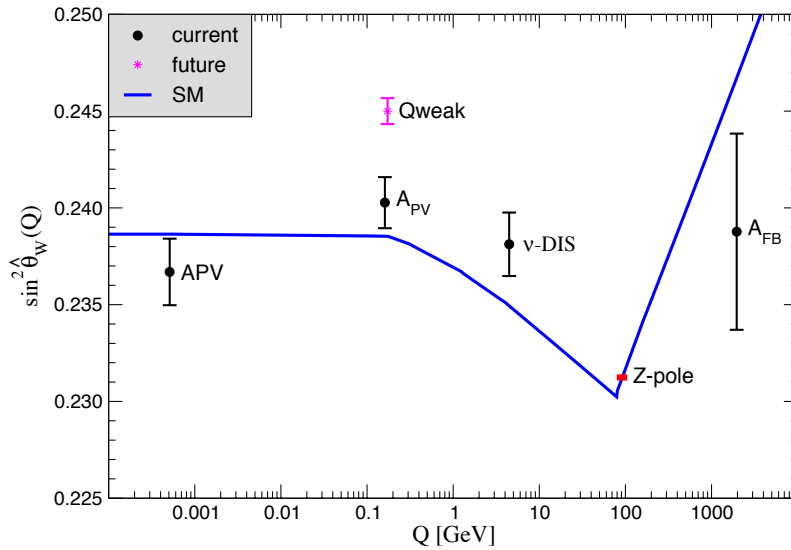


the hadronic asymmetries are mainly sensitive to  $\bar{s}_\ell^2$ . One finds that  $\hat{\kappa}_f$  ( $f \neq b$ ) is almost independent of  $(m_t, M_H)$ , so that one can write

$$\bar{s}_\ell^2 \sim \hat{s}_Z^2 + 0.00029 . \quad (10.35)$$

Thus, the asymmetries determine values of  $\bar{s}_\ell^2$  and  $\hat{s}_Z^2$  almost independent of  $m_t$ , while the  $\kappa$ 's for the other schemes are  $m_t$  dependent.

LEP 2 [142] ran at several energies above the  $Z$  pole up to  $\sim 209$  GeV. Measurements were made of a number of observables, including the cross-sections for  $e^+e^- \rightarrow f\bar{f}$  for  $f = q, \mu^-, \tau^-$ ; the differential cross-sections and  $A_{FB}$  for  $\mu$  and  $\tau$ ;  $R$  and  $A_{FB}$  for  $b$  and  $c$ ;  $W$  branching ratios; and  $WW$ ,  $WW\gamma$ ,  $ZZ$ , single  $W$ , and single  $Z$  cross-sections. They are in agreement with the SM predictions, with the exceptions of the total hadronic cross-section ( $1.7 \sigma$  high),  $R_b$  ( $2.1 \sigma$  low), and  $A_{FB}(b)$  ( $1.6 \sigma$  low). Also, the SM Higgs boson was excluded below a mass of 114.4 GeV at the 95% CL [143].



**Figure 10.1:** Scale dependence of the weak mixing angle defined in the  $\overline{\text{MS}}$  scheme [146]. The minimum of the curve corresponds to  $Q = M_W$ , below which we switch to an effective theory with the  $W^\pm$  bosons integrated out, and where the  $\beta$ -function for the weak mixing angle changes sign. At the location of the  $W$  boson mass and each fermion mass, there are also discontinuities arising from scheme dependent matching terms which are necessary to ensure that the various effective field theories within a given loop order describe the same physics. However, in the  $\overline{\text{MS}}$  scheme these are very small numerically and barely visible in the figure provided one decouples quarks at  $Q = \hat{m}_q(\hat{m}_q)$ . The width of the curve reflects the theory uncertainty from strong interaction effects which is at the level of  $\pm 7 \times 10^{-5}$  [146].

The  $Z$  boson properties are extracted assuming the SM expressions for the  $\gamma$ - $Z$  interference terms. These have also been tested experimentally by performing more

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general fits [142,144] to the LEP 1 and LEP 2 data. Assuming family universality this approach introduces three additional parameters relative to the standard fit [49], describing the  $\gamma$ - $Z$  interference contribution to the total hadronic and leptonic cross-sections,  $j_{\text{had}}^{\text{tot}}$  and  $j_{\ell}^{\text{tot}}$ , and to the leptonic forward-backward asymmetry,  $j_{\ell}^{\text{fb}}$ . For example,

$$j_{\text{had}}^{\text{tot}} \sim g_V^{\ell} g_V^{\text{had}} = 0.277 \pm 0.065 , \quad (10.36)$$

which is in good agreement with the SM expectation [49] of  $0.21 \pm 0.01$ . These are valuable tests of the SM; but it should be cautioned that new physics is not expected to be described by this set of parameters, since (i) they do not account for extra interactions beyond the standard weak neutral-current, and (ii) the photonic amplitude remains fixed to its SM value.

Strong constraints on anomalous triple and quartic gauge couplings have been obtained at LEP 2 and at the Tevatron, as are described in the Gauge & Higgs Boson Particle Listings.

The parity violating left-right asymmetry,  $A_{PV}$ , in fixed target polarized Møller scattering,  $e^-e^- \rightarrow e^-e^-$ , is defined as in Eq. (10.30) but with the opposite sign. It has been measured at low  $Q^2 = 0.026 \text{ GeV}^2$  in the SLAC E158 experiment [145], with the result  $A_{PV} = -1.31 \pm 0.14(\text{stat.}) \pm 0.10(\text{syst.}) \times 10^{-7}$ . Expressed in terms of the weak mixing angle in the  $\overline{\text{MS}}$  scheme, this yields  $\hat{s}^2(Q^2) = 0.2403 \pm 0.0013$ , and established the running of the weak mixing (see Fig. 10.1) at the level of 6.4 standard deviations. In a similar experiment and at about the same  $Q^2$ , Qweak at Jefferson Lab [147] will be able to measure  $\sin^2 \theta_W$  in polarized  $ep$  scattering with a relative precision of 0.3%. These experiments will provide the most precise determinations of the weak mixing angle off the  $Z$  peak and will be sensitive to various types of physics beyond the SM.

### 10.4. $W$ and $Z$ decays

The partial decay width for gauge bosons to decay into massless fermions  $f_1 \bar{f}_2$  (the numerical values include the small electroweak radiative corrections and final state mass effects) is

$$\Gamma(W^+ \rightarrow e^+ \nu_e) = \frac{G_F M_W^3}{6\sqrt{2}\pi} \approx 226.20 \pm 0.10 \text{ MeV} , \quad (10.44a)$$

$$\Gamma(W^+ \rightarrow u_i \bar{d}_j) = \frac{CG_F M_W^3}{6\sqrt{2}\pi} |V_{ij}|^2 \approx (705.97 \pm 0.31) |V_{ij}|^2 \text{ MeV} , \quad (10.44b)$$

$$\Gamma(Z \rightarrow \psi_i \bar{\psi}_i) = \frac{CG_F M_Z^3}{6\sqrt{2}\pi} \left[ g_V^{i2} + g_A^{i2} \right] \quad (10.44c)$$

$$\approx \begin{cases} 300.10 \pm 0.09 \text{ MeV} (u\bar{u}), & 167.18 \pm 0.02 \text{ MeV} (\nu\bar{\nu}), \\ 382.89 \pm 0.08 \text{ MeV} (d\bar{d}), & 83.97 \pm 0.03 \text{ MeV} (e^+e^-), \\ 376.01 \mp 0.05 \text{ MeV} (b\bar{b}). \end{cases}$$

For leptons  $C = 1$ , while for quarks  $C = 3 \left( 1 + \alpha_s(M_V)/\pi + 1.409\alpha_s^2/\pi^2 - 12.77\alpha_s^3/\pi^3 \right)$ , where the 3 is due to color and the factor in parentheses represents the universal part

of the QCD corrections [148] for massless quarks [149]. We also included the leading  $\mathcal{O}(\alpha_s^4)$  contribution to hadronic  $Z$  decays [150]. The  $Z \rightarrow f\bar{f}$  widths contain a number of additional corrections: universal (non-singlet) top quark mass contributions [151]; fermion mass effects and further QCD corrections proportional to  $\hat{m}_q^2(M_Z^2)$  [152] which are different for vector and axial-vector partial widths; and singlet contributions starting from two-loop order which are large, strongly top quark mass dependent, family universal, and flavor non-universal [153]. All QCD effects are known and included up to three-loop order. The QED factor  $1 + 3\alpha q_f^2/4\pi$ , as well as two-loop order  $\alpha\alpha_s$  and  $\alpha^2$  self-energy corrections [154] are also included. Working in the on-shell scheme, *i.e.*, expressing the widths in terms of  $G_F M_{W,Z}^3$ , incorporates the largest radiative corrections from the running QED coupling [53,155]. Electroweak corrections to the  $Z$  widths are then incorporated by replacing  $g_{V,A}^2$  by  $\bar{g}_{V,A}^2$ . Hence, in the on-shell scheme the  $Z$  widths are proportional to  $\rho_i \sim 1 + \rho_t$ . The  $\overline{\text{MS}}$  normalization accounts also for the leading electroweak corrections [58]. There is additional (negative) quadratic  $m_t$  dependence in the  $Z \rightarrow b\bar{b}$  vertex corrections [156] which causes  $\Gamma(b\bar{b})$  to decrease with  $m_t$ . The dominant effect is to multiply  $\Gamma(b\bar{b})$  by the vertex correction  $1 + \delta\rho_{b\bar{b}}$ , where  $\delta\rho_{b\bar{b}} \sim 10^{-2}(-\frac{1}{2}\frac{m_t^2}{M_Z^2} + \frac{1}{5})$ . In practice, the corrections are included in  $\rho_b$  and  $\kappa_b$ , as discussed before.

For 3 fermion families the total widths are predicted to be

$$\Gamma_Z \approx 2.4952 \pm 0.0004 \text{ GeV} \quad , \quad (10.45)$$

$$\Gamma_W \approx 2.0902 \pm 0.0009 \text{ GeV} \quad . \quad (10.46)$$

We have assumed  $\alpha_s(M_Z) = 0.1200$ . An uncertainty in  $\alpha_s$  of  $\pm 0.0017$  introduces an additional uncertainty of 0.05% in the hadronic widths, corresponding to  $\pm 0.8$  MeV in  $\Gamma_Z$ . These predictions are to be compared with the experimental results  $\Gamma_Z = 2.4952 \pm 0.0023$  GeV [49] and  $\Gamma_W = 2.141 \pm 0.041$  GeV (see the Gauge & Higgs Boson Particle Listings for more details).

