

Neutrino Mixing

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(A) Neutrino fluxes and event ratios

Events (observed/expected) from accelerator ν_μ experiments.

Some neutrino oscillation experiments compare the flux in two or more detectors. This is usually quoted as the ratio of the event rate in the far detector to the expected rate based on an extrapolation from the near detector in the absence of oscillations.

VALUE	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
0.71 ± 0.08	¹ AHN	06A K2K	K2K to Super-K
0.64 ± 0.05	² MICHAEL	06 MINS	All charged current events
$0.71^{+0.08}_{-0.09}$	³ ALIU	05 K2K	KEK to Super-K
$0.70^{+0.10}_{-0.11}$	⁴ AHN	03 K2K	KEK to Super-K

¹ Based on the observation of 112 events when $158.1^{+9.2}_{-8.6}$ were expected without oscillations. Including not only the number of events but also the shape of the energy distribution, the evidence for oscillation is at the level of about 4.3σ . Supersedes ALIU 05.

² This ratio is based on the observation of 215 events compared to an expectation of 336 ± 14 without oscillations. See also ADAMSON 08.

³ This ratio is based on the observation of 107 events at the far detector 250 km away from KEK, and an expectation of 151^{+12}_{-10} .

⁴ This ratio is based on the observation of 56 events with an expectation of $80.1^{+6.2}_{-5.4}$.

Events (observed/expected) from reactor $\bar{\nu}_e$ experiments.

The quoted values are the ratios of the measured reactor $\bar{\nu}_e$ event rate at the quoted distances, and the rate expected without oscillations. The expected rate is based on the experimental data for the most significant reactor fuels (^{235}U , ^{239}Pu , ^{241}Pu) and on calculations for ^{238}U .

VALUE	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.658 \pm 0.044 \pm 0.047$	⁵ ARAKI	05 KLND	Japanese react. ~ 180 km
$0.611 \pm 0.085 \pm 0.041$	⁶ EGUCHI	03 KLND	Japanese react. ~ 180 km
$1.01 \pm 0.024 \pm 0.053$	⁷ BOEHM	01	Palo Verde react. 0.75–0.89 km
$1.01 \pm 0.028 \pm 0.027$	⁸ APOLLONIO	99 CHOZ	Chooz reactors 1 km
$0.987 \pm 0.006 \pm 0.037$	⁹ GREENWOOD	96	Savannah River, 18.2 m
$0.988 \pm 0.004 \pm 0.05$	ACHKAR	95 CNTR	Bugey reactor, 15 m
$0.994 \pm 0.010 \pm 0.05$	ACHKAR	95 CNTR	Bugey reactor, 40 m
$0.915 \pm 0.132 \pm 0.05$	ACHKAR	95 CNTR	Bugey reactor, 95 m
$0.987 \pm 0.014 \pm 0.027$	¹⁰ DECLAIS	94 CNTR	Bugey reactor, 15 m
$0.985 \pm 0.018 \pm 0.034$	KUVSHINN...	91 CNTR	Rovno reactor
$1.05 \pm 0.02 \pm 0.05$	VUILLEUMIER	82	Gösgen reactor
$0.955 \pm 0.035 \pm 0.110$	¹¹ KWON	81	$\bar{\nu}_e p \rightarrow e^+ n$
0.89 ± 0.15	¹¹ BOEHM	80	$\bar{\nu}_e p \rightarrow e^+ n$

- ⁵ Updated result of KamLAND, including the data used in EGUCHI 03. Note that the survival probabilities for different periods are not directly comparable because the effective baseline varies with power output of the reactor sources involved, and there were large variations in the reactor power production in Japan in 2003.
- ⁶ EGUCHI 03 observe reactor neutrino disappearance at ~ 180 km baseline to various Japanese nuclear power reactors.
- ⁷ BOEHM 01 search for neutrino oscillations at 0.75 and 0.89 km distance from the Palo Verde reactors.
- ⁸ APOLLONIO 99, APOLLONIO 98 search for neutrino oscillations at 1.1 km fixed distance from Chooz reactors. They use $\bar{\nu}_e p \rightarrow e^+ n$ in Gd-loaded scintillator target. APOLLONIO 99 supersedes APOLLONIO 98. See also APOLLONIO 03 for detailed description.
- ⁹ GREENWOOD 96 search for neutrino oscillations at 18 m and 24 m from the reactor at Savannah River.
- ¹⁰ DECLAIS 94 result based on integral measurement of neutrons only. Result is ratio of measured cross section to that expected in standard $V-A$ theory. Replaced by ACHKAR 95.
- ¹¹ KWON 81 represents an analysis of a larger set of data from the same experiment as BOEHM 80.

———— Atmospheric neutrinos ————

Neutrinos and antineutrinos produced in the atmosphere induce μ -like and e -like events in underground detectors. The ratio of the numbers of the two kinds of events is defined as μ/e . It has the advantage that systematic effects, such as flux uncertainty, tend to cancel, for both experimental and theoretical values of the ratio. The “ratio of the ratios” of experimental to theoretical μ/e , $R(\mu/e)$, or that of experimental to theoretical μ/total , $R(\mu/\text{total})$ with $\text{total} = \mu + e$, is reported below. If the actual value is not unity, the value obtained in a given experiment may depend on the experimental conditions. In addition, the measured “up-down asymmetry” for μ ($N_{up}(\mu)/N_{down}(\mu)$) or e ($N_{up}(e)/N_{down}(e)$) is reported. The expected “up-down asymmetry” is nearly unity if there is no neutrino oscillation.

$R(\mu/e) = (\text{Measured Ratio } \mu/e) / (\text{Expected Ratio } \mu/e)$

<i>VALUE</i>	<i>DOCUMENT ID</i>	<i>TECN</i>	<i>COMMENT</i>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.658 \pm 0.016 \pm 0.035$	¹² ASHIE	05 SKAM	sub-GeV
$0.702^{+0.032}_{-0.030} \pm 0.101$	¹³ ASHIE	05 SKAM	multi-GeV
$0.69 \pm 0.10 \pm 0.06$	¹⁴ SANCHEZ	03 SOU2	Calorimeter raw data
	¹⁵ FUKUDA	96B KAMI	Water Cherenkov
$1.00 \pm 0.15 \pm 0.08$	¹⁶ DAUM	95 FREJ	Calorimeter
$0.60^{+0.06}_{-0.05} \pm 0.05$	¹⁷ FUKUDA	94 KAMI	sub-GeV
$0.57^{+0.08}_{-0.07} \pm 0.07$	¹⁸ FUKUDA	94 KAMI	multi-GeV
	¹⁹ BECKER-SZ...	92B IMB	Water Cherenkov

- ¹² ASHIE 05 results are based on an exposure of 92 kton yr during the complete Super-Kamiokande I running period. The analyzed data sample consists of fully-contained single-ring e -like events with $0.1 \text{ GeV}/c < p_e$ and μ -like events $0.2 \text{ GeV}/c < p_\mu$, both having a visible energy $< 1.33 \text{ GeV}$. These criteria match the definition used by FUKUDA 94.
- ¹³ ASHIE 05 results are based on an exposure of 92 kton yr during the complete Super-Kamiokande I running period. The analyzed data sample consists of fully-contained single-ring events with visible energy $> 1.33 \text{ GeV}$ and partially-contained events. All partially-contained events are classified as μ -like.
- ¹⁴ SANCHEZ 03 result is based on an exposure of 5.9 kton yr, and updates ALLISON 99 result. The analyzed data sample consists of fully-contained e -flavor and μ -flavor events having lepton momentum $> 0.3 \text{ GeV}/c$.
- ¹⁵ FUKUDA 96B studied neutron background in the atmospheric neutrino sample observed in the Kamiokande detector. No evidence for the background contamination was found.
- ¹⁶ DAUM 95 results are based on an exposure of 2.0 kton yr which includes the data used by BERGER 90B. This ratio is for the contained and semicontained events. DAUM 95 also report $R(\mu/e) = 0.99 \pm 0.13 \pm 0.08$ for the total neutrino induced data sample which includes upward going stopping muons and horizontal muons in addition to the contained and semicontained events.
- ¹⁷ FUKUDA 94 result is based on an exposure of 7.7 kton yr and updates the HIRATA 92 result. The analyzed data sample consists of fully-contained e -like events with $0.1 < p_e < 1.33 \text{ GeV}/c$ and fully-contained μ -like events with $0.2 < p_\mu < 1.5 \text{ GeV}/c$.
- ¹⁸ FUKUDA 94 analyzed the data sample consisting of fully contained events with visible energy $> 1.33 \text{ GeV}$ and partially contained μ -like events.
- ¹⁹ BECKER-SZENDY 92B reports the fraction of nonshowering events (mostly muons from atmospheric neutrinos) as $0.36 \pm 0.02 \pm 0.02$, as compared with expected fraction $0.51 \pm 0.01 \pm 0.05$. After cutting the energy range to the Kamiokande limits, BEIER 92 finds $R(\mu/e)$ very close to the Kamiokande value.