## 10.5. Precision flavor physics

In addition to cross-sections, asymmetries, parity violation,  $W$  and  $Z$  decays, there are a large number of experiments and observables testing the flavor structure of the SM. These are addressed elsewhere in this *Review*, and generally not included in this Section. However, we identify three precision observables with sensitivity to similar types of new physics as the other processes discussed here. The branching fraction of the flavor changing transition  $b \rightarrow s\gamma$  is of comparatively low precision, but since it is a loop-level process (in the SM) its sensitivity to new physics (and SM parameters, such as heavy quark masses) is enhanced. The  $\tau$ -lepton lifetime and leptonic branching ratios are primarily sensitive to  $\alpha_s$  and not affected significantly by many types of new physics. However, having an independent and reliable low-energy measurement of  $\alpha_s$  in a global analysis allows the comparison with the  $Z$  lineshape determination of  $\alpha_s$  which shifts easily in the presence of new physics contributions. By far the most precise

**Table 10.4:** Correlation matrix for measurements of the  $b \rightarrow s\gamma$  transition.

CLEO	1.000	0.092	0.176	0.048
Belle	0.092	1.000	0.136	0.026
BaBar (inclusive)	0.176	0.136	1.000	0.029
BaBar (exclusive)	0.048	0.026	0.029	1.000

observable discussed here is the anomalous magnetic moment of the muon (the electron magnetic moment is measured to even greater precision, but its new physics sensitivity is suppressed by terms proportional to  $m_e^2/M_Z^2$ ). Its combined experimental and theoretical uncertainty is comparable to typical new physics contributions.

The CLEO [157], Belle [158], and BaBar [159] collaborations reported precise measurements of the process  $b \rightarrow s\gamma$ . We extrapolated these results to the full photon spectrum which is defined according to the recommendation in Ref. 160. The results for the branching fractions are then given by,

$$\begin{aligned}
 \text{CLEO} &: 3.34 \times 10^{-4} [1 \pm 0.134 \pm 0.076 \pm 0.038 \pm 0.048 \pm 0.006], \\
 \text{Belle} &: 3.59 \times 10^{-4} [1 \pm 0.091_{-0.084}^{+0.081} \pm 0.025 \pm 0.020 \pm 0.006], \\
 \text{BaBar} &: 4.01 \times 10^{-4} [1 \pm 0.080 \pm 0.091 \pm 0.079 \pm 0.026 \pm 0.006], \\
 \text{BaBar} &: 3.57 \times 10^{-4} [1 \pm 0.055_{-0.122}^{+0.168} \pm 0.000 \pm 0.026 \pm 0.000],
 \end{aligned}$$

where the first two errors are the statistical and systematic uncertainties (taken uncorrelated). In the case of CLEO, a 3.8% component from the model error of the signal efficiency is moved from the systematic error to the model (third) error. The fourth error accounts for the extrapolation from the finite photon energy cutoff [160–162] (2.0 GeV, 1.815 GeV, and 1.9 GeV, respectively, for CLEO, Belle, and BaBar) to the full theoretical branching ratio. For this we use the results of Ref. 160 for  $m_b = 4.70$  GeV which is in good agreement with the more recent Ref. 162. The uncertainty reflects the difference due to choosing  $m_b = 4.80$  GeV, instead. The last error is from the correction ( $0.962 \pm 0.006$ ) for the  $b \rightarrow d\gamma$  component which is common to all inclusive measurements, but absent for the exclusive BaBar measurement in the last line. The last three errors are taken as 100% correlated, resulting in the correlation matrix in Table 10.4. It is advantageous [163] to normalize the result with respect to the semi-leptonic branching fraction,  $\mathcal{B}(b \rightarrow X e \nu) = 0.1024 \pm 0.0015$ , yielding,

$$R = \frac{\mathcal{B}(b \rightarrow s\gamma)}{\mathcal{B}(b \rightarrow X e \nu)} = (3.55 \pm 0.30 \pm 0.39) \times 10^{-3}. \quad (10.47)$$

In the fits we use the variable  $\ln R = -5.64 \pm 0.14$  to assure an approximately Gaussian error [164]. The second uncertainty in Eq. (10.47) is an 11% theory uncertainty (excluding parametric errors such as from  $\alpha_s$ ) in the SM prediction which is based on the next-to-leading order calculations of Refs. 163 and 165.

The extraction of  $\alpha_s$  from the  $\tau$  lifetime and leptonic branching ratios is standing out from other determinations, because of a variety of independent reasons: (i) the  $\tau$ -scale is low, so that upon extrapolation to the  $Z$  scale (where it can be compared to the theoretically clean  $Z$  lineshape determinations) the  $\alpha_s$  error shrinks by about an order of magnitude; (ii) yet, this scale is high enough that perturbation theory and the operator product expansion (OPE) can be applied; (iii) these observables are fully inclusive and thus free of fragmentation and hadronization effects that would have to be modeled or measured; (iv) OPE breaking effects are most problematic near the branch cut but there they are suppressed by a double zero at  $s = m_\tau^2$ ; (v) there are enough data [35] to constrain non-perturbative effects both within and breaking the OPE; (vi) a complete three-loop order QCD calculation is available; (vii) large effects associated with the QCD  $\beta$ -function can be resummed [166] (in what has become known as contour improvement) and these have been computed to even four-loop precision [167]. The largest uncertainty is from the missing perturbative four and higher loop coefficients (appearing in the Adler- $D$  function). The corresponding effects are highly non-linear so that this uncertainty is itself  $\alpha_s$  dependent, updated in each call of the fits, and leading to an asymmetric error. The second largest uncertainty is from the missing perturbative five and higher loop coefficients of the QCD  $\beta$ -function; this induces an uncertainty in the contour improvement which is fully correlated with the renormalization group extrapolation from the  $\tau$  to the  $Z$  scale. The third largest error is from the experimental uncertainty in the lifetime,  $\tau_\tau = 290.93 \pm 0.48$  fs, which is from the two leptonic branching ratios and the direct  $\tau_\tau$ . Because of the poor convergence of perturbation theory for strange quark final states, we used for these the experimentally measured branching ratio. Included are also various smaller uncertainties from other sources. In total we obtain a 2% determination of  $\alpha_s(M_Z) = 0.1225^{+0.0025}_{-0.0022}$  which updates the result of Ref. 5. For more details, see Ref. 35 where even 1–1.5% uncertainties are advocated (mainly by means of additional assumptions regarding the perturbative four-loop error).

The world average of the muon anomalous magnetic moment\*\*,

$$a_\mu^{\text{exp}} = \frac{g_\mu - 2}{2} = (1165920.80 \pm 0.63) \times 10^{-9}, \quad (10.48)$$

is dominated by the 1999, 2000, and 2001 data runs of the E821 collaboration at BNL [168]. The QED contribution has been calculated to four loops [169] (fully analytically to three loops [170,171]), and the leading logarithms are included to five loops [172,173]. The

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\*\* In what follows, we summarize the most important aspects of  $g_\mu - 2$ , and give some details about the evaluation in our fits. For more details see the dedicated contribution by A. Höcker and W. Marciano in this *Review*. There are some small numerical differences (at the level of 0.1 standard deviation), which are well understood and mostly arise because internal consistency of the fits requires the calculation of all observables from analytical expressions and common inputs and fit parameters, so that an independent evaluation is necessary for this Section. Note, that in the spirit of a global analysis based on all available information we have chosen here to average in the  $\tau$  decay and radiative return (KLOE) data, as well.

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estimated SM electroweak contribution [174–176],  $a_\mu^{\text{EW}} = (1.52 \pm 0.03) \times 10^{-9}$ , which includes leading two-loop [175] and three-loop [176] corrections, is at the level of the current uncertainty.

The limiting factor in the interpretation of the result is the uncertainty from the two-loop hadronic contribution. *E.g.*, Ref. 44 obtained the value  $a_\mu^{\text{had}} = (69.08 \pm 0.44) \times 10^{-9}$  which is dominated by CMD-2 [37] and SND [38]  $e^+e^- \rightarrow$  hadrons cross-section data and excludes data from  $\tau$  decays and KLOE [40]. This value suggests a  $3.3 \sigma$  discrepancy between Eq. (10.48) and the SM prediction. Updating an alternative analysis [34] the author of Ref. 44 also quotes  $a_\mu^{\text{had}} = (71.03 \pm 0.52) \times 10^{-9}$  using  $\tau$  decay data and isospin symmetry (CVC). This result implies no conflict ( $0.9 \sigma$ ) with Eq. (10.48). Thus, there is also a discrepancy between the  $2\pi$  and  $4\pi$  spectral functions obtained from the two methods. For example, if one uses the  $e^+e^-$  data and CVC to predict the branching ratio for  $\tau^- \rightarrow \nu_\tau \pi^- \pi^0$  decays one obtains  $24.52 \pm 0.31\%$  [36] (this does not include the SND data) while the average of the measured branching ratios by DELPHI [177], ALEPH, CLEO, L3, and OPAL [34] yields  $25.43 \pm 0.09\%$ , which is  $2.8 \sigma$  higher. It is important to understand the origin of this difference, but three observations point to the conclusion that at least some of it is experimental: (i) The  $\tau^- \rightarrow \nu_\tau 2\pi^- \pi^+ \pi^0$  spectral function also disagrees with the corresponding  $e^+e^-$  data by  $3.6 \sigma$ , which translates to a 20% effect [44] and seems too large to arise from isospin violation. (ii) Isospin violating corrections have been studied in detail in Ref. 178 and found to be largely under control. The largest effect is due to higher-order electroweak corrections [45] but introduces a negligible uncertainty [179]. (iii) Ref. 180 shows on the basis of a QCD sum rule that the spectral functions derived from  $\tau$  decay data are consistent with values of  $\alpha_s(M_Z) \gtrsim 0.120$ , in agreement with what we find from the global fit in Sec. 10.6, while the spectral functions from  $e^+e^-$  annihilation are consistent only for somewhat lower (disfavored) values. On the other hand, Ref. 181 reevaluated the long-distance electromagnetic radiative corrections to  $\tau^- \rightarrow \pi^- \pi^0 \nu$ ; a model estimate suggests a modest improvement of the conflict. Nevertheless,  $a_\mu^{\text{had}}$  has been evaluated in Refs. 32 and 182 excluding the  $\tau$  decay data with results which are generally in good agreement with each other and other  $e^+e^-$  based analyzes. It is argued [182] that CVC breaking effects (*e.g.*, through a relatively large mass difference between the  $\rho^\pm$  and  $\rho^0$  vector mesons) may be larger than expected. (This may also be relevant in the context of the NuTeV discrepancy discussed above [182].) Experimentally [35], this mass difference is indeed larger than expected, but then one would also expect a significant width difference which is contrary to observation [35]. Fortunately, due to the suppression at large  $s$  (from where the conflicts originate) these problems are less pronounced as far as  $a_\mu^{\text{had}}$  is concerned. In the following we view all differences in spectral functions as (systematic) fluctuations and average the results.

An additional uncertainty is induced by the hadronic three-loop light-by-light scattering contribution. Two recent and inherently different model calculations yield  $a_\mu^{\text{LBLS}} = (+1.36 \pm 0.25) \times 10^{-9}$  [183] and  $a_\mu^{\text{LBLS}} = +1.37_{-0.27}^{+0.15} \times 10^{-9}$  [184] which are higher than previous evaluations [185,186]. The sign of this effect is opposite [185] to the one quoted in the 2002 edition of this *Review*, and has subsequently been confirmed by two other groups [186]. There is also the upper bound  $a_\mu^{\text{LBLS}} < 1.59 \times 10^{-9}$  [184] but

this requires an ad hoc model assumption, too. Other hadronic effects at three-loop order contribute [187],  $a_\mu^{\text{had}} \left[ \left( \frac{\alpha}{\pi} \right)^3 \right] = (-1.00 \pm 0.06) \times 10^{-9}$ . Correlations with the two-loop hadronic contribution and with  $\Delta\alpha(M_Z)$  (see Sec. 10.2) were considered in Ref. 171, which also contains analytic results for the perturbative QCD contribution.