$R(\nu_\mu) = (\text{Measured Flux of } \nu_\mu) / (\text{Expected Flux of } \nu_\mu)$

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• • • We do not use the following data for averages, fits, limits, etc. • • •			
0.84 ± 0.12	²⁰ ADAMSON	06	MINS MINOS atmospheric
$0.72 \pm 0.026 \pm 0.13$	²¹ AMBROSIO	01	MCRO upward through-going
$0.57 \pm 0.05 \pm 0.15$	²² AMBROSIO	00	MCRO upgoing partially contained
$0.71 \pm 0.05 \pm 0.19$	²³ AMBROSIO	00	MCRO downgoing partially contained + upgoing stopping
$0.74 \pm 0.036 \pm 0.046$	²⁴ AMBROSIO	98	MCRO Streamer tubes
	²⁵ CASPER	91	IMB Water Cherenkov
	²⁶ AGLIETTA	89	NUSX
0.95 ± 0.22	²⁷ BOLIEV	81	Baksan
0.62 ± 0.17	CROUCH	78	Case Western/UCI

²⁰ ADAMSON 06 uses a measurement of 107 total neutrinos compared to an expected rate of 127 ± 13 without oscillations.

²¹ AMBROSIO 01 result is based on the upward through-going muon tracks with $E_\mu > 1 \text{ GeV}$. The data came from three different detector configurations, but the statistics is largely dominated by the full detector run, from May 1994 to December 2000. The total live time, normalized to the full detector configuration, is 6.17 years. The first error is the statistical error, the second is the systematic error, dominated by the theoretical error in the predicted flux.

²² AMBROSIO 00 result is based on the upgoing partially contained event sample. It came from 4.1 live years of data taking with the full detector, from April 1994 to February 1999. The average energy of atmospheric muon neutrinos corresponding to this sample is 4 GeV. The first error is statistical, the second is the systematic error, dominated by

the 25% theoretical error in the rate (20% in the flux and 15% in the cross section, added in quadrature). Within statistics, the observed deficit is uniform over the zenith angle.

- ²³ AMBROSIO 00 result is based on the combined samples of downgoing partially contained events and upgoing stopping events. These two subsamples could not be distinguished due to the lack of timing information. The result came from 4.1 live years of data taking with the full detector, from April 1994 to February 1999. The average energy of atmospheric muon neutrinos corresponding to this sample is 4 GeV. The first error is statistical, the second is the systematic error, dominated by the 25% theoretical error in the rate (20% in the flux and 15% in the cross section, added in quadrature). Within statistics, the observed deficit is uniform over the zenith angle.
- ²⁴ AMBROSIO 98 result is for all nadir angles and updates AHLEN 95 result. The lower cutoff on the muon energy is 1 GeV. In addition to the statistical and systematic errors, there is a Monte Carlo flux error (theoretical error) of ± 0.13 . With a neutrino oscillation hypothesis, the fit either to the flux or zenith distribution independently yields $\sin^2 2\theta = 1.0$ and $\Delta(m^2) \sim$ a few times 10^{-3} eV^2 . However, the fit to the observed zenith distribution gives a maximum probability for χ^2 of only 5% for the best oscillation hypothesis.
- ²⁵ CASPER 91 correlates showering/nonshowering signature of single-ring events with parent atmospheric-neutrino flavor. They find nonshowering ($\approx \nu_\mu$ induced) fraction is $0.41 \pm 0.03 \pm 0.02$, as compared with expected 0.51 ± 0.05 (syst).
- ²⁶ AGLIETTA 89 finds no evidence for any anomaly in the neutrino flux. They define $\rho = (\text{measured number of } \nu_e \text{'s}) / (\text{measured number of } \nu_\mu \text{'s})$. They report $\rho(\text{measured}) = \rho(\text{expected}) = 0.96^{+0.32}_{-0.28}$.
- ²⁷ From this data BOLIEV 81 obtain the limit $\Delta(m^2) \leq 6 \times 10^{-3} \text{ eV}^2$ for maximal mixing, $\nu_\mu \leftrightarrow \nu_\mu$ type oscillation.

R(μ /total) = (Measured Ratio μ /total) / (Expected Ratio μ /total)

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● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$1.1^{+0.07}_{-0.12} \pm 0.11$	²⁸ CLARK	97	IMB multi-GeV

- ²⁸ CLARK 97 obtained this result by an analysis of fully contained and partially contained events in the IMB water-Cherenkov detector with visible energy $> 0.95 \text{ GeV}$.

$N_{\text{up}}(\mu) / N_{\text{down}}(\mu)$

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.551^{+0.035}_{-0.033} \pm 0.004$	²⁹ ASHIE	05	SKAM multi-GeV

- ²⁹ ASHIE 05 results are based on an exposure of 92 kton yr during the complete Super-Kamiokande I running period. The analyzed data sample consists of fully-contained single-ring μ -like events with visible energy $> 1.33 \text{ GeV}$ and partially-contained events. All partially-contained events are classified as μ -like. Upward-going events are those with $-1 < \cos(\text{zenith angle}) < -0.2$ and downward-going events are those with $0.2 < \cos(\text{zenith angle}) < 1$. The μ -like up-down ratio for the multi-GeV data deviates from 1 (the expectation for no atmospheric ν_μ oscillations) by more than 12 standard deviations.

$N_{\text{up}}(e) / N_{\text{down}}(e)$

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.961^{+0.086}_{-0.079} \pm 0.016$	³⁰ ASHIE	05	SKAM multi-GeV

³⁰ ASHIE 05 results are based on an exposure of 92 kton yr during the complete Super-Kamiokande I running period. The analyzed data sample consists of fully-contained single-ring e -like events with visible energy > 1.33 GeV. Upward-going events are those with $-1 < \cos(\text{zenith angle}) < -0.2$ and downward-going events are those with $0.2 < \cos(\text{zenith angle}) < 1$. The e -like up-down ratio for the multi-GeV data is consistent with 1 (the expectation for no atmospheric ν_e oscillations).

R(up/down; μ) = (Measured up/down; μ) / (Expected up/down; μ)

VALUE	DOCUMENT ID	TECN	COMMENT
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$0.62^{+0.19}_{-0.14} \pm 0.02$	³¹ ADAMSON	06	MINS atmospheric ν with far detector
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³¹ ADAMSON 06 result is obtained with the MINOS far detector with an exposure of 4.54 kton yr. The expected ratio is calculated with no neutrino oscillation.

R(μ^+/μ^-) = (Measured N($\mu^+)$ /N(μ^-)) / (Expected N($\mu^+)$ /N(μ^-))

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• • • We do not use the following data for averages, fits, limits, etc. • • •

$1.39^{+0.35}_{-0.46} \begin{matrix} +0.08 \\ -0.14 \end{matrix}$	³² ADAMSON	07	MINS Upward and horizontal μ with far detector
$0.96^{+0.38}_{-0.27} \pm 0.15$	³³ ADAMSON	06	MINS atmospheric ν with far detector

³² ADAMSON 07 result is obtained with the MINOS far detector in 854.24 live days, based on neutrino-induced upward-going and horizontal muons. This result is consistent with CPT conservation.

³³ ADAMSON 06 result is obtained with the MINOS far detector with an exposure of 4.54 kton yr, based on contained events. The expected ratio is calculated by assuming the same oscillation parameters for neutrinos and antineutrinos.

————— **Solar neutrinos** —————

Solar neutrinos are produced by thermonuclear fusion reactions in the Sun. Radiochemical experiments measure particular combinations of fluxes from various neutrino-producing reactions, whereas water-Cherenkov experiments mainly measure a flux of neutrinos from decay of ^8B . Solar neutrino fluxes are composed of all active neutrino species, ν_e , ν_μ , and ν_τ . In addition, some other mechanisms may cause antineutrino components in solar neutrino fluxes. Each measurement method is sensitive to a particular component or a combination of components of solar neutrino fluxes. For details, see the following minireview.