The SM prediction is

$$a_\mu^{\text{theory}} = (1165918.81 \pm 0.38) \times 10^{-9}, \quad (10.49)$$

where the error is from the hadronic uncertainties excluding parametric ones such as from  $\alpha_s$  and the heavy quark masses. We estimate its correlation with  $\Delta\alpha(M_Z)$  to 17%. The overall  $2.7 \sigma$  discrepancy between the experimental and theoretical values could be due to fluctuations (the E821 result is statistics dominated) or underestimates of the theoretical uncertainties. On the other hand,  $g_\mu - 2$  is also affected by many types of new physics, such as supersymmetric models with large  $\tan\beta$  and moderately light superparticle masses [188]. Thus, the deviation could also arise from physics beyond the SM.

**Table 10.5:** Principal  $Z$  pole and other observables, compared with the SM best fit predictions (see text). The LEP 1 averages of the ALEPH, DELPHI, L3, and OPAL results include common systematic errors and correlations [49]. The heavy flavor results of LEP 1 and SLD are based on common inputs and correlated, as well [49]. The first  $\bar{s}_\ell^2(A_{FB}^{(0,q)})$  is the effective angle extracted from the hadronic charge asymmetry, which has some (neglected) correlation with  $A_{FB}^{(0,b)}$ ; the second  $\bar{s}_\ell^2(A_{FB}^{(0,q)})$  is from the lepton asymmetry from CDF [140]. The values of  $\Gamma(\ell^+\ell^-)$ ,  $\Gamma(\text{had})$ , and  $\Gamma(\text{inv})$  are not independent of  $\Gamma_Z$ , the  $R_\ell$ , and  $\sigma_{\text{had}}$ . The first  $M_W$  value is from UA2, CDF, and DØ [189]; the second one is from LEP 2 [142]. The first  $M_W$  and  $M_Z$  are correlated, but the effect is negligible due to the tiny  $M_Z$  error. The three values of  $A_e$  are (i) from  $A_{LR}$  for hadronic final states [135]; (ii) from  $A_{LR}$  for leptonic final states and from polarized Bhabba scattering [137]; and (iii) from the angular distribution of the  $\tau$  polarization. The two  $A_\tau$  values are from SLD and the total  $\tau$  polarization, respectively.  $g_L^2$ , which has been adjusted to account for an asymmetric strange sea (see Sec. 10.3), and  $g_R^2$  are from NuTeV [89] and have a very small ( $-1.7\%$ ) residual anti-correlation. The older  $\nu$ -DIS results from CDHS [83], CHARM [84], and CCFR [85] are included, as well, but not shown in the Table. The world averages for  $g_{V,A}^{\nu e}$  are dominated by the CHARM II [106] results,  $g_V^{\nu e} = -0.035 \pm 0.017$  and  $g_A^{\nu e} = -0.503 \pm 0.017$ .  $A_{PV}$  is the parity violating asymmetry in Møller scattering. The errors in  $Q_W$ , DIS,  $b \rightarrow s\gamma$ , and  $g_\mu - 2$  are the total (experimental plus theoretical) uncertainties. The  $\tau_\tau$  value is the  $\tau$  lifetime world average computed by combining the direct measurements with values derived from the leptonic branching ratios [5]; the theory uncertainty is included in the SM prediction. In all other SM predictions, the uncertainty is from  $M_Z$ ,  $M_H$ ,  $m_t$ ,  $m_b$ ,  $m_c$ ,  $\hat{\alpha}(M_Z)$ , and  $\alpha_s$ , and their correlations have been accounted for. The SM errors in  $\Gamma_Z$ ,  $\Gamma(\text{had})$ ,  $R_\ell$ , and  $\sigma_{\text{had}}$  are largely dominated by the uncertainty in  $\alpha_s$ . The column denoted Pull gives the standard deviations for the principal fit with  $M_H$  free, while the column denoted Deviation is for  $M_H = 117$  GeV fixed.

Quantity	Value	Standard Model	Pull	Dev.
$m_t$ [GeV]	$170.9 \pm 1.8 \pm 0.6$	$171.1 \pm 1.9$	-0.1	-0.8
$M_W$ [GeV]	$80.428 \pm 0.039$	$80.375 \pm 0.015$	1.4	1.7
	$80.376 \pm 0.033$		0.0	0.5
$M_Z$ [GeV]	$91.1876 \pm 0.0021$	$91.1874 \pm 0.0021$	0.1	-0.1
$\Gamma_Z$ [GeV]	$2.4952 \pm 0.0023$	$2.4968 \pm 0.0010$	-0.7	-0.5
$\Gamma(\text{had})$ [GeV]	$1.7444 \pm 0.0020$	$1.7434 \pm 0.0010$	–	–
$\Gamma(\text{inv})$ [MeV]	$499.0 \pm 1.5$	$501.59 \pm 0.08$	–	–
$\Gamma(\ell^+\ell^-)$ [MeV]	$83.984 \pm 0.086$	$83.988 \pm 0.016$	–	–
$\sigma_{\text{had}}$ [nb]	$41.541 \pm 0.037$	$41.466 \pm 0.009$	2.0	2.0
$R_e$	$20.804 \pm 0.050$	$20.758 \pm 0.011$	0.9	1.0
$R_\mu$	$20.785 \pm 0.033$	$20.758 \pm 0.011$	0.8	0.9
$R_\tau$	$20.764 \pm 0.045$	$20.803 \pm 0.011$	-0.9	-0.8
$R_b$	$0.21629 \pm 0.00066$	$0.21584 \pm 0.00006$	0.7	0.7
$R_c$	$0.1721 \pm 0.0030$	$0.17228 \pm 0.00004$	-0.1	-0.1
$A_{FB}^{(0,e)}$	$0.0145 \pm 0.0025$	$0.01627 \pm 0.00023$	-0.7	-0.6
$A_{FB}^{(0,\mu)}$	$0.0169 \pm 0.0013$		0.5	0.7
$A_{FB}^{(0,\tau)}$	$0.0188 \pm 0.0017$		1.5	1.6
$A_{FB}^{(0,b)}$	$0.0992 \pm 0.0016$	$0.1033 \pm 0.0007$	-2.5	-2.0
$A_{FB}^{(0,c)}$	$0.0707 \pm 0.0035$	$0.0738 \pm 0.0006$	-0.9	-0.7
$A_{FB}^{(0,s)}$	$0.0976 \pm 0.0114$	$0.1034 \pm 0.0007$	-0.5	-0.4
$\bar{s}_\ell^2(A_{FB}^{(0,q)})$	$0.2324 \pm 0.0012$	$0.23149 \pm 0.00013$	0.8	0.6
	$0.2238 \pm 0.0050$		-1.5	-1.6
$A_e$	$0.15138 \pm 0.00216$	$0.1473 \pm 0.0011$	1.9	2.4
	$0.1544 \pm 0.0060$		1.2	1.4
	$0.1498 \pm 0.0049$		0.5	0.7
$A_\mu$	$0.142 \pm 0.015$		-0.4	-0.3
$A_\tau$	$0.136 \pm 0.015$		-0.8	-0.7
	$0.1439 \pm 0.0043$		-0.8	-0.5
$A_b$	$0.923 \pm 0.020$	$0.9348 \pm 0.0001$	-0.6	-0.6
$A_c$	$0.670 \pm 0.027$	$0.6679 \pm 0.0005$	0.1	0.1
$A_s$	$0.895 \pm 0.091$	$0.9357 \pm 0.0001$	-0.4	-0.4
$g_L^2$	$0.3010 \pm 0.0015$	$0.30386 \pm 0.00018$	-1.9	-1.8
$g_R^2$	$0.0308 \pm 0.0011$	$0.03001 \pm 0.00003$	0.7	0.7
$g_V^{\nu e}$	$-0.040 \pm 0.015$	$-0.0397 \pm 0.0003$	0.0	0.0
$g_A^{\nu e}$	$-0.507 \pm 0.014$	$-0.5064 \pm 0.0001$	0.0	0.0
$A_{PV}$	$(-1.31 \pm 0.17) \cdot 10^{-7}$	$(-1.54 \pm 0.02) \cdot 10^{-7}$	1.3	1.2
$Q_W(\text{Cs})$	$-72.62 \pm 0.46$	$-73.16 \pm 0.03$	1.2	1.2
$Q_W(\text{Tl})$	$-116.4 \pm 3.6$	$-116.76 \pm 0.04$	0.1	0.1
$\frac{\Gamma(b \rightarrow s\gamma)}{\Gamma(b \rightarrow X e \nu)}$	$(3.55^{+0.53}_{-0.46}) \cdot 10^{-3}$	$(3.19 \pm 0.08) \cdot 10^{-3}$	0.8	0.7
$\frac{1}{2}(g_\mu - 2 - \frac{\alpha}{\pi})$	$4511.07(74) \cdot 10^{-9}$	$4509.08(10) \cdot 10^{-9}$	2.7	2.7
$\tau_\tau$ [fs]	$290.93 \pm 0.48$	$291.80 \pm 1.76$	-0.4	-0.4



## 10.6. Experimental results

The values of the principal  $Z$  pole observables are listed in Table 10.5, along with the SM predictions for  $M_Z = 91.1874 \pm 0.0021$  GeV,  $M_H = 77_{-22}^{+28}$  GeV,  $m_t = 171.1 \pm 1.9$  GeV,  $\alpha_s(M_Z) = 0.1217 \pm 0.0017$ , and  $\hat{\alpha}(M_Z)^{-1} = 127.909 \pm 0.019$  ( $\Delta\alpha_{\text{had}}^{(5)} \approx 0.02799 \pm 0.00014$ ). The predictions result from a global least-square ( $\chi^2$ ) fit to all data using the minimization package MINUIT [190] and the electroweak library GAPP [81]. In most cases, we treat all input errors (the uncertainties of the values) as Gaussian. The reason is not that we assume that theoretical and systematic errors are intrinsically bell-shaped (which they are not) but because in most cases the input errors are combinations of many different (including statistical) error sources, which should yield approximately Gaussian *combined* errors by the large number theorem. Thus, it suffices if either the statistical components dominate or there are many components of similar size. An exception is the theory dominated error on the  $\tau$  lifetime, which we recalculate in each  $\chi^2$ -function call since it depends itself on  $\alpha_s$  yielding an asymmetric (and thus non-Gaussian) error bar. Sizes and shapes of the output errors (the uncertainties of the predictions and the SM fit parameters) are fully determined by the fit, and  $1\sigma$  errors are defined to correspond to  $\Delta\chi^2 = \chi^2 - \chi_{\text{min}}^2 = 1$ , and do not necessarily correspond to the 68.3% probability range or the 39.3% probability contour (for 2 parameters).

**Table 10.6:** Principal SM fit result including mutual correlations (all masses in GeV).

$M_Z$	$91.1874 \pm 0.0021$	1.00	-0.02	0.00	0.00	-0.01	0.00	0.11
$\hat{m}_t(\hat{m}_t)$	$161.3 \pm 1.8$	-0.02	1.00	0.00	0.00	-0.07	-0.01	0.49
$\hat{m}_b(\hat{m}_b)$	$4.196 \pm 0.028$	0.00	0.00	1.00	0.28	-0.04	0.01	0.05
$\hat{m}_c(\hat{m}_c)$	$1.274_{-0.045}^{+0.036}$	0.00	0.00	0.28	1.00	0.08	0.03	0.15
$\alpha_s(M_Z)$	$0.1217 \pm 0.0017$	-0.01	-0.07	-0.04	0.08	1.00	-0.01	-0.06
$\Delta\alpha_{\text{had}}^{(3)}(1.8 \text{ GeV})$	$0.00574 \pm 0.00010$	0.00	-0.01	0.01	0.03	-0.01	1.00	-0.18
$M_H$	$77_{-22}^{+28}$	0.11	0.49	0.05	0.15	-0.06	-0.18	1.00

The values and predictions of  $m_t$  [6],  $M_W$  [142,189]; deep inelastic [89],  $\nu_\mu$ - $e$  [104–106], and polarized Møller scattering [145]; the  $Q_W$  for cesium [114,115] and thallium [116]; the  $b \rightarrow s\gamma$  observable [157–159]; the muon anomalous magnetic moment [168]; and the  $\tau$  lifetime are also listed in Table 10.5. The values of  $M_W$  and  $m_t$  differ from those in the Particle Listings because they include recent preliminary results. The agreement is generally very good. Despite the discrepancies discussed in the following, the goodness of the fit to all data is very reasonable with a  $\chi^2/\text{d.o.f.} = 49.4/42$ . The probability of a larger  $\chi^2$  is 20%. Only the final result for  $g_\mu - 2$  from BNL and  $A_{FB}^{(0,b)}$  from LEP 1 are

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currently showing large ( $2.7 \sigma$  and  $2.5 \sigma$ ) deviations. In addition,  $g_L^2$  from NuTeV, the hadronic peak cross-section,  $\sigma_{\text{had}}$  (LEP 1), and the  $A_{LR}^0$  (SLD) from hadronic final states differ by about 2 standard deviations.  $R_b = \Gamma(b\bar{b})/\Gamma(\text{had})$  whose measured value deviated in the past by as much as  $3.7 \sigma$  from the SM prediction, is now in agreement.

$A_b$  can be extracted from  $A_{FB}^{(0,b)}$  when  $A_e = 0.1501 \pm 0.0016$  is taken from a fit to leptonic asymmetries (using lepton universality). The result,  $A_b = 0.881 \pm 0.017$ , is  $3.1 \sigma$  below the SM prediction,<sup>†</sup> and also  $1.6 \sigma$  below  $A_b = 0.923 \pm 0.020$  obtained from  $A_{LR}^{FB}(b)$  at SLD. Thus, it appears that at least some of the problem in  $A_{FB}^{(0,b)}$  is experimental. Note, however, that the uncertainty in  $A_{FB}^{(0,b)}$  is strongly statistics dominated. The combined value,  $A_b = 0.899 \pm 0.013$  deviates by  $2.8 \sigma$ . It would be extremely difficult to account for this 3.9% deviation by new physics radiative corrections since about a 20% correction to  $\hat{\kappa}_b$  would be necessary to account for the central value of  $A_b$ . If this deviation is due to new physics, it is most likely of tree-level type affecting preferentially the third generation. Examples include the decay of a scalar neutrino resonance [191], mixing of the  $b$  quark with heavy exotics [192], and a heavy  $Z'$  with family-nonuniversal couplings [193]. It is difficult, however, to simultaneously account for  $R_b$ , which has been measured on the  $Z$  peak and off-peak [194] at LEP 1. An average of  $R_b$  measurements at LEP 2 at energies between 133 and 207 GeV is  $2.1 \sigma$  below the SM prediction, while  $A_{FB}^{(b)}$  (LEP 2) is  $1.6 \sigma$  low [142].

The left-right asymmetry,  $A_{LR}^0 = 0.15138 \pm 0.00216$  [135], based on all hadronic data from 1992–1998 differs  $1.9 \sigma$  from the SM expectation of  $0.1473 \pm 0.0011$ . The combined value of  $A_\ell = 0.1513 \pm 0.0021$  from SLD (using lepton-family universality and including correlations) is also  $1.9 \sigma$  above the SM prediction; but there is now experimental agreement between this SLD value and the LEP 1 value,  $A_\ell = 0.1481 \pm 0.0027$ , obtained from a fit to  $A_{FB}^{(0,\ell)}$ ,  $A_e(\mathcal{P}_\tau)$ , and  $A_\tau(\mathcal{P}_\tau)$ , again assuming universality.

The observables in Table 10.5, as well as some other less precise observables, are used in the global fits described below. In all fits, the errors include full statistical, systematic, and theoretical uncertainties. The correlations on the LEP 1 lineshape and  $\tau$  polarization, the LEP/SLD heavy flavor observables, the SLD lepton asymmetries, and the deep inelastic and  $\nu$ - $e$  scattering observables, are included. The theoretical correlations between  $\Delta\alpha_{\text{had}}^{(5)}$  and  $g_\mu - 2$ , and between the charm and bottom quark masses, are also accounted for.

The data allow a simultaneous determination of  $M_Z$ ,  $M_H$ ,  $m_t$ , and the strong coupling  $\alpha_s(M_Z)$ . ( $\hat{m}_c$ ,  $\hat{m}_b$ , and  $\Delta\alpha_{\text{had}}^{(3)}$  are also allowed to float in the fits, subject to the theoretical constraints [5,14] described in Sec. 10.1–Sec. 10.2. These are correlated with  $\alpha_s$ .)  $\alpha_s$  is determined mainly from  $R_\ell$ ,  $\Gamma_Z$ ,  $\sigma_{\text{had}}$ , and  $\tau_\tau$  and is only weakly correlated with the other variables. The global fit to all data, including the CDF/DØ average  $m_t = 170.9 \pm 1.9$  GeV, yields the result in Table 10.6 (the  $\overline{\text{MS}}$  top quark mass given there

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<sup>†</sup> Alternatively, one can use  $A_\ell = 0.1481 \pm 0.0027$ , which is from LEP 1 alone and in excellent agreement with the SM, and obtain  $A_b = 0.893 \pm 0.022$  which is  $1.9 \sigma$  low. This illustrates that some of the discrepancy is related to the one in  $A_{LR}$ .

corresponds to  $m_t = 171.1 \pm 1.9$  GeV). The weak mixing angle is determined to

$$\widehat{s}_Z^2 = 0.23119 \pm 0.00014, \quad s_{W}^2 = 0.22308 \pm 0.00030,$$

where the larger error in the on-shell scheme is due to the stronger sensitivity to  $m_t$ , while the corresponding effective angle is related by Eq. (10.35), *i.e.*,  $\overline{s}_\ell^2 = 0.23149 \pm 0.00013$ .

As described at the beginning of Sec. 10.2 and the paragraph following Eq. (10.48) in Sec. 10.5, there is considerable stress in the experimental  $e^+e^-$  spectral functions and also conflict when these are compared with  $\tau$  decay spectral functions. These are below or above the  $2\sigma$  level (depending on what is actually compared) but not much larger than the deviations of some other quantities entering our analyzes. The number and size of these deviations are not inconsistent with what one would expect to happen as a result of random fluctuations. It is nevertheless instructive to study the effect of doubling the uncertainty in  $\Delta\alpha_{\text{had}}^{(3)}(1.8 \text{ GeV}) = 56.91 \pm 0.96 \times 10^{-4}$ , (see the beginning of Sec. 10.2) on the extracted Higgs mass. The result,  $M_H = 75_{-22}^{+29}$  GeV, demonstrates that the uncertainty in  $\Delta\alpha_{\text{had}}$  is currently of only secondary importance. Note also, that the uncertainty of about  $\pm 0.0001$  in  $\Delta\alpha_{\text{had}}^{(3)}(1.8 \text{ GeV})$  corresponds to a shift of  $\mp 5$  GeV in  $M_H$  or about one fifth of its total uncertainty. The hadronic contribution to  $\alpha(M_Z)$  is correlated with  $g_\mu - 2$  (see Sec. 10.5). The measurement of the latter is higher than the SM prediction, and its inclusion in the fit favors a larger  $\alpha(M_Z)$  and a lower  $M_H$  (currently by about 3 GeV).

The weak mixing angle can be determined from  $Z$  pole observables,  $M_W$ , and from a variety of neutral-current processes spanning a very wide  $Q^2$  range. The results (for the older low-energy neutral-current data see [50,51]) shown in Table 10.7 are in reasonable agreement with each other, indicating the quantitative success of the SM. The largest discrepancy is the value  $\widehat{s}_Z^2 = 0.23193 \pm 0.00028$  from the forward-backward asymmetries into bottom and charm quarks, which is  $2.6 \sigma$  above the value  $0.23119 \pm 0.00014$  from the global fit to all data. Similarly,  $\widehat{s}_Z^2 = 0.23067 \pm 0.00030$  from the SLD asymmetries (in both cases when combined with  $M_Z$ ) and  $\widehat{s}_Z^2 = 0.2345 \pm 0.0017$  from DIS are  $1.7 \sigma$  low and  $1.9 \sigma$  high, respectively. The SLD result has the additional difficulty (within the SM) of implying very low and excluded [143] Higgs masses. This is also true for  $\widehat{s}_Z^2 = 0.23095 \pm 0.00028$  from  $M_W$  and  $M_Z$  and — as a consequence — for the global fit. We have therefore included in Table 10.5 an additional column (denoted Deviation) indicating the deviations if  $M_H = 117$  GeV is fixed. The minimum  $\chi^2/\text{d.o.f.} = 51.2/41$  (with a probability of 13% for a larger  $\chi^2$ ) is thus worse than for our principal fit.

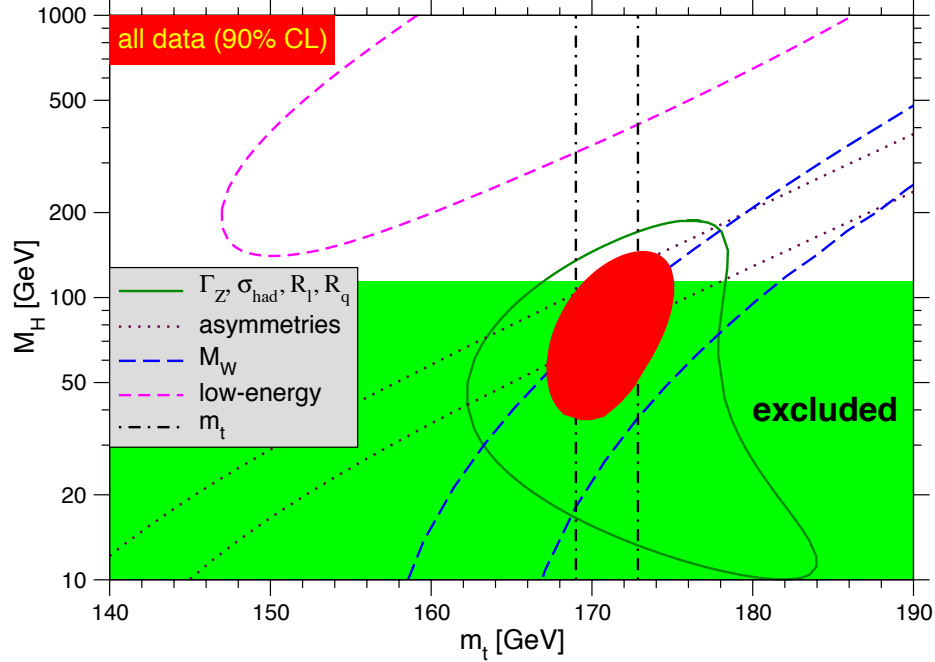
The extracted  $Z$  pole value of  $\alpha_s(M_Z)$  is based on a formula with negligible theoretical uncertainty ( $\pm 0.0005$  in  $\alpha_s(M_Z)$ ) if one assumes the exact validity of the SM. One should keep in mind, however, that this value,  $\alpha_s = 0.1198 \pm 0.0028$ , is very sensitive to such types of new physics as non-universal vertex corrections. In contrast, the value derived from  $\tau$  decays,  $\alpha_s(M_Z) = 0.1225_{-0.0022}^{+0.0025}$ , is theory dominated but less sensitive to new physics. The two values are in remarkable agreement with each other. They are also in perfect agreement with other recent values, such as from jet-event shapes at LEP [195] ( $0.1202 \pm 0.0050$ ) and the recent average from HERA [196] ( $0.1198 \pm 0.0032$ ), but the  $\tau$  decay result is somewhat higher than the value,  $0.1170 \pm 0.0012$ , from the most recent

**Table 10.7:** Values of  $\hat{s}_Z^2$ ,  $s_W^2$ ,  $\alpha_s$ , and  $M_H$  [in GeV] for various (combinations of) observables. Unless indicated otherwise, the top quark mass,  $m_t = 170.9 \pm 1.9$  GeV, is used as an additional constraint in the fits. The ( $\dagger$ ) symbol indicates a fixed parameter.