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ν_e Capture Rates from Radiochemical Experiments

1 SNU (Solar Neutrino Unit) = 10^{-36} captures per atom per second.

VALUE (SNU)	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

- | | | | | | |
|-------------------------------------------------------------------------|----|--------------|----|------|---------------------------------------------|
| 62.9 $\begin{smallmatrix} +5.5 \\ -5.3 \end{smallmatrix} \pm 2.5$ | 34 | ALTMANN | 05 | GNO | $^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$ |
| 69.3 $\pm 4.1 \pm 3.6$ | 35 | ALTMANN | 05 | GNO | GNO + GALX combined |
| 70.8 $\begin{smallmatrix} +5.3 & +3.7 \\ -5.2 & -3.2 \end{smallmatrix}$ | 36 | ABDURASHI... | 02 | SAGE | $^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$ |
| 77.5 $\pm 6.2 \begin{smallmatrix} +4.3 \\ -4.7 \end{smallmatrix}$ | 37 | HAMPEL | 99 | GALX | $^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$ |
| 2.56 $\pm 0.16 \pm 0.16$ | 38 | CLEVELAND | 98 | HOME | $^{37}\text{Cl} \rightarrow ^{37}\text{Ar}$ |
- 34 ALTMANN 05 reports the complete result from the GNO solar neutrino experiment (GNO I+II+III), which is the successor project of GALLEX. Experimental technique of GNO is essentially the same as that of GALLEX. The run data cover the period 20 May 1998 through 9 April 2003.
- 35 Combined result of GALLEX I+II+III+IV (HAMPEL 99) and GNO I+II+III.
- 36 ABDURASHITOV 02 report a combined analysis of 92 runs of the SAGE solar-neutrino experiment during the period January 1990 through December 2001, and updates the ABDURASHITOV 99B result. A total of 406.4 ^{71}Ge events were observed. No evidence was found for temporal variations of the neutrino capture rate over the entire observation period.
- 37 HAMPEL 99 report the combined result for GALLEX I+II+III+IV (65 runs in total), which update the HAMPEL 96 result. The GALLEX IV result (12 runs) is $118.4 \pm 17.8 \pm 6.6$ SNU. (HAMPEL 99 discuss the consistency of partial results with the mean.) The GALLEX experimental program has been completed with these runs. The total run data cover the period 14 May 1991 through 23 January 1997. A total of 300 ^{71}Ge events were observed.
- 38 CLEVELAND 98 is a detailed report of the ^{37}Cl experiment at the Homestake Mine. The average solar neutrino-induced ^{37}Ar production rate from 108 runs between 1970 and 1994 updates the DAVIS 89 result.

$\phi_{ES} (^8\text{B})$

^8B solar-neutrino flux measured via νe elastic scattering. This process is sensitive to all active neutrino flavors, but with reduced sensitivity to ν_μ, ν_τ due to the cross-section difference, $\sigma(\nu_{\mu,\tau} e) \sim 0.16\sigma(\nu_e e)$. If the ^8B solar-neutrino flux involves nonelectron flavor active neutrinos, their contribution to the flux is ~ 0.16 times of ν_e .

<u>VALUE ($10^6 \text{ cm}^{-2}\text{s}^{-1}$)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
1.77 $\begin{smallmatrix} +0.24 & +0.09 \\ -0.21 & -0.10 \end{smallmatrix}$	39	AHARMIM	08 SNO Phase III
2.38 $\pm 0.05 \begin{smallmatrix} +0.16 \\ -0.15 \end{smallmatrix}$	40	CRAVENS	08 SKAM average flux
2.35 $\pm 0.02 \pm 0.08$	41	HOSAKA	06 SKAM average flux
2.35 $\pm 0.22 \pm 0.15$	42	AHARMIM	05A SNO Salty D ₂ O; ^8B shape not constrained
2.34 $\pm 0.23 \begin{smallmatrix} +0.15 \\ -0.14 \end{smallmatrix}$	42	AHARMIM	05A SNO Salty D ₂ O; ^8B shape constrained
2.39 $\begin{smallmatrix} +0.24 \\ -0.23 \end{smallmatrix} \pm 0.12$	43	AHMAD	02 SNO average flux
2.39 $\pm 0.34 \begin{smallmatrix} +0.16 \\ -0.14 \end{smallmatrix}$	44	AHMAD	01 SNO average flux
2.80 $\pm 0.19 \pm 0.33$	45	FUKUDA	96 KAMI average flux
2.70 ± 0.27	45	FUKUDA	96 KAMI day flux
2.87 $\begin{smallmatrix} +0.27 \\ -0.26 \end{smallmatrix}$	45	FUKUDA	96 KAMI night flux

- 39 AHARMIM 08 reports the results from SNO Phase III measurement using an array of ^3He proportional counters to measure the rate of NC interactions in heavy water, over the period between November 27, 2004 and November 28, 2006, corresponding to 385.17 live days. A simultaneous fit was made for the number of NC events detected by the proportional counters and the numbers of NC, CC, and ES events detected by the PMTs, where the spectral distributions of the ES and CC events were not constrained to the ^8B shape.
- 40 CRAVENS 08 reports the Super-Kamiokande-II results for 791 live days from December 2002 to October 2005. The photocathode coverage of the detector is 19% (reduced from 40% of that of Super-Kamiokande-I due to an accident in 2001). The analysis threshold for the average flux is 7 MeV.
- 41 HOSAKA 06 reports the final results for 1496 live days with Super-Kamiokande-I between May 31, 1996 and July 15, 2001, and replace FUKUDA 02 results. The analysis threshold is 5 MeV except for the first 280 live days (6.5 MeV).
- 42 AHARMIM 05A measurements were made with dissolved NaCl (0.195% by weight) in heavy water over the period between July 26, 2001 and August 28, 2003, corresponding to 391.4 live days, and update AHMED 04A. The CC, ES, and NC events were statistically separated. In one method, the ^8B energy spectrum was not constrained. In the other method, the constraint of an undistorted ^8B energy spectrum was added for comparison with AHMAD 02 results.
- 43 AHMAD 02 reports the ^8B solar-neutrino flux measured via νe elastic scattering above the kinetic energy threshold of 5 MeV. The data correspond to 306.4 live days with SNO between November 2, 1999 and May 28, 2001, and updates AHMAD 01 results.
- 44 AHMAD 01 reports the ^8B solar-neutrino flux measured via νe elastic scattering above the kinetic energy threshold of 6.75 MeV. The data correspond to 241 live days with SNO between November 2, 1999 and January 15, 2001.
- 45 FUKUDA 96 results are for a total of 2079 live days with Kamiokande II and III from January 1987 through February 1995, covering the entire solar cycle 22, with threshold $E_e > 9.3$ MeV (first 449 days), > 7.5 MeV (middle 794 days), and > 7.0 MeV (last 836 days). These results update the HIRATA 90 result for the average ^8B solar-neutrino flux and HIRATA 91 result for the day-night variation in the ^8B solar-neutrino flux. The total data sample was also analyzed for short-term variations: within experimental errors, no strong correlation of the solar-neutrino flux with the sunspot numbers was found.

$\phi_{CC} (^8\text{B})$

^8B solar-neutrino flux measured with charged-current reaction which is sensitive exclusively to ν_e .

<u>VALUE ($10^6 \text{ cm}^{-2}\text{s}^{-1}$)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
• • • We do not use the following data for averages, fits, limits, etc. • • •			
$1.67^{+0.05+0.07}_{-0.04-0.08}$	46 AHARMIM 08	SNO	Phase III
$1.68 \pm 0.06^{+0.08}_{-0.09}$	47 AHARMIM 05A	SNO	Salty D_2O ; ^8B shape not const.
$1.72 \pm 0.05 \pm 0.11$	47 AHARMIM 05A	SNO	Salty D_2O ; ^8B shape constrained
$1.76^{+0.06}_{-0.05} \pm 0.09$	48 AHMAD 02	SNO	average flux
$1.75 \pm 0.07^{+0.12}_{-0.11} \pm 0.05$	49 AHMAD 01	SNO	average flux

- 46 AHARMIM 08 reports the results from SNO Phase III measurement using an array of ^3He proportional counters to measure the rate of NC interactions in heavy water, over the period between November 27, 2004 and November 28, 2006, corresponding to 385.17 live days. A simultaneous fit was made for the number of NC events detected by the proportional counters and the numbers of NC, CC, and ES events detected by the PMTs, where the spectral distributions of the ES and CC events were not constrained to the ^8B shape.
- 47 AHARMIM 05A measurements were made with dissolved NaCl (0.195% by weight) in heavy water over the period between July 26, 2001 and August 28, 2003, corresponding to 391.4 live days, and update AHMED 04A. The CC, ES, and NC events were statistically separated. In one method, the ^8B energy spectrum was not constrained. In the other method, the constraint of an undistorted ^8B energy spectrum was added for comparison with AHMAD 02 results.
- 48 AHMAD 02 reports the SNO result of the ^8B solar-neutrino flux measured with charged-current reaction on deuterium, $\nu_e d \rightarrow ppe^-$, above the kinetic energy threshold of 5 MeV. The data correspond to 306.4 live days with SNO between November 2, 1999 and May 28, 2001, and updates AHMAD 01 results. The complete description of the SNO Phase I data set is given in AHARMIM 07.
- 49 AHMAD 01 reports the first SNO result of the ^8B solar-neutrino flux measured with the charged-current reaction on deuterium, $\nu_e d \rightarrow ppe^-$, above the kinetic energy threshold of 6.75 MeV. The data correspond to 241 live days with SNO between November 2, 1999 and January 15, 2001.

$\phi_{\text{NC}}(^8\text{B})$

^8B solar neutrino flux measured with neutral-current reaction, which is equally sensitive to ν_e , ν_μ , and ν_τ .

VALUE ($10^6 \text{ cm}^{-2}\text{s}^{-1}$)	DOCUMENT ID	TECN	COMMENT
• • • We do not use the following data for averages, fits, limits, etc. • • •			
$5.54^{+0.33+0.36}_{-0.31-0.34}$	50 AHARMIM	08 SNO	Phase III, prop. counter + PMT
$4.94 \pm 0.21^{+0.38}_{-0.34}$	51 AHARMIM	05A SNO	Salty D_2O ; ^8B shape not const.
$4.81 \pm 0.19^{+0.28}_{-0.27}$	51 AHARMIM	05A SNO	Salty D_2O ; ^8B shape constrained
$5.09^{+0.44+0.46}_{-0.43-0.43}$	52 AHMAD	02 SNO	average flux; ^8B shape const.
$6.42 \pm 1.57^{+0.55}_{-0.58}$	52 AHMAD	02 SNO	average flux; ^8B shape not const.

- 50 AHARMIM 08 reports the results from SNO Phase III measurement using an array of ^3He proportional counters to measure the rate of NC interactions in heavy water, over the period between November 27, 2004 and November 28, 2006, corresponding to 385.17 live days. A simultaneous fit was made for the number of NC events detected by the proportional counters and the numbers of NC, CC, and ES events detected by the PMTs, where the spectral distributions of the ES and CC events were not constrained to the ^8B shape.
- 51 AHARMIM 05A measurements were made with dissolved NaCl (0.195% by weight) in heavy water over the period between July 26, 2001 and August 28, 2003, corresponding to 391.4 live days, and update AHMED 04A. The CC, ES, and NC events were statistically separated. In one method, the ^8B energy spectrum was not constrained. In the other method, the constraint of an undistorted ^8B energy spectrum was added for comparison with AHMAD 02 results.
- 52 AHMAD 02 reports the first SNO result of the ^8B solar-neutrino flux measured with the neutral-current reaction on deuterium, $\nu_\ell d \rightarrow n p \nu_\ell$, above the neutral-current reaction threshold of 2.2 MeV. The data correspond to 306.4 live days with SNO between November 2, 1999 and May 28, 2001. The complete description of the SNO Phase I data set is given in AHARMIM 07.

$\phi_{\nu_{\mu}+\nu_{\tau}}$ (^8B)

Nonelectron-flavor active neutrino component (ν_{μ} and ν_{τ}) in the ^8B solar-neutrino flux.

<u>VALUE ($10^6 \text{ cm}^{-2}\text{s}^{-1}$)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
• • • We do not use the following data for averages, fits, limits, etc. • • •			
$3.26 \pm 0.25^{+0.40}_{-0.35}$	53 AHARMIM	05A SNO	From ϕ_{NC} , ϕ_{CC} , and ϕ_{ES} ; ^8B shape not const.
$3.09 \pm 0.22^{+0.30}_{-0.27}$	53 AHARMIM	05A SNO	From ϕ_{NC} , ϕ_{CC} , and ϕ_{ES} ; ^8B shape constrained
$3.41 \pm 0.45^{+0.48}_{-0.45}$	54 AHMAD	02 SNO	From ϕ_{NC} , ϕ_{CC} , and ϕ_{ES}
3.69 ± 1.13	55 AHMAD	01	Derived from SNO+SuperKam, water Cherenkov

⁵³ AHARMIM 05A measurements were made with dissolved NaCl (0.195% by weight) in heavy water over the period between July 26, 2001 and August 28, 2003, corresponding to 391.4 live days, and update AHMED 04A. The CC, ES, and NC events were statistically separated. In one method, the ^8B energy spectrum was not constrained. In the other method, the constraint of an undistorted ^8B energy spectrum was added for comparison with AHMAD 02 results.

⁵⁴ AHMAD 02 deduced the nonelectron-flavor active neutrino component (ν_{μ} and ν_{τ}) in the ^8B solar-neutrino flux, by combining the charged-current result, the νe elastic-scattering result and the neutral-current result. The complete description of the SNO Phase I data set is given in AHARMIM 07.

⁵⁵ AHMAD 01 deduced the nonelectron-flavor active neutrino component (ν_{μ} and ν_{τ}) in the ^8B solar-neutrino flux, by combining the SNO charged-current result (AHMAD 01) and the Super-Kamiokande νe elastic-scattering result (FUKUDA 01).

Total Flux of Active ^8B Solar Neutrinos

Total flux of active neutrinos (ν_e , ν_{μ} , and ν_{τ}).

<u>VALUE ($10^6 \text{ cm}^{-2}\text{s}^{-1}$)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
• • • We do not use the following data for averages, fits, limits, etc. • • •			
$5.54^{+0.33+0.36}_{-0.31-0.34}$	56 AHARMIM	08 SNO	ϕ_{NC} in Phase III
$4.94 \pm 0.21^{+0.38}_{-0.34}$	57 AHARMIM	05A SNO	From ϕ_{NC} ; ^8B shape not const.
$4.81 \pm 0.19^{+0.28}_{-0.27}$	57 AHARMIM	05A SNO	From ϕ_{NC} ; ^8B shape constrained
$5.09^{+0.44+0.46}_{-0.43-0.43}$	58 AHMAD	02 SNO	Direct measurement from ϕ_{NC}
5.44 ± 0.99	59 AHMAD	01	Derived from SNO+SuperKam, water Cherenkov

⁵⁶ AHARMIM 08 reports the results from SNO Phase III measurement using an array of ^3He proportional counters to measure the rate of NC interactions in heavy water, over the period between November 27, 2004 and November 28, 2006, corresponding to 385.17 live days. A simultaneous fit was made for the number of NC events detected by the proportional counters and the numbers of NC, CC, and ES events detected by the PMTs, where the spectral distributions of the ES and CC events were not constrained to the ^8B shape.

⁵⁷ AHARMIM 05A measurements were made with dissolved NaCl (0.195% by weight) in heavy water over the period between July 26, 2001 and August 28, 2003, corresponding to 391.4 live days, and update AHMED 04A. The CC, ES, and NC events were statistically

separated. In one method, the ^8B energy spectrum was not constrained. In the other method, the constraint of an undistorted ^8B energy spectrum was added for comparison with AHMAD 02 results.

⁵⁸ AHMAD 02 determined the total flux of active ^8B solar neutrinos by directly measuring the neutral-current reaction, $\nu_\ell d \rightarrow np\nu_\ell$, which is equally sensitive to ν_e , ν_μ , and ν_τ . The complete description of the SNO Phase I data set is given in AHARMIM 07.

⁵⁹ AHMAD 01 deduced the total flux of active ^8B solar neutrinos by combining the SNO charged-current result (AHMAD 01) and the Super-Kamiokande νe elastic-scattering result (FUKUDA 01).

Day-Night Asymmetry (^8B)

$$A = (\phi_{\text{night}} - \phi_{\text{day}}) / \phi_{\text{average}}$$

VALUE	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.063 \pm 0.042 \pm 0.037$	⁶⁰ CRAVENS	08 SKAM	Based on ϕ_{ES}
$0.021 \pm 0.020 \begin{smallmatrix} +0.012 \\ -0.013 \end{smallmatrix}$	⁶¹ HOSAKA	06 SKAM	Based on ϕ_{ES}
$0.017 \pm 0.016 \begin{smallmatrix} +0.012 \\ -0.013 \end{smallmatrix}$	⁶² HOSAKA	06 SKAM	Fitted in the LMA region
$-0.056 \pm 0.074 \pm 0.053$	⁶³ AHARMIM	05A SNO	From salty SNO ϕ_{CC}
$-0.037 \pm 0.063 \pm 0.032$	⁶³ AHARMIM	05A SNO	From salty SNO ϕ_{CC} ; const. of no ϕ_{NC} asymmetry
$0.14 \pm 0.063 \begin{smallmatrix} +0.015 \\ -0.014 \end{smallmatrix}$	⁶⁴ AHMAD	02B SNO	Derived from SNO ϕ_{CC}
$0.07 \pm 0.049 \begin{smallmatrix} +0.013 \\ -0.012 \end{smallmatrix}$	⁶⁵ AHMAD	02B SNO	Const. of no ϕ_{NC} asymmetry

⁶⁰ CRAVENS 08 reports the Super-Kamiokande-II results for 791 live days from December 2002 to October 2005. The photocathode coverage of the detector is 19% (reduced from 40% of that of Super-Kamiokande-I due to an accident in 2001). The analysis threshold for the day and night fluxes is 7.5 MeV.

⁶¹ HOSAKA 06 reports the final results for 1496 live days with Super-Kamiokande-I between May 31, 1996 and July 15, 2001, and replace FUKUDA 02 results. The analysis threshold is 5 MeV except for the first 280 live days (6.5 MeV).

⁶² This result with reduced statistical uncertainty is obtained by assuming two-neutrino oscillations within the LMA (large mixing angle) region and by fitting the time variation of the solar neutrino flux measured via ν_e elastic scattering to the variations expected from neutrino oscillations. For details, see SMY 04. There is an additional small systematic error of ± 0.0004 coming from uncertainty of oscillation parameters.

⁶³ AHARMIM 05A measurements were made with dissolved NaCl (0.195% by weight) in heavy water over the period between July 26, 2001 and August 28, 2003, with 176.5 days of the live time recorded during the day and 214.9 days during the night. This result is obtained with the spectral distribution of the CC events not constrained to the ^8B shape.