Data	$\hat{s}_Z^2$	$s_W^2$	$\alpha_s(M_Z)$	$M_H$
All data	0.23119(14)	0.22308(30)	0.1217(17)	$77_{-22}^{+28}$
All indirect (no $m_t$ )	0.23123(16)	0.22297(36)	0.1217(17)	$104_{-53}^{+130}$
$Z$ pole (no $m_t$ )	0.23121(17)	0.22312(59)	0.1198(28)	$92_{-46}^{+117}$
LEP 1 (no $m_t$ )	0.23152(21)	0.22377(67)	0.1213(30)	$173_{-95}^{+241}$
SLD + $M_Z$	0.23067(30)	0.22216(54)	0.1217 ( $\dagger$ )	$25_{-15}^{+23}$
$A_{FB}^{(b,c)} + M_Z$	0.23193(28)	0.22489(75)	0.1217 ( $\dagger$ )	$326_{-136}^{+224}$
$M_W + M_Z$	0.23095(28)	0.22265(55)	0.1217 ( $\dagger$ )	$49_{-26}^{+37}$
$M_Z$	0.23133(7)	0.22337(21)	0.1217 ( $\dagger$ )	117 ( $\dagger$ )
polarized Møller	0.2331(14)	0.2252(14)	0.1217 ( $\dagger$ )	117 ( $\dagger$ )
DIS (isoscalar)	0.2345(17)	0.2267(17)	0.1217 ( $\dagger$ )	117 ( $\dagger$ )
$Q_W$ (APV)	0.2291(19)	0.2212(19)	0.1217 ( $\dagger$ )	117 ( $\dagger$ )
elastic $\nu_\mu(\bar{\nu}_\mu)e$	0.2310(77)	0.2232(77)	0.1217 ( $\dagger$ )	117 ( $\dagger$ )
SLAC $eD$	0.222(18)	0.213(19)	0.1217 ( $\dagger$ )	117 ( $\dagger$ )
elastic $\nu_\mu(\bar{\nu}_\mu)p$	0.211(33)	0.203(33)	0.1217 ( $\dagger$ )	117 ( $\dagger$ )

unquenched lattice calculation of Ref. 197. For more details and other determinations, see our Section 9 on “Quantum Chromodynamics” in this *Review*.

The data indicate a preference for a small Higgs mass. There is a strong correlation between the quadratic  $m_t$  and logarithmic  $M_H$  terms in  $\hat{\rho}$  in all of the indirect data except for the  $Z \rightarrow b\bar{b}$  vertex. Therefore, observables (other than  $R_b$ ) which favor  $m_t$  values higher than the Tevatron range favor lower values of  $M_H$ . This effect is enhanced by  $R_b$ , which has little direct  $M_H$  dependence but favors the lower end of the Tevatron  $m_t$  range.  $M_W$  has additional  $M_H$  dependence through  $\Delta\hat{r}_W$  which is not coupled to  $m_t^2$  effects. The strongest individual pulls toward smaller  $M_H$  are from  $M_W$  and  $A_{LR}^0$ , while  $A_{FB}^{(0,b)}$  and the NuTeV results favor high values. The difference in  $\chi^2$  for the global fit is  $\Delta\chi^2 = \chi^2(M_H = 1000 \text{ GeV}) - \chi_{\min}^2 = 96$ . Hence, the data overwhelmingly favor a small value of  $M_H$ , as in supersymmetric extensions of the SM. The central value of the global fit result,  $M_H = 77_{-22}^{+28}$  GeV, is below the direct lower bound,  $M_H \geq 114.4$  GeV (95% CL) [143].



**Figure 10.2:** One-standard-deviation (39.35%) uncertainties in  $M_H$  as a function of  $m_t$  for various inputs, and the 90% CL region ( $\Delta\chi^2 = 4.605$ ) allowed by all data.  $\alpha_s(M_Z) = 0.120$  is assumed except for the fits including the  $Z$  lineshape data. The 95% direct lower limit from LEP 2 is also shown.

The 90% central confidence range from all precision data is

$$42 \text{ GeV} \leq M_H \leq 124 \text{ GeV} . \quad (10.50)$$

Including the results of the direct searches [143] as an extra contribution to the likelihood function drives the 95% upper limit to  $M_H \leq 161$  GeV. As two further refinements, we account for (i) theoretical uncertainties from uncalculated higher order contributions by allowing the  $T$  parameter (see next subsection) subject to the constraint  $T = 0 \pm 0.02$ , (ii) the  $M_H$  dependence of the correlation matrix which gives slightly more weight to lower Higgs masses [198]. The resulting limits at 95 (90, 99)% CL are, respectively,

$$M_H \leq 167 \text{ (155, 195) GeV} . \quad (10.51)$$

One can also carry out a fit to the indirect data alone, *i.e.*, without including the constraint,  $m_t = 170.9 \pm 1.9$  GeV, obtained by CDF and DØ. (The indirect prediction is for the  $\overline{\text{MS}}$  mass,  $\hat{m}_t(\hat{m}_t) = 164.7^{+9.6}_{-7.4}$  GeV, which is in the end converted to the pole mass). One obtains  $m_t = 174.7^{+10.0}_{-7.8}$  GeV, in perfect agreement with the direct CDF/DØ average. The tendency for a light Higgs boson also persists when the CDF/DØ constraint on  $m_t$  is removed, in which case Eq. (10.50) reads,  $37 \text{ GeV} \leq M_H \leq 409$  GeV. The relations between  $M_H$  and  $m_t$  for various observables are shown in Fig. 10.2.

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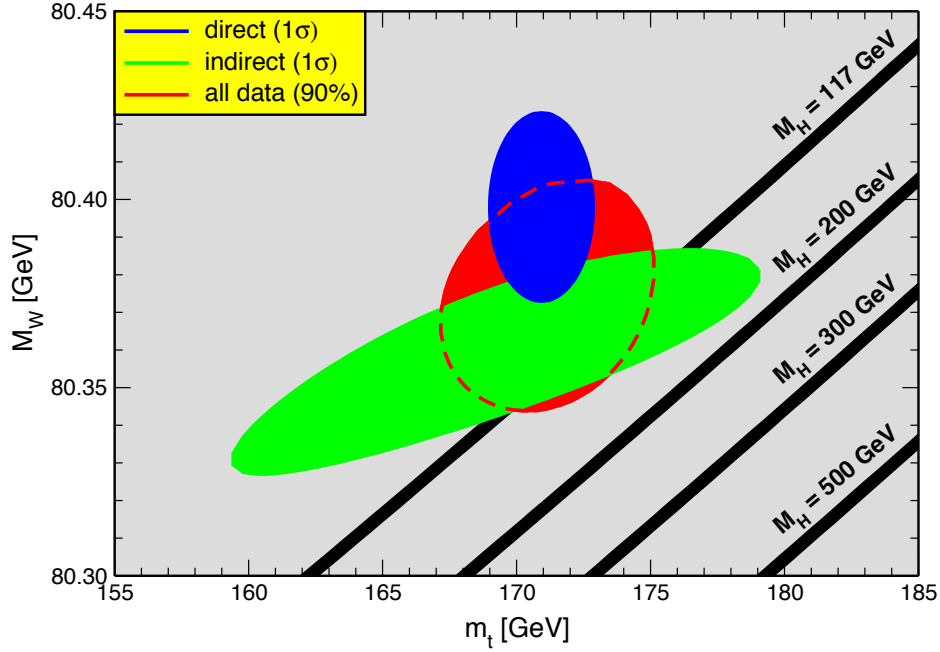
Using  $\alpha(M_Z)$  and  $\hat{s}_Z^2$  as inputs, one can predict  $\alpha_s(M_Z)$  assuming grand unification. One predicts [199]  $\alpha_s(M_Z) = 0.130 \pm 0.001 \pm 0.01$  for the simplest theories based on the minimal supersymmetric extension of the SM, where the first (second) uncertainty is from the inputs (thresholds). This is slightly larger, but consistent with the experimental  $\alpha_s(M_Z) = 0.1217 \pm 0.0017$  from the  $Z$  lineshape and the  $\tau$  lifetime, as well as with other determinations. Non-supersymmetric unified theories predict the low value  $\alpha_s(M_Z) = 0.073 \pm 0.001 \pm 0.001$ . See also the note on “Supersymmetry” in the Searches Particle Listings.

One can also determine the radiative correction parameters  $\Delta r$ : from the global fit one obtains  $\Delta r = 0.0356 \pm 0.0009$  and  $\Delta \hat{r}_W = 0.06944 \pm 0.00025$ .  $M_W$  measurements [142,189] (when combined with  $M_Z$ ) are equivalent to measurements of  $\Delta r = 0.0343 \pm 0.0017$ , which is  $1.0 \sigma$  below the result from all other data,  $\Delta r = 0.0363 \pm 0.0012$ . Fig. 10.3 shows the  $1 \sigma$  contours in the  $M_W - m_t$  plane from the direct and indirect determinations, as well as the combined 90% CL region. The indirect determination uses  $M_Z$  from LEP 1 as input, which is defined assuming an  $s$  dependent decay width.  $M_W$  then corresponds to the  $s$  dependent width definition, as well, and can be directly compared with the results from the Tevatron and LEP 2 which have been obtained using the same definition. The difference to a constant width definition is formally only of  $\mathcal{O}(\alpha^2)$ , but is strongly enhanced since the decay channels add up coherently. It is about 34 MeV for  $M_Z$  and 27 MeV for  $M_W$ . The residual difference between working consistently with one or the other definition is about 3 MeV, *i.e.*, of typical size for non-enhanced  $\mathcal{O}(\alpha^2)$  corrections [64–67].