⁶⁴ AHMAD 02B results are based on the charged-current interactions recorded between November 2, 1999 and May 28, 2001, with the day and night live times of 128.5 and 177.9 days, respectively. The complete description of the SNO Phase I data set is given in AHARMIM 07.

⁶⁵ AHMAD 02B results are derived from the charged-current interactions, neutral-current interactions, and νe elastic scattering, with the total flux of active neutrinos constrained to have no asymmetry. The data were recorded between November 2, 1999 and May 28, 2001, with the day and night live times of 128.5 and 177.9 days, respectively. The complete description of the SNO Phase I data set is given in AHARMIM 07.

$\phi_{ES} (^7\text{Be})$

^7Be solar-neutrino flux measured via ν_e elastic scattering. This process is sensitive to all active neutrino flavors, but with reduced sensitivity to ν_μ, ν_τ due to the cross-section difference, $\sigma(\nu_{\mu,\tau} e) \sim 0.2 \sigma(\nu_e e)$. If the ^7Be solar-neutrino flux involves nonelectron flavor active neutrinos, their contribution to the flux is ~ 0.2 times that of ν_e .

<u>VALUE ($10^9 \text{ cm}^{-2}\text{s}^{-1}$)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>
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• • • We do not use the following data for averages, fits, limits, etc. • • •

3.36 ± 0.34	66 ARPESELLA	08A	BORX
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66 ARPESELLA 08A reports the 0.862 MeV ^7Be solar-neutrino flux measured via ν elastic scattering. The data correspond to 192 live days with BOREXINO (41.3 ton·yr fiducial exposure) between May 16, 2007 and April 12, 2008. The measured flux is calculated from the ratio of the measured rate to the rate expected from the non-oscillated solar ν in the high metallicity SSM PENA-GARAY 09.

$\phi_{ES} (\text{hep})$

hep solar-neutrino flux measured via νe elastic scattering. This process is sensitive to all active neutrino flavors, but with reduced sensitivity to ν_μ, ν_τ due to the cross-section difference, $\sigma(\nu_{\mu,\tau} e) \sim 0.16\sigma(\nu_e e)$. If the hep solar-neutrino flux involves nonelectron flavor active neutrinos, their contribution to the flux is ~ 0.16 times of ν_e .

<u>VALUE ($10^3 \text{ cm}^{-2}\text{s}^{-1}$)</u>	<u>CL%</u>	<u>DOCUMENT ID</u>	<u>TECN</u>
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• • • We do not use the following data for averages, fits, limits, etc. • • •

< 73	90	67 HOSAKA	06	SKAM
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67 HOSAKA 06 result is obtained from the recoil electron energy window of 18–21 MeV, and updates FUKUDA 01 result.

$\phi_{\bar{\nu}_e} (^8\text{B})$

Searches are made for electron antineutrino flux from the Sun. Flux limits listed here are derived relative to the BS05(OP) Standard Solar Model ^8B solar neutrino flux, with an assumption that solar $\bar{\nu}_e$ s follow an unoscillated ^8B neutrino spectrum.

<u>VALUE (%)</u>	<u>CL%</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
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• • • We do not use the following data for averages, fits, limits, etc. • • •

< 1.9	90	68 BALATA	06	CNTR	$1.8 < E_{\bar{\nu}_e} < 20.0 \text{ MeV}$
< 0.72	90	AHARMIM	04	SNO	$4.0 < E_{\bar{\nu}_e} < 14.8 \text{ MeV}$
< 0.025	90	EGUCHI	04	KLND	$8.3 < E_{\bar{\nu}_e} < 14.8 \text{ MeV}$
< 0.7	90	GANDO	03	SKAM	$8.0 < E_{\bar{\nu}_e} < 20.0 \text{ MeV}$
< 1.7	90	AGLIETTA	96	LSD	$7 < E_{\bar{\nu}_e} < 17 \text{ MeV}$

68 BALATA 06 obtained this result from the search for $\bar{\nu}_e$ interactions with Counting Test Facility (the prototype of the Borexino detector).

(B) Three-neutrino mixing parameters

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$\sin^2(2\theta_{12})$

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
0.87±0.03	⁶⁹ AHARMIM	08	FIT KamLAND + global solar
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
0.92±0.05	⁷⁰ ABE	08A	FIT KamLAND
0.87±0.04	⁷¹ ABE	08A	FIT KamLAND + global fit
0.85 ^{+0.04} _{-0.06}	⁷² HOSAKA	06	FIT KamLAND + global solar
0.85 ^{+0.06} _{-0.05}	⁷³ HOSAKA	06	FIT SKAM+SNO+KamLAND
0.86 ^{+0.05} _{-0.07}	⁷⁴ HOSAKA	06	FIT SKAM+SNO
0.86 ^{+0.03} _{-0.04}	⁷⁵ AHARMIM	05A	FIT KamLAND + global solar
0.75–0.95	⁷⁶ AHARMIM	05A	FIT global solar
0.82±0.05	⁷⁷ ARAKI	05	FIT KamLAND + global solar
0.82±0.04	⁷⁸ AHMED	04A	FIT KamLAND + global solar
0.71–0.93	⁷⁹ AHMED	04A	FIT global solar
0.85 ^{+0.05} _{-0.07}	⁸⁰ SMY	04	FIT KamLAND + global solar
0.83 ^{+0.06} _{-0.08}	⁸¹ SMY	04	FIT global solar
0.87 ^{+0.07} _{-0.08}	⁸² SMY	04	FIT SKAM + SNO
0.62–0.88	⁸³ AHMAD	02B	FIT global solar
0.62–0.95	⁸⁴ FUKUDA	02	FIT global solar

⁶⁹ The result given by AHARMIM 08 is $\theta = (34.4^{+1.3}_{-1.2})^\circ$. This result is obtained by a two-neutrino oscillation analysis using solar neutrino data including those of Borexino (ARPESELLA 08A) and Super-Kamiokande-I (HOSAKA 06), and KamLAND data (ABE 08A). *CPT* invariance is assumed.

⁷⁰ ABE 08A obtained this result by a rate + shape + time combined geoneutrino and reactor two-neutrino fit for Δm_{21}^2 and $\tan^2\theta_{12}$, using KamLAND data only.

⁷¹ ABE 08A obtained this result by means of a two-neutrino fit using KamLAND, Homestake, SAGE, GALLEX, GNO, SK (zenith angle and E-spectrum), the SNO χ^2 -map, and solar flux data. *CPT* invariance is assumed.

⁷² HOSAKA 06 obtained this result by a two-neutrino oscillation analysis using SK ν_e data, CC data from other solar neutrino experiments, and KamLAND data (ARAKI 05). *CPT* invariance is assumed.

⁷³ HOSAKA 06 obtained this result by a two-neutrino oscillation analysis using the data from Super-Kamiokande, SNO (AHMAD 02 and AHMAD 02B), and KamLAND (ARAKI 05) experiments. *CPT* invariance is assumed.

⁷⁴ HOSAKA 06 obtained this result by a two-neutrino oscillation analysis using the Super-Kamiokande and SNO (AHMAD 02 and AHMAD 02B) solar neutrino data.

⁷⁵ The result given by AHARMIM 05A is $\theta = (33.9 \pm 1.6)^\circ$. This result is obtained by a two-neutrino oscillation analysis using SNO pure deuteron and salt phase data, SK ν_e data, Cl and Ga CC data, and KamLAND data (ARAKI 05). *CPT* invariance is assumed. AHARMIM 05A also quotes $\theta = (33.9^{+2.4}_{-2.2})^\circ$ as the error enveloping the 68% CL two-dimensional region. This translates into $\sin^2 2\theta = 0.86^{+0.05}_{-0.06}$.

⁷⁶ AHARMIM 05A obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in figure 35a of AHARMIM 05A. AHARMIM 05A also quotes $\tan^2\theta = 0.45^{+0.09}_{-0.08}$ as the error enveloping the 68% CL two-dimensional region. This translates into $\sin^2 2\theta = 0.86^{+0.05}_{-0.07}$.

- 77 ARAKI 05 obtained this result by a two-neutrino oscillation analysis using KamLAND and solar neutrino data. *CPT* invariance is assumed. The 1σ error shown here is translated from the number provided by the KamLAND collaboration, $\tan^2\theta = 0.40^{+0.07}_{-0.05}$. The corresponding number quoted in ARAKI 05 is $\tan^2\theta = 0.40^{+0.10}_{-0.07}$ ($\sin^2 2\theta = 0.82 \pm 0.07$), which envelops the 68% CL two-dimensional region.
- 78 The result given by AHMED 04A is $\theta = (32.5^{+1.7}_{-1.6})^\circ$. This result is obtained by a two-neutrino oscillation analysis using solar neutrino and KamLAND data (EGUCHI 03). *CPT* invariance is assumed. AHMED 04A also quotes $\theta = (32.5^{+2.4}_{-2.3})^\circ$ as the error enveloping the 68% CL two-dimensional region. This translates into $\sin^2 2\theta = 0.82 \pm 0.06$.
- 79 AHMED 04A obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in Fig. 5(a) of AHMED 04A. The best-fit point is $\Delta(m^2) = 6.5 \times 10^{-5} \text{ eV}^2$, $\tan^2\theta = 0.40$ ($\sin^2 2\theta = 0.82$).
- 80 The result given by SMY 04 is $\tan^2\theta = 0.44 \pm 0.08$. This result is obtained by a two-neutrino oscillation analysis using solar neutrino and KamLAND data (IANNI 03). *CPT* invariance is assumed.
- 81 SMY 04 obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The 1σ errors are read from Fig. 6(a) of SMY 04.
- 82 SMY 04 obtained this result by a two-neutrino oscillation analysis using the Super-Kamiokande and SNO (AHMAD 02 and AHMAD 02B) solar neutrino data. The 1σ errors are read from Fig. 6(a) of SMY 04.
- 83 AHMAD 02B obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in Fig. 4(b) of AHMAD 02B. The best fit point is $\Delta(m^2) = 5.0 \times 10^{-5} \text{ eV}^2$ and $\tan\theta = 0.34$ ($\sin^2 2\theta = 0.76$).
- 84 FUKUDA 02 obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in Fig. 4 of FUKUDA 02. The best fit point is $\Delta(m^2) = 6.9 \times 10^{-5} \text{ eV}^2$ and $\tan^2\theta = 0.38$ ($\sin^2 2\theta = 0.80$).

Δm_{21}^2

<u>VALUE (10^{-5} eV^2)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
$7.59^{+0.19}_{-0.21}$	85 AHARMIM	08 FIT	KamLAND + global solar
• • • We do not use the following data for averages, fits, limits, etc. • • •			
$7.58^{+0.14}_{-0.13} \pm 0.15$	86 ABE	08A FIT	KamLAND
7.59 ± 0.21	87 ABE	08A FIT	KamLAND + global solar
8.0 ± 0.3	88 HOSAKA	06 FIT	KamLAND + global solar
8.0 ± 0.3	89 HOSAKA	06 FIT	SKAM+SNO+KamLAND
$6.3^{+3.7}_{-1.5}$	90 HOSAKA	06 FIT	SKAM+SNO
5–12	91 HOSAKA	06 FIT	SKAM day/night in the LMA region
$8.0^{+0.4}_{-0.3}$	92 AHARMIM	05A FIT	KamLAND + global solar LMA
3.3–14.4	93 AHARMIM	05A FIT	global solar
$7.9^{+0.4}_{-0.3}$	94 ARAKI	05 FIT	KamLAND + global solar
$7.1^{+1.0}_{-0.3}$	95 AHMED	04A FIT	KamLAND + global solar

3.2–13.7	96 AHMED	04A	FIT	global solar
7.1 $^{+0.6}_{-0.5}$	97 SMY	04	FIT	KamLAND + global solar
6.0 $^{+1.7}_{-1.6}$	98 SMY	04	FIT	global solar
6.0 $^{+2.5}_{-1.6}$	99 SMY	04	FIT	SKAM + SNO
2.8–12.0	100 AHMAD	02B	FIT	global solar
3.2–19.1	101 FUKUDA	02	FIT	global solar

⁸⁵ AHARMIM 08 obtained this result by a two-neutrino oscillation analysis using all solar neutrino data including those of Borexino (ARPESELLA 08A) and Super-Kamiokande-I (HOSAKA 06), and KamLAND data (ABE 08A). *CPT* invariance is assumed.

⁸⁶ ABE 08A obtained this result by a rate + shape + time combined geoneutrino and reactor two-neutrino fit for Δm_{21}^2 and $\tan^2\theta_{12}$, using KamLAND data only.

⁸⁷ ABE 08A obtained this result by means of a two-neutrino fit using KamLAND, Homestake, SAGE, GALLEX, GNO, SK (zenith angle and E-spectrum), the SNO χ^2 -map, and solar flux data. *CPT* invariance is assumed.

⁸⁸ HOSAKA 06 obtained this result by a two-neutrino oscillation analysis using solar neutrino and KamLAND data (ARAKI 05). *CPT* invariance is assumed.

⁸⁹ HOSAKA 06 obtained this result by a two-neutrino oscillation analysis using the data from Super-Kamiokande, SNO (AHMAD 02 and AHMAD 02B), and KamLAND (ARAKI 05) experiments. *CPT* invariance is assumed.

⁹⁰ HOSAKA 06 obtained this result by a two-neutrino oscillation analysis using the Super-Kamiokande and SNO (AHMAD 02 and AHMAD 02B) solar neutrino data.

⁹¹ HOSAKA 06 obtained this result from the consistency between the observed and expected day-night flux asymmetry amplitude. The listed 68% CL range is derived from the 1σ boundary of the amplitude fit to the data. Oscillation parameters are constrained to be in the LMA region. The mixing angle is fixed at $\tan^2\theta = 0.44$ because the fit depends only very weakly on it.

⁹² AHARMIM 05A obtained this result by a two-neutrino oscillation analysis using solar neutrino and KamLAND data (ARAKI 05). *CPT* invariance is assumed. AHARMIM 05A also quotes $\Delta(m^2) = (8.0^{+0.6}_{-0.4}) \times 10^{-5} \text{ eV}^2$ as the error enveloping the 68% CL two-dimensional region.

⁹³ AHARMIM 05A obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in figure 35a of AHARMIM 05A. AHARMIM 05A also quotes $\Delta(m^2) = (6.5^{+4.4}_{-2.3}) \times 10^{-5} \text{ eV}^2$ as the error enveloping the 68% CL two-dimensional region.

⁹⁴ ARAKI 05 obtained this result by a two-neutrino oscillation analysis using KamLAND and solar neutrino data. *CPT* invariance is assumed. The 1σ error shown here is provided by the KamLAND collaboration. The error quoted in ARAKI 05, $\Delta(m^2) = (7.9^{+0.6}_{-0.5}) \times 10^{-5}$, envelops the 68% CL two-dimensional region.

⁹⁵ AHMED 04A obtained this result by a two-neutrino oscillation analysis using solar neutrino and KamLAND data (EGUCHI 03). *CPT* invariance is assumed. AHMED 04A also quotes $\Delta(m^2) = (7.1^{+1.2}_{-0.6}) \times 10^{-5} \text{ eV}^2$ as the error enveloping the 68% CL two-dimensional region.

⁹⁶ AHMED 04A obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in Fig. 5(a) of AHMED 04A. The best-fit point is $\Delta(m^2) = 6.5 \times 10^{-5} \text{ eV}^2$, $\tan^2\theta = 0.40$ ($\sin^2 2\theta = 0.82$).

⁹⁷ SMY 04 obtained this result by a two-neutrino oscillation analysis using solar neutrino and KamLAND data (IANNI 03). *CPT* invariance is assumed.

- ⁹⁸ SMY 04 obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The 1σ errors are read from Fig. 6(a) of SMY 04.
- ⁹⁹ SMY 04 obtained this result by a two-neutrino oscillation analysis using the Super-Kamiokande and SNO (AHMAD 02 and AHMAD 02B) solar neutrino data. The 1σ errors are read from Fig. 6(a) of SMY 04.
- ¹⁰⁰ AHMAD 02B obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in Fig. 4(b) of AHMAD 02B. The best fit point is $\Delta(m^2) = 5.0 \times 10^{-5} \text{ eV}^2$ and $\tan\theta = 0.34$ ($\sin^2 2\theta = 0.76$).
- ¹⁰¹ FUKUDA 02 obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in Fig. 4 of FUKUDA 02. The best fit point is $\Delta(m^2) = 6.9 \times 10^{-5} \text{ eV}^2$ and $\tan^2\theta = 0.38$ ($\sin^2 2\theta = 0.80$).

$\sin^2(2\theta_{23})$

The ranges below correspond to the projection onto the $\sin^2(2\theta_{23})$ axis of the 90% CL contours in the $\sin^2(2\theta_{23}) - \Delta m_{32}^2$ plane presented by the authors.