Most of the parameters relevant to  $\nu$ -hadron,  $\nu$ - $e$ ,  $e$ -hadron, and  $e^+e^-$  processes are determined uniquely and precisely from the data in “model-independent” fits (*i.e.*, fits which allow for an arbitrary electroweak gauge theory). The values for the parameters defined in Eqs. (10.12)–(10.14) are given in Table 10.8 along with the predictions of the SM. The agreement is reasonable, except for the value of  $g_L^2$ , which reflect the discrepancy in the NuTeV results. (The  $\nu$ -hadron results without the NuTeV data can be found in the 1998 edition of this *Review*, and the fits using the original NuTeV data uncorrected for the strange quark asymmetry in the 2006 edition.). The off  $Z$  pole  $e^+e^-$  results are difficult to present in a model-independent way because  $Z$  propagator effects are non-negligible at TRISTAN, PETRA, PEP, and LEP 2 energies. However, assuming  $e$ - $\mu$ - $\tau$  universality, the low-energy lepton asymmetries imply [133]  $4(g_A^e)^2 = 0.99 \pm 0.05$ , in good agreement with the SM prediction  $\simeq 1$ .

### 10.7. Constraints on new physics

The  $Z$  pole,  $W$  mass, and low-energy data can be used to search for and set limits on deviations from the SM. In particular, the combination of these indirect data with the direct CDF and  $D\bar{O}$  average for  $m_t$  allows one to set stringent limits on new physics. We will mainly discuss the effects of exotic particles (with heavy masses  $M_{\text{new}} \gg M_Z$  in an expansion in  $M_Z/M_{\text{new}}$ ) on the gauge boson self-energies. (Brief remarks are made on new physics which is not of this type.) Most of the effects on precision measurements can be described by three gauge self-energy parameters  $S$ ,  $T$ , and  $U$ . We will define these, as well as related parameters, such as  $\rho_0$ ,  $\epsilon_i$ , and  $\hat{\epsilon}_i$ , to arise from new physics only. *I.e.*,



**Figure 10.3:** One-standard-deviation (39.35%) region in  $M_W$  as a function of  $m_t$  for the direct and indirect data, and the 90% CL region ( $\Delta\chi^2 = 4.605$ ) allowed by all data. The SM prediction as a function of  $M_H$  is also indicated. The widths of the  $M_H$  bands reflect the theoretical uncertainty from  $\alpha(M_Z)$ .

they are equal to zero ( $\rho_0 = 1$ ) exactly in the SM, and do not include any contributions from  $m_t$  or  $M_H$ , which are treated separately. Our treatment differs from most of the original papers.

Many extensions of the SM are described by the  $\rho_0$  parameter,

$$\rho_0 \equiv M_W^2 / (M_Z^2 \hat{c}_Z^2 \hat{\rho}) , \quad (10.52)$$

which describes new sources of SU(2) breaking that cannot be accounted for by the SM Higgs doublet or  $m_t$  effects. In the presence of  $\rho_0 \neq 1$ , Eq. (10.52) generalizes Eq. (10.8b) while Eq. (10.8a) remains unchanged. Provided that the new physics which yields  $\rho_0 \neq 1$  is a small perturbation which does not significantly affect the radiative corrections,  $\rho_0$  can be regarded as a phenomenological parameter which multiplies  $G_F$  in Eqs. (10.12)–(10.14), (10.29), and  $\Gamma_Z$  in Eq. (10.44). There are enough data to determine  $\rho_0$ ,  $M_H$ ,  $m_t$ , and  $\alpha_s$ , simultaneously. From the global fit,

**Table 10.8:** Values of the model-independent neutral-current parameters, compared with the SM predictions. There is a second  $g_{V,A}^{\nu e}$  solution, given approximately by  $g_V^{\nu e} \leftrightarrow g_A^{\nu e}$ , which is eliminated by  $e^+e^-$  data under the assumption that the neutral current is dominated by the exchange of a single  $Z$  boson. The  $\epsilon_L$ , as well as the  $\epsilon_R$ , are strongly correlated and non-Gaussian, so that for implementations we recommend the parametrization using  $g_i^2$  and  $\theta_i = \tan^{-1}[\epsilon_i(u)/\epsilon_i(d)]$ ,  $i = L$  or  $R$ . The analysis of more recent low-energy experiments in polarized electron scattering performed in Ref. 112 is included by means of an additional constraint on the linear combination,  $7C_{1u} + 3C_{1d} = -0.254 \pm 0.034$ , which reproduces the results [112] on  $C_{1u}$  and  $C_{1d}$  (including their correlation) almost exactly. In the SM predictions, the uncertainty is from  $M_Z$ ,  $M_H$ ,  $m_t$ ,  $m_b$ ,  $m_c$ ,  $\hat{\alpha}(M_Z)$ , and  $\alpha_s$ .

Quantity	Experimental Value	SM	Correlation		
$\epsilon_L(u)$	$0.328 \pm 0.015$	0.3460(1)			
$\epsilon_L(d)$	$-0.440 \pm 0.011$	-0.4291(1)		non-	
$\epsilon_R(u)$	$-0.175 \begin{smallmatrix} +0.013 \\ -0.004 \end{smallmatrix}$	-0.1549(1)		Gaussian	
$\epsilon_R(d)$	$-0.023 \begin{smallmatrix} +0.072 \\ -0.048 \end{smallmatrix}$	0.0775			
$g_L^2$	$0.3012 \pm 0.0013$	0.3039(2)	-0.12	-0.22	-0.01
$g_R^2$	$0.0310 \pm 0.0010$	0.0300		-0.02	-0.03
$\theta_L$	$2.50 \pm 0.033$	2.4630(1)			0.26
$\theta_R$	$4.58 \begin{smallmatrix} +0.41 \\ -0.28 \end{smallmatrix}$	5.1765			
$g_V^{\nu e}$	$-0.040 \pm 0.015$	-0.0397(3)			-0.05
$g_A^{\nu e}$	$-0.507 \pm 0.014$	-0.5064(1)			
$C_{1u} + C_{1d}$	$0.1526 \pm 0.0013$	0.1528(1)	0.49	-0.14	-0.01
$C_{1u} - C_{1d}$	$-0.514 \pm 0.015$	-0.5298(3)		-0.27	-0.02
$C_{2u} + C_{2d}$	$-0.23 \pm 0.57$	-0.0095			-0.30
$C_{2u} - C_{2d}$	$-0.077 \pm 0.044$	-0.0623(5)			

$$\rho_0 = 1.0004 \begin{smallmatrix} +0.0008 \\ -0.0004 \end{smallmatrix}, \quad (10.53)$$

$$114.4 \text{ GeV} \leq M_H \leq 215 \text{ GeV}, \quad (10.54)$$

$$m_t = 171.2 \pm 1.9 \text{ GeV}, \quad (10.55)$$

$$\alpha_s(M_Z) = 0.1215 \pm 0.0017, \quad (10.56)$$

where the lower limit on  $M_H$  is the direct search bound. (If the direct limit is ignored



one obtains  $M_H = 76_{-38}^{+111}$  GeV and  $\rho_0 = 1.0000_{-0.0007}^{+0.0011}$ . The error bar in Eq. (10.53) is highly asymmetric: at the  $2\sigma$  level one has  $\rho_0 = 1.0004_{-0.0007}^{+0.0027}$  with no meaningful bound on  $M_H$ . The result in Eq. (10.53) is slightly above but consistent with the SM expectation,  $\rho_0 = 1$ . It can be used to constrain higher-dimensional Higgs representations to have vacuum expectation values of less than a few percent of those of the doublets. Indeed, the relation between  $M_W$  and  $M_Z$  is modified if there are Higgs multiplets with weak isospin  $> 1/2$  with significant vacuum expectation values. In order to calculate to higher orders in such theories one must define a set of four fundamental renormalized parameters which one may conveniently choose to be  $\alpha$ ,  $G_F$ ,  $M_Z$ , and  $M_W$ , since  $M_W$  and  $M_Z$  are directly measurable. Then  $\hat{s}_Z^2$  and  $\rho_0$  can be considered dependent parameters.

Eq. (10.53) can also be used to constrain other types of new physics. For example, non-degenerate multiplets of heavy fermions or scalars break the vector part of weak SU(2) and lead to a decrease in the value of  $M_Z/M_W$ . A non-degenerate SU(2) doublet  $\begin{pmatrix} f_1 \\ f_2 \end{pmatrix}$  yields a positive contribution to  $\rho_0$  [200] of

$$\frac{CG_F}{8\sqrt{2}\pi^2} \Delta m^2, \quad (10.57)$$

where

$$\Delta m^2 \equiv m_1^2 + m_2^2 - \frac{4m_1^2 m_2^2}{m_1^2 - m_2^2} \ln \frac{m_1}{m_2} \geq (m_1 - m_2)^2, \quad (10.58)$$

and  $C = 1$  (3) for color singlets (triplets). Thus, in the presence of such multiplets, one has

$$\frac{3G_F}{8\sqrt{2}\pi^2} \sum_i \frac{C_i}{3} \Delta m_i^2 = \rho_0 - 1, \quad (10.59)$$

where the sum includes fourth-family quark or lepton doublets,  $\begin{pmatrix} t' \\ b' \end{pmatrix}$  or  $\begin{pmatrix} E^0 \\ E^- \end{pmatrix}$ , and scalar doublets such as  $\begin{pmatrix} \tilde{t} \\ \tilde{b} \end{pmatrix}$  in Supersymmetry (in the absence of  $L - R$  mixing). This implies

$$\sum_i \frac{C_i}{3} \Delta m_i^2 \leq (98 \text{ GeV})^2 \quad (10.60)$$

at 95% CL. The corresponding constraints on non-degenerate squark and slepton doublets are even stronger,  $\sum_i C_i \Delta m_i^2 / 3 \leq (66 \text{ GeV})^2$ . This is due to the supersymmetric Higgs mass bound,  $m_{h^0} < 150$  GeV, and the very strong correlation between  $m_{h^0}$  and  $\rho_0$  (91%).

Non-degenerate multiplets usually imply  $\rho_0 > 1$ . Similarly, heavy  $Z'$  bosons decrease the prediction for  $M_Z$  due to mixing and generally lead to  $\rho_0 > 1$  [201]. On the other hand, additional Higgs doublets which participate in spontaneous symmetry breaking [202], heavy lepton doublets involving Majorana neutrinos [203], and the vacuum expectation values of Higgs triplets or higher-dimensional representations can contribute to  $\rho_0$  with either sign. Allowing for the presence of heavy degenerate chiral

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multiplets (the  $S$  parameter, to be discussed below) affects the determination of  $\rho_0$  from the data, at present leading to a smaller value (for fixed  $M_H$ ).

A number of authors [204–209] have considered the general effects on neutral-current and  $Z$  and  $W$  boson observables of various types of heavy (*i.e.*,  $M_{\text{new}} \gg M_Z$ ) physics which contribute to the  $W$  and  $Z$  self-energies but which do not have any direct coupling to the ordinary fermions. In addition to non-degenerate multiplets, which break the vector part of weak SU(2), these include heavy degenerate multiplets of chiral fermions which break the axial generators. The effects of one degenerate chiral doublet are small, but in Technicolor theories there may be many chiral doublets and therefore significant effects [204].