VALUE	DOCUMENT ID	TECN	COMMENT
>0.92	¹⁰² ASHIE	05	SKAM Super-Kamiokande
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
>0.85	ADAMSON	08A	MINS MINOS
>0.2	¹⁰³ ADAMSON	06	MINS atmospheric ν with far detector
>0.59	¹⁰⁴ AHN	06A	K2K KEK to Super-K
>0.91	¹⁰⁵ HOSAKA	06A	SKAM 3ν oscillation; normal mass hierarchy
>0.86	¹⁰⁶ HOSAKA	06A	SKAM 3ν oscillation; inverted mass hierarchy
>0.7	¹⁰⁷ MICHAEL	06	MINS MINOS
>0.58	¹⁰⁸ ALIU	05	K2K KEK to Super-K
>0.6	¹⁰⁹ ALLISON	05	SOU2
>0.80	¹¹⁰ AMBROSIO	04	MCRO MACRO
>0.90	¹¹¹ ASHIE	04	SKAM L/E distribution
>0.30	¹¹² AHN	03	K2K KEK to Super-K
>0.45	¹¹³ AMBROSIO	03	MCRO MACRO
>0.77	¹¹⁴ AMBROSIO	03	MCRO MACRO
>0.50	¹¹⁵ SANCHEZ	03	SOU2 Soudan-2 Atmospheric
>0.80	¹¹⁶ AMBROSIO	01	MCRO upward μ
>0.82	¹¹⁷ AMBROSIO	01	MCRO upward μ
>0.45	¹¹⁸ FUKUDA	99C	SKAM upward μ
>0.70	¹¹⁹ FUKUDA	99D	SKAM upward μ
>0.30	¹²⁰ FUKUDA	99D	SKAM stop μ / through
>0.82	¹²¹ FUKUDA	98C	SKAM Super-Kamiokande
>0.30	¹²² HATAKEYAMA98	KAMI	Kamiokande
>0.73	¹²³ HATAKEYAMA98	KAMI	Kamiokande
>0.65	¹²⁴ FUKUDA	94	KAMI Kamiokande

- ¹⁰² ASHIE 05 obtained this result by a two-neutrino oscillation analysis using 92 kton yr atmospheric neutrino data from the complete Super-Kamiokande I running period.
- ¹⁰³ ADAMSON 06 obtained this result by a two-neutrino oscillation analysis of the L/E distribution using 4.54 kton yr atmospheric neutrino data with the MINOS far detector.
- ¹⁰⁴ Supercedes ALIU 05.
- ¹⁰⁵ HOSAKA 06A obtained this result ($\sin^2\theta_{23} = 0.37-0.65$) by a three-neutrino oscillation analysis with one mass scale dominance ($\Delta m_{21}^2 = 0$) using the Super-Kamiokande-I atmospheric neutrino data. The normal mass hierarchy is assumed.

- 106 HOSAKA 06A obtained this result ($\sin^2\theta_{23} = 0.37\text{--}0.69$) by a three-neutrino oscillation analysis with one mass scale dominance ($\Delta m_{21}^2 = 0$) using the Super-Kamiokande-I atmospheric neutrino data. The inverted mass hierarchy is assumed.
- 107 MICHAEL 06 best fit is for maximal mixing. See also ADAMSON 08.
- 108 The best fit is for maximal mixing.
- 109 ALLISON 05 result is based upon atmospheric neutrino interactions including upward-stopping muons, with an exposure of 5.9 kton yr. From a two-flavor oscillation analysis the best-fit point is $\Delta m^2 = 0.0017 \text{ eV}^2$ and $\sin^2(2\theta) = 0.97$.
- 110 AMBROSIO 04 obtained this result, without using the absolute normalization of the neutrino flux, by combining the angular distribution of upward through-going muon tracks with $E_\mu > 1 \text{ GeV}$, N_{low} and N_{high} , and the numbers of InDown + UpStop and InUp events. Here, N_{low} and N_{high} are the number of events with reconstructed neutrino energies $< 30 \text{ GeV}$ and $> 130 \text{ GeV}$, respectively. InDown and InUp represent events with downward and upward-going tracks starting inside the detector due to neutrino interactions, while UpStop represents entering upward-going tracks which stop in the detector. The best fit is for maximal mixing.
- 111 ASHIE 04 obtained this result from the $L(\text{flight length})/E(\text{estimated neutrino energy})$ distribution of ν_μ disappearance probability, using the Super-Kamiokande-I 1489 live-day atmospheric neutrino data.
- 112 There are several islands of allowed region from this K2K analysis, extending to high values of Δm^2 . We only include the one that overlaps atmospheric neutrino analyses. The best fit is for maximal mixing.
- 113 AMBROSIO 03 obtained this result on the basis of the ratio $R = N_{low}/N_{high}$, where N_{low} and N_{high} are the number of upward through-going muon events with reconstructed neutrino energy $< 30 \text{ GeV}$ and $> 130 \text{ GeV}$, respectively. The data came from the full detector run started in 1994. The method of FELDMAN 98 is used to obtain the limits.
- 114 AMBROSIO 03 obtained this result by using the ratio R and the angular distribution of the upward through-going muons. R is given in the previous note and the angular distribution is reported in AMBROSIO 01. The method of FELDMAN 98 is used to obtain the limits. The best fit is to maximal mixing.
- 115 SANCHEZ 03 is based on an exposure of 5.9 kton yr. The result is obtained using a likelihood analysis of the neutrino L/E distribution for a selection μ flavor sample while the e -flavor sample provides flux normalization. The method of FELDMAN 98 is used to obtain the allowed region. The best fit is $\sin^2(2\theta) = 0.97$.
- 116 AMBROSIO 01 result is based on the angular distribution of upward through-going muon tracks with $E_\mu > 1 \text{ GeV}$. The data came from three different detector configurations, but the statistics is largely dominated by the full detector run, from May 1994 to December 2000. The total live time, normalized to the full detector configuration is 6.17 years. The best fit is obtained outside the physical region. The method of FELDMAN 98 is used to obtain the limits. The best fit is for maximal mixing.
- 117 AMBROSIO 01 result is based on the angular distribution and normalization of upward through-going muon tracks with $E_\mu > 1 \text{ GeV}$. See the previous footnote.
- 118 FUKUDA 99C obtained this result from a total of 537 live days of upward through-going muon data in Super-Kamiokande between April 1996 to January 1998. With a threshold of $E_\mu > 1.6 \text{ GeV}$, the observed flux is $(1.74 \pm 0.07 \pm 0.02) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$. The best fit is $\sin^2(2\theta) = 0.95$.
- 119 FUKUDA 99D obtained this result from a simultaneous fitting to zenith angle distributions of upward-stopping and through-going muons. The flux of upward-stopping muons of minimum energy of 1.6 GeV measured between April 1996 and January 1998 is $(0.39 \pm 0.04 \pm 0.02) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$. This is compared to the expected flux of $(0.73 \pm 0.16 \text{ (theoretical error)}) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$. The best fit is to maximal mixing.

- 120 FUKUDA 99D obtained this result from the zenith dependence of the upward-stopping/through-going flux ratio. The best fit is to maximal mixing.
- 121 FUKUDA 98C obtained this result by an analysis of 33.0 kton yr atmospheric neutrino data. The best fit is for maximal mixing.
- 122 HATAKEYAMA 98 obtained this result from a total of 2456 live days of upward-going muon data in Kamiokande between December 1985 and May 1995. With a threshold of $E_\mu > 1.6$ GeV, the observed flux of upward through-going muons is $(1.94 \pm 0.10^{+0.07}_{-0.06}) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. This is compared to the expected flux of $(2.46 \pm 0.54$ (theoretical error)) $\times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. The best fit is for maximal mixing.
- 123 HATAKEYAMA 98 obtained this result from a combined analysis of Kamiokande contained events (FUKUDA 94) and upward going muon events. The best fit is $\sin^2(2\theta) = 0.95$.
- 124 FUKUDA 94 obtained the result by a combined analysis of sub- and multi-GeV atmospheric neutrino events in Kamiokande. The best fit is for maximal mixing.

Δm_{32}^2

The sign of Δm_{32}^2 is not known at this time. Only the absolute value is quoted below. Unless otherwise specified, the ranges below correspond to the projection onto the Δm_{32}^2 axis of the 90% CL contours in the $\sin^2(2\theta_{23}) - \Delta m_{32}^2$ plane presented by the authors.

<u>VALUE (10^{-3} eV^2)</u>	<u>CL%</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
2.43±0.13	68	ADAMSON	08A	MINS MINOS
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
0.07–50		125 ADAMSON	06	MINS atmospheric ν with far detector
1.9–4.0		126,127 AHN	06A	K2K KEK to Super-K
1.8–3.1		128 HOSAKA	06A	SKAM 3ν oscillation; normal mass hierarchy
1.8–3.7		129 HOSAKA	06A	SKAM 3ν oscillation; inverted mass hierarchy
2.2–3.8		130 MICHAEL	06	MINS MINOS
1.9–3.6		126 ALIU	05	K2K KEK to Super-K
0.3–12		131 ALLISON	05	SOU2
1.5–3.4		132 ASHIE	05	SKAM atmospheric neutrino
0.6–8.0		133 AMBROSIO	04	MCRO MACRO
1.9 to 3.0		134 ASHIE	04	SKAM L/E distribution
1.5–3.9		135 AHN	03	K2K KEK to Super-K
0.25–9.0		136 AMBROSIO	03	MCRO MACRO
0.6–7.0		137 AMBROSIO	03	MCRO MACRO
0.15–15		138 SANCHEZ	03	SOU2 Soudan-2 Atmospheric
0.6–15		139 AMBROSIO	01	MCRO upward μ
1.0–6.0		140 AMBROSIO	01	MCRO upward μ
1.0–50		141 FUKUDA	99C	SKAM upward μ
1.5–15.0		142 FUKUDA	99D	SKAM upward μ
0.7–18		143 FUKUDA	99D	SKAM stop μ / through
0.5–6.0		144 FUKUDA	98C	SKAM Super-Kamiokande
0.55–50		145 HATAKEYAMA98	KAMI	Kamiokande
4–23		146 HATAKEYAMA98	KAMI	Kamiokande
5–25		147 FUKUDA	94	KAMI Kamiokande