Such effects can be described by just three parameters,  $S$ ,  $T$ , and  $U$  at the (electroweak) one-loop level. (Three additional parameters are needed if the new physics scale is comparable to  $M_Z$  [210]. Further generalizations, including effects relevant to LEP 2, are described in Ref. 211.)  $T$  is proportional to the difference between the  $W$  and  $Z$  self-energies at  $Q^2 = 0$  (*i.e.*, vector SU(2)-breaking), while  $S$  ( $S + U$ ) is associated with the difference between the  $Z$  ( $W$ ) self-energy at  $Q^2 = M_{Z,W}^2$  and  $Q^2 = 0$  (axial SU(2)-breaking). Denoting the contributions of new physics to the various self-energies by  $\Pi_{ij}^{\text{new}}$ , we have

$$\hat{\alpha}(M_Z)T \equiv \frac{\Pi_{WW}^{\text{new}}(0)}{M_W^2} - \frac{\Pi_{ZZ}^{\text{new}}(0)}{M_Z^2}, \quad (10.61a)$$

$$\begin{aligned} \frac{\hat{\alpha}(M_Z)}{4\hat{s}_Z^2\hat{c}_Z^2}S &\equiv \frac{\Pi_{ZZ}^{\text{new}}(M_Z^2) - \Pi_{ZZ}^{\text{new}}(0)}{M_Z^2} \\ &\quad - \frac{\hat{c}_Z^2 - \hat{s}_Z^2}{\hat{c}_Z\hat{s}_Z} \frac{\Pi_{Z\gamma}^{\text{new}}(M_Z^2)}{M_Z^2} - \frac{\Pi_{\gamma\gamma}^{\text{new}}(M_Z^2)}{M_Z^2}, \end{aligned} \quad (10.61b)$$

$$\begin{aligned} \frac{\hat{\alpha}(M_Z)}{4\hat{s}_Z^2}(S + U) &\equiv \frac{\Pi_{WW}^{\text{new}}(M_W^2) - \Pi_{WW}^{\text{new}}(0)}{M_W^2} \\ &\quad - \frac{\hat{c}_Z}{\hat{s}_Z} \frac{\Pi_{Z\gamma}^{\text{new}}(M_Z^2)}{M_Z^2} - \frac{\Pi_{\gamma\gamma}^{\text{new}}(M_Z^2)}{M_Z^2}. \end{aligned} \quad (10.61c)$$

$S$ ,  $T$ , and  $U$  are defined with a factor proportional to  $\hat{\alpha}$  removed, so that they are expected to be of order unity in the presence of new physics. In the  $\overline{\text{MS}}$  scheme as defined in Ref. 56, the last two terms in Eq. (10.61b) and Eq. (10.61c) can be omitted (as was done in some earlier editions of this *Review*). These three parameters are related to other parameters ( $S_i$ ,  $h_i$ ,  $\hat{\epsilon}_i$ ) defined in Refs. [56,205,206] by

$$\begin{aligned} T &= h_V = \hat{\epsilon}_1/\alpha, \\ S &= h_{AZ} = S_Z = 4\hat{s}_Z^2\hat{\epsilon}_3/\alpha, \\ U &= h_{AW} - h_{AZ} = S_W - S_Z = -4\hat{s}_Z^2\hat{\epsilon}_2/\alpha. \end{aligned} \quad (10.62)$$

A heavy non-degenerate multiplet of fermions or scalars contributes positively to  $T$  as

$$\rho_0 - 1 = \frac{1}{1 - \alpha T} - 1 \simeq \alpha T, \quad (10.63)$$

where  $\rho_0$  is given in Eq. (10.59). The effects of non-standard Higgs representations cannot be separated from heavy non-degenerate multiplets unless the new physics has other consequences, such as vertex corrections. Most of the original papers defined  $T$  to include the effects of loops only. However, we will redefine  $T$  to include all new sources of SU(2) breaking, including non-standard Higgs, so that  $T$  and  $\rho_0$  are equivalent by Eq. (10.63).

A multiplet of heavy degenerate chiral fermions yields

$$S = C \sum_i \left( t_{3L}(i) - t_{3R}(i) \right)^2 / 3\pi , \quad (10.64)$$

where  $t_{3L,R}(i)$  is the third component of weak isospin of the left-(right-)handed component of fermion  $i$  and  $C$  is the number of colors. For example, a heavy degenerate ordinary or mirror family would contribute  $2/3\pi$  to  $S$ . In Technicolor models with QCD-like dynamics, one expects [204]  $S \sim 0.45$  for an iso-doublet of techni-fermions, assuming  $N_{TC} = 4$  techni-colors, while  $S \sim 1.62$  for a full techni-generation with  $N_{TC} = 4$ ;  $T$  is harder to estimate because it is model dependent. In these examples one has  $S \geq 0$ . However, the QCD-like models are excluded on other grounds (flavor changing neutral-currents, and too-light quarks and pseudo-Goldstone bosons [212]). In particular, these estimates do not apply to models of walking Technicolor [212], for which  $S$  can be smaller or even negative [213]. Other situations in which  $S < 0$ , such as loops involving scalars or Majorana particles, are also possible [214]. The simplest origin of  $S < 0$  would probably be an additional heavy  $Z'$  boson [201], which could mimic  $S < 0$ . Supersymmetric extensions of the SM generally give very small effects. See Refs. 164 and 215 and the note on ‘‘Supersymmetry’’ in the Searches Particle Listings for a complete set of references.

Most simple types of new physics yield  $U = 0$ , although there are counter-examples, such as the effects of anomalous triple gauge vertices [206].

The SM expressions for observables are replaced by

$$\begin{aligned} M_Z^2 &= M_{Z0}^2 \frac{1 - \alpha T}{1 - G_F M_{Z0}^2 S / 2\sqrt{2}\pi} , \\ M_W^2 &= M_{W0}^2 \frac{1}{1 - G_F M_{W0}^2 (S + U) / 2\sqrt{2}\pi} , \end{aligned} \quad (10.65)$$

where  $M_{Z0}$  and  $M_{W0}$  are the SM expressions (as functions of  $m_t$  and  $M_H$ ) in the  $\overline{\text{MS}}$  scheme. Furthermore,

$$\begin{aligned} \Gamma_Z &= \frac{1}{1 - \alpha T} M_Z^3 \beta_Z , \\ \Gamma_W &= M_W^3 \beta_W , \\ A_i &= \frac{1}{1 - \alpha T} A_{i0} , \end{aligned} \quad (10.66)$$

where  $\beta_Z$  and  $\beta_W$  are the SM expressions for the reduced widths  $\Gamma_{Z0}/M_{Z0}^3$  and  $\Gamma_{W0}/M_{W0}^3$ ,  $M_Z$  and  $M_W$  are the physical masses, and  $A_i$  ( $A_{i0}$ ) is a neutral-current amplitude (in the SM).

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The data allow a simultaneous determination of  $\hat{s}_Z^2$  (from the  $Z$  pole asymmetries),  $S$  (from  $M_Z$ ),  $U$  (from  $M_W$ ),  $T$  (mainly from  $\Gamma_Z$ ),  $\alpha_s$  (from  $R_\ell$ ,  $\sigma_{\text{had}}$ , and  $\tau_\tau$ ), and  $m_t$  (from CDF and DØ), with little correlation among the SM parameters:

$$\begin{aligned} S &= -0.10 \pm 0.10 \text{ } (-0.08) \text{ ,} \\ T &= -0.08 \pm 0.11 \text{ } (+0.09) \text{ ,} \\ U &= 0.15 \pm 0.11 \text{ } (+0.01) \text{ ,} \end{aligned} \tag{10.67}$$

and  $\hat{s}_Z^2 = 0.23124 \pm 0.00016$ ,  $\alpha_s(M_Z) = 0.1221 \pm 0.0018$ ,  $m_t = 171.0 \pm 1.9$  GeV, where the uncertainties are from the inputs. The central values assume  $M_H = 117$  GeV, and in parentheses we show the difference to assuming  $M_H = 300$  GeV instead. As can be seen, the SM parameters ( $U$ ) can be determined with no (little)  $M_H$  dependence. On the other hand,  $S$ ,  $T$ , and  $M_H$  cannot be obtained simultaneously, because the Higgs boson loops themselves are resembled approximately by oblique effects. Eqs. (10.67) show that negative (positive) contributions to the  $S$  ( $T$ ) parameter can weaken or entirely remove the strong constraints on  $M_H$  from the SM fits. Specific models in which a large  $M_H$  is compensated by new physics are reviewed in Ref. 216. The parameters in Eqs. (10.67), which by definition are due to new physics only, are in reasonable agreement with the Standard Model values of zero. Fixing  $U = 0$  (as is done in Fig. 10.4) moves  $S$  and  $T$  to even closer agreement,

$$\begin{aligned} S &= -0.04 \pm 0.09 \text{ } (-0.07) \text{ ,} \\ T &= 0.02 \pm 0.09 \text{ } (+0.09) \text{ .} \end{aligned} \tag{10.68}$$

The plot of  $T$  vs.  $S$  produced by the LEP Electroweak Working Group [49] shows larger values\*\*,  $S = 0.07$  and  $T = 0.13$ , based on the  $Z$ -pole data and  $M_W$ . We almost exactly reproduce their values for the same inputs. The lower values reported here are due to the inclusion of the low-energy data, such as atomic parity violation and neutrino scattering, as well as allowing  $\alpha_s$  to float and a different evaluation of  $\Delta\alpha^{(5)}(M_Z)$ . Our results are consistent with those in Ref. 217.

Using Eq. (10.63) the value of  $\rho_0$  corresponding to  $T$  in Eq. (10.67) is  $0.9994 \pm 0.0009$  (+0.0007), while the one corresponding to Eq. (10.68) is  $1.0002 \pm 0.0007$  (+0.0007). The values of the  $\hat{\epsilon}$  parameters defined in Eq. (10.62) are

$$\begin{aligned} \hat{\epsilon}_3 &= -0.0009 \pm 0.0008 \text{ } (-0.0006) \text{ ,} \\ \hat{\epsilon}_1 &= -0.0006 \pm 0.0009 \text{ } (+0.0007) \text{ ,} \\ \hat{\epsilon}_2 &= -0.0013 \pm 0.0009 \text{ } (-0.0001) \text{ .} \end{aligned} \tag{10.69}$$

Unlike the original definition, we defined the quantities in Eqs. (10.69) to vanish identically in the absence of new physics and to correspond directly to the parameters

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\*\* The latest figure dates back to the summer of 2006 and does not contain the first results on  $M_W$  from Run II at the Tevatron. We have adjusted the values,  $S = 0.04$  and  $T = 0.08$ , which can be read off the figure, to include the Run II  $M_W$  result and to correspond to  $M_H = 117$  GeV and the Tevatron  $m_t = 170.9$  GeV.

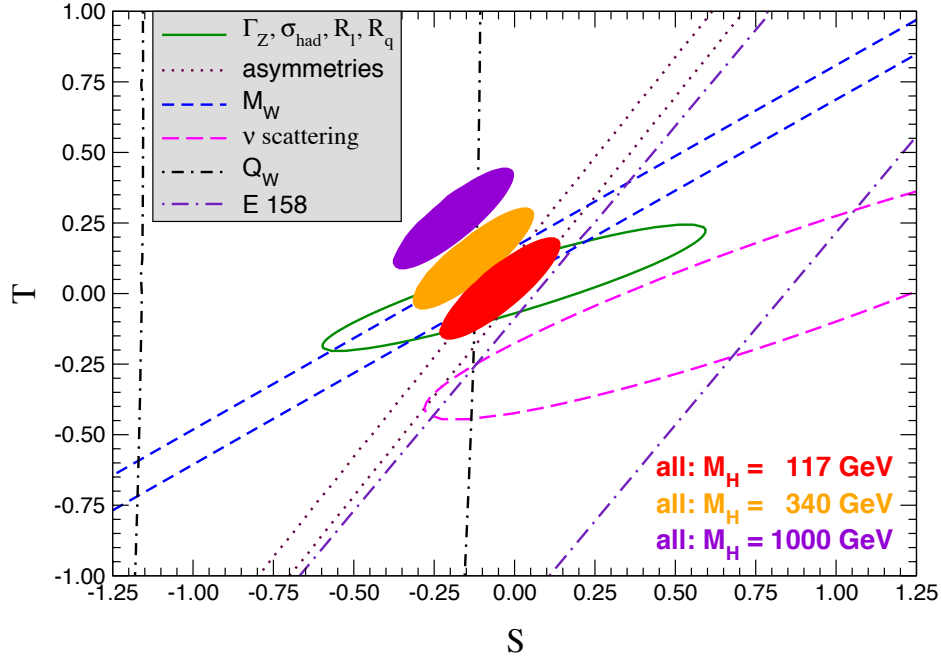
$S$ ,  $T$ , and  $U$  in Eqs. (10.67). There is a strong correlation (87%) between the  $S$  and  $T$  parameters. The allowed region in  $S - T$  is shown in Fig. 10.4. From Eqs. (10.67) one obtains  $S \leq 0.06$  ( $-0.02$ ) and  $T \leq 0.10$  ( $0.19$ ) at 95% CL for  $M_H = 117$  GeV (300 GeV). If one fixes  $M_H = 600$  GeV and requires the constraint  $S \geq 0$  (as is appropriate in QCD-like Technicolor models) then  $S \leq 0.09$  (Bayesian) or  $S \leq 0.07$  (frequentist). This rules out simple Technicolor models with many techni-doublets and QCD-like dynamics.

An extra generation of ordinary fermions is excluded at the  $6\sigma$  level on the basis of the  $S$  parameter alone, corresponding to  $N_F = 2.71 \pm 0.22$  for the number of families. This result assumes that there are no new contributions to  $T$  or  $U$  and therefore that any new families are degenerate. This restriction can be relaxed by allowing  $T$  to vary as well, since  $T > 0$  is expected from a non-degenerate extra family. Fixing  $S = 2/3\pi$ , the global fit favors a fourth family contribution to  $T$  of  $0.232 \pm 0.045$ . However, the quality of the fit deteriorates ( $\Delta\chi^2 = 6.8$  relative to the SM fit with  $M_H$  fixed to the same value of 117 GeV) so that this tuned  $T$  scenario is also disfavored (roughly at the 99% CL). A more detailed analysis is required if the extra neutrino (or the extra down-type quark) is close to its direct mass limit [218]. This can drive  $S$  to small or even negative values but at the expense of too-large contributions to  $T$ . These results are in agreement with a fit to the number of light neutrinos,  $N_\nu = 2.986 \pm 0.007$  (which favors a larger value for  $\alpha_s(M_Z) = 0.1237 \pm 0.0021$  mainly from  $R_\ell$  and  $\tau_\tau$ , as well as a very low  $M_H$ ). However, the  $S$  parameter fits are valid even for a very heavy fourth family neutrino.

There is no simple parametrization that is powerful enough to describe the effects of every type of new physics on every possible observable. The  $S$ ,  $T$ , and  $U$  formalism describes many types of heavy physics which affect only the gauge self-energies, and it can be applied to all precision observables. However, new physics which couples directly to ordinary fermions, such as heavy  $Z'$  bosons [201] or mixing with exotic fermions [219] cannot be fully parametrized in the  $S$ ,  $T$ , and  $U$  framework. It is convenient to treat these types of new physics by parameterizations that are specialized to that particular class of theories (*e.g.*, extra  $Z'$  bosons), or to consider specific models (which might contain, *e.g.*,  $Z'$  bosons and exotic fermions with correlated parameters). Constraints on various types of new physics are reviewed in Refs. [51,125,220,221].

Fits to Supersymmetric models are described in Refs. 164 and 222. Models involving strong dynamics (such as (extended) Technicolor) for electroweak breaking are considered in Ref. 223. The effects of compactified extra spatial dimensions at the TeV scale are reviewed in Ref. 224, and constraints on Little Higgs models in Ref. 225. Limits on new four-Fermi operators and on leptoquarks using LEP 2 and lower energy data are given in Ref. 142.

An alternate formalism [226] defines parameters,  $\epsilon_1$ ,  $\epsilon_2$ ,  $\epsilon_3$ ,  $\epsilon_b$  in terms of the specific observables  $M_W/M_Z$ ,  $\Gamma_{\ell\ell}$ ,  $A_{FB}^{(0,\ell)}$ , and  $R_b$ . The definitions coincide with those for  $\hat{\epsilon}_i$  in Eqs. (10.61) and (10.62) for physics which affects gauge self-energies only, but the  $\epsilon$ 's now parametrize arbitrary types of new physics. However, the  $\epsilon$ 's are not related to other observables unless additional model dependent assumptions are made. Another approach [227] parametrizes new physics in terms of gauge-invariant sets of operators. It is especially powerful in studying the effects of new physics on non-Abelian gauge



**Figure 10.4:**  $1\sigma$  constraints (39.35 %) on  $S$  and  $T$  from various inputs combined with  $M_Z$ .  $S$  and  $T$  represent the contributions of new physics only. (Uncertainties from  $m_t$  are included in the errors.) The contours assume  $M_H = 117$  GeV except for the central and upper 90% CL contours allowed by all data, which are for  $M_H = 340$  GeV and 1000 GeV, respectively. Data sets not involving  $M_W$  are insensitive to  $U$ . Due to higher order effects, however,  $U = 0$  has to be assumed in all fits.  $\alpha_s$  is constrained using the  $\tau$  lifetime as additional input in all fits.

vertices. The most general approach introduces deviation vectors [220]. Each type of new physics defines a deviation vector, the components of which are the deviations of each observable from its SM prediction, normalized to the experimental uncertainty. The length (direction) of the vector represents the strength (type) of new physics.

**Table 10.9:** 95% CL lower mass limits (in GeV) from low energy and  $Z$  pole data on various extra  $Z'$  gauge bosons, appearing in models of unification and string theory. More general parametrizations are described in Ref. 228 and Ref. 232.  $\rho_0$  free indicates a completely arbitrary Higgs sector, while  $\rho_0 = 1$  restricts to Higgs doublets and singlets with still unspecified charges. The CDF and DØ bounds [233] from searches for  $\bar{p}p \rightarrow e^+e^-$  and the LEP 2  $e^+e^- \rightarrow f\bar{f}$  bounds [142] (assuming  $\theta = 0$ ) are listed in the last three columns, respectively (the Tevatron bounds would be weakened if there are open supersymmetric or exotic decay channels [234]).

$Z'$	$\rho_0$ free	$\rho_0 = 1$	CDF	DØ	LEP 2
$Z_\chi$	551	545	822	640	673
$Z_\psi$	151	146	822	650	481
$Z_\eta$	379	365	891	680	434
$Z_{LR}$	570	564	729( $Z_I$ )	575( $Z_I$ )	804
$Z_{SM}$	822	809	923	780	1787
$Z_{string}$	582	578	–	–	–

One of the best motivated kinds of physics beyond the SM besides Supersymmetry are extra  $Z'$  bosons [228]. They do not spoil the observed approximate gauge coupling unification, and appear copiously in many Grand Unified Theories (GUTs), most Superstring models [229], as well as in dynamical symmetry breaking [223] and Little Higgs models [225]. For example, the SO(10) GUT contains an extra U(1) as can be seen from its maximal subgroup,  $SU(5) \times U(1)_\chi$ . Similarly, the  $E_6$  GUT contains the subgroup  $SO(10) \times U(1)_\psi$ . The  $Z_\psi$  possesses only axial-vector couplings to the ordinary fermions, and its mass is generally less constrained. The  $Z_\eta$  boson is the linear combination  $\sqrt{3/8} Z_\chi - \sqrt{5/8} Z_\psi$ . The  $Z_{LR}$  boson occurs in left-right models with gauge group  $SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_{B-L} \subset SO(10)$ , and the inert  $Z_I$  emerges in an alternative  $E_6$  breaking pattern [230]. The sequential  $Z_{SM}$  boson is defined to have the same couplings to fermions as the SM  $Z$  boson. Such a boson is not expected in the context of gauge theories unless it has different couplings to exotic fermions than the ordinary  $Z$  boson. However, it serves as a useful reference case when comparing constraints from various sources. It could also play the role of an excited state of the ordinary  $Z$  boson in models with extra dimensions at the weak scale [224]. Finally, we consider a Superstring motivated  $Z_{string}$  boson appearing in a specific model [231]. The potential  $Z'$  boson is in general a superposition of the SM  $Z$  and the new boson associated with the extra U(1). The mixing angle  $\theta$  satisfies,

$$\tan^2 \theta = \frac{M_{Z_1^0}^2 - M_Z^2}{M_{Z'}^2 - M_{Z_1^0}^2},$$

where  $M_{Z_1^0}$  is the SM value for  $M_Z$  in the absence of mixing. Note, that  $M_Z < M_{Z_1^0}$ , and that the SM  $Z$  couplings are changed by the mixing. The couplings of the heavier  $Z'$

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may also be modified by kinetic mixing [228,235]. If the Higgs  $U(1)'$  quantum numbers are known, there will be an extra constraint,

$$\theta = C \frac{g_2}{g_1} \frac{M_Z^2}{M_{Z'}^2}, \quad (10.70)$$

where  $g_{1,2}$  are the  $U(1)$  and  $U(1)'$  gauge couplings with  $g_2 = \sqrt{\frac{5}{3}} \sin \theta_W \sqrt{\lambda} g_1$  and  $g_1 = \sqrt{g^2 + g'^2}$ .  $\lambda \sim 1$  (which we assume) if the GUT group breaks directly to  $SU(3) \times SU(2) \times U(1) \times U(1)'$ .  $C$  is a function of vacuum expectation values. For minimal Higgs sectors it can be found in Ref. 201. Table 10.9 shows the 95% CL lower mass limits obtained from a somewhat earlier data set [236] for  $\rho_0$  free and  $\rho_0 = 1$ , respectively. In cases of specific minimal Higgs sectors where  $C$  is known, the  $Z'$  mass limits are generally pushed into the TeV region. The limits on  $|\theta|$  are typically  $< \text{few} \times 10^{-3}$ . For more details see [228,236,237] and the note on “The  $Z'$  Searches” in the Gauge & Higgs Boson Particle Listings. Also listed in Table 10.9 are the direct lower limits on  $Z'$  production from the Tevatron [233] and LEP 2 bounds [142]. The final LEP 1 value for  $\sigma_{\text{had}}$ , some previous values for  $Q_W(\text{Cs})$ , NuTeV, and  $A_{FB}^{0,b}$  (for family-nonuniversal couplings [238]) modify the results and might even suggest the possible existence of a  $Z'$  [193,239].

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