- 125 ADAMSON 06 obtained this result by a two-neutrino oscillation analysis of the L/E distribution using 4.54 kton yr atmospheric neutrino data with the MINOS far detector.
- 126 The best fit in the physical region is for $\Delta m^2 = 2.8 \times 10^{-3} \text{ eV}^2$.
- 127 Supercedes ALIU 05.
- 128 HOSAKA 06A obtained this result by a three-neutrino oscillation analysis with one mass scale dominance ($\Delta m_{21}^2 = 0$) using the Super-Kamiokande-I atmospheric neutrino data. The normal mass hierarchy is assumed.
- 129 HOSAKA 06A obtained this result by a three-neutrino oscillation analysis with one mass scale dominance ($\Delta m_{21}^2 = 0$) using the Super-Kamiokande-I atmospheric neutrino data. The inverted mass hierarchy is assumed.
- 130 MICHAEL 06 best fit is $2.74 \times 10^{-3} \text{ eV}^2$. See also ADAMSON 08.
- 131 ALLISON 05 result is based on an atmospheric neutrino observation with an exposure of 5.9 kton yr. From a two-flavor oscillation analysis the best-fit point is $\Delta m^2 = 0.0017 \text{ eV}^2$ and $\sin^2 2\theta = 0.97$.
- 132 ASHIE 05 obtained this result by a two-neutrino oscillation analysis using 92 kton yr atmospheric neutrino data from the complete Super-Kamiokande I running period. The best fit is for $\Delta m^2 = 2.1 \times 10^{-3} \text{ eV}^2$.
- 133 AMBROSIO 04 obtained this result, without using the absolute normalization of the neutrino flux, by combining the angular distribution of upward through-going muon tracks with $E_\mu > 1 \text{ GeV}$, N_{low} and N_{high} , and the numbers of InDown + UpStop and InUp events. Here, N_{low} and N_{high} are the number of events with reconstructed neutrino energies $< 30 \text{ GeV}$ and $> 130 \text{ GeV}$, respectively. InDown and InUp represent events with downward and upward-going tracks starting inside the detector due to neutrino interactions, while UpStop represents entering upward-going tracks which stop in the detector. The best fit is for $\Delta m^2 = 2.3 \times 10^{-3} \text{ eV}^2$.
- 134 ASHIE 04 obtained this result from the $L(\text{flight length})/E(\text{estimated neutrino energy})$ distribution of ν_μ disappearance probability, using the Super-Kamiokande-I 1489 live-day atmospheric neutrino data. The best fit is for $\Delta m^2 = 2.4 \times 10^{-3} \text{ eV}^2$.
- 135 There are several islands of allowed region from this K2K analysis, extending to high values of Δm^2 . We only include the one that overlaps atmospheric neutrino analyses. The best fit is for $\Delta m^2 = 2.8 \times 10^{-3} \text{ eV}^2$.
- 136 AMBROSIO 03 obtained this result on the basis of the ratio $R = N_{low}/N_{high}$, where N_{low} and N_{high} are the number of upward through-going muon events with reconstructed neutrino energy $< 30 \text{ GeV}$ and $> 130 \text{ GeV}$, respectively. The data came from the full detector run started in 1994. The method of FELDMAN 98 is used to obtain the limits. The best fit is for $\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$.
- 137 AMBROSIO 03 obtained this result by using the ratio R and the angular distribution of the upward through-going muons. R is given in the previous note and the angular distribution is reported in AMBROSIO 01. The method of FELDMAN 98 is used to obtain the limits. The best fit is for $\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$.
- 138 SANCHEZ 03 is based on an exposure of 5.9 kton yr. The result is obtained using a likelihood analysis of the neutrino L/E distribution for a selection μ flavor sample while the e -flavor sample provides flux normalization. The method of FELDMAN 98 is used to obtain the allowed region. The best fit is for $\Delta m^2 = 5.2 \times 10^{-3} \text{ eV}^2$.
- 139 AMBROSIO 01 result is based on the angular distribution of upward through-going muon tracks with $E_\mu > 1 \text{ GeV}$. The data came from three different detector configurations, but the statistics is largely dominated by the full detector run, from May 1994 to December 2000. The total live time, normalized to the full detector configuration is 6.17 years. The best fit is obtained outside the physical region. The method of FELDMAN 98 is used to obtain the limits.
- 140 AMBROSIO 01 result is based on the angular distribution and normalization of upward through-going muon tracks with $E_\mu > 1 \text{ GeV}$. See the previous footnote.
- 141 FUKUDA 99C obtained this result from a total of 537 live days of upward through-going muon data in Super-Kamiokande between April 1996 to January 1998. With a threshold

of $E_\mu > 1.6$ GeV, the observed flux is $(1.74 \pm 0.07 \pm 0.02) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$.

The best fit is for $\Delta m^2 = 5.9 \times 10^{-3} \text{ eV}^2$.

- 142 FUKUDA 99D obtained this result from a simultaneous fitting to zenith angle distributions of upward-stopping and through-going muons. The flux of upward-stopping muons of minimum energy of 1.6 GeV measured between April 1996 and January 1998 is $(0.39 \pm 0.04 \pm 0.02) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. This is compared to the expected flux of $(0.73 \pm 0.16$ (theoretical error)) $\times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. The best fit is for $\Delta m^2 = 3.9 \times 10^{-3} \text{ eV}^2$.
- 143 FUKUDA 99D obtained this result from the zenith dependence of the upward-stopping/through-going flux ratio. The best fit is for $\Delta m^2 = 3.1 \times 10^{-3} \text{ eV}^2$.
- 144 FUKUDA 98C obtained this result by an analysis of 33.0 kton yr atmospheric neutrino data. The best fit is for $\Delta m^2 = 2.2 \times 10^{-3} \text{ eV}^2$.
- 145 HATAKEYAMA 98 obtained this result from a total of 2456 live days of upward-going muon data in Kamiokande between December 1985 and May 1995. With a threshold of $E_\mu > 1.6$ GeV, the observed flux of upward through-going muons is $(1.94 \pm 0.10^{+0.07}_{-0.06}) \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. This is compared to the expected flux of $(2.46 \pm 0.54$ (theoretical error)) $\times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$. The best fit is for $\Delta m^2 = 2.2 \times 10^{-3} \text{ eV}^2$.
- 146 HATAKEYAMA 98 obtained this result from a combined analysis of Kamiokande contained events (FUKUDA 94) and upward going muon events. The best fit is for $\Delta m^2 = 13 \times 10^{-3} \text{ eV}^2$.
- 147 FUKUDA 94 obtained the result by a combined analysis of sub- and multi-GeV atmospheric neutrino events in Kamiokande. The best fit is for $\Delta m^2 = 16 \times 10^{-3} \text{ eV}^2$.

$\sin^2(2\theta_{13})$

At present time, limits of $\sin^2(2\theta_{13})$ are derived from the search for the reactor $\bar{\nu}_e$ disappearance at distances corresponding to the Δm_{23}^2 value, i.e. $L \sim 1$ km. Alternatively, somewhat weaker limits can be obtained from the analysis of the solar neutrino data.

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
<0.19	90	148 APOLLONIO 99	CHOZ	Reactor Experiment
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
0.06 ± 0.04		149 FOGLI 08	FIT	Global neutrino data
0.08 ± 0.07		150 FOGLI 08	FIT	Solar + KamLAND data
0.05 ± 0.05		151 FOGLI 08	FIT	Atmospheric + LBL + CHOOZ data
<0.48	90	152 HOSAKA 06A	SKAM	3ν oscillation; normal mass hierarchy
<0.79	90	153 HOSAKA 06A	SKAM	3ν oscillation; inverted mass hierarchy
<0.36		154 YAMAMOTO 06	K2K	Accelerator experiment
<0.48	90	155 AHN 04	K2K	Accelerator experiment
<0.36	90	156 BOEHM 01		Palo Verde react.
<0.45	90	157 BOEHM 00		Palo Verde react.

- 148 The quoted limit is for $\Delta m_{32}^2 = 1.9 \times 10^{-3} \text{ eV}^2$. That value of Δm_{32}^2 is the 1-σ low value for ALIU 05. For the ALIU 05 best fit value of $2.8 \times 10^{-3} \text{ eV}^2$, the $\sin^2 2\theta_{13}$ limit is < 0.13 . See also APOLLONIO 03 for a detailed description of the experiment.
- 149 FOGLI 08 obtained this result from a global analysis of all neutrino oscillation data, that is, solar + KamLAND + atmospheric + accelerator long baseline + CHOOZ.
- 150 FOGLI 08 obtained this result from an analysis using the solar and KamLAND neutrino oscillation data.
- 151 FOGLI 08 obtained this result from an analysis using the atmospheric, accelerator long baseline, and CHOOZ neutrino oscillation data.

- 152 HOSAKA 06A obtained this result by a three-neutrino oscillation analysis with one mass scale dominance ($\Delta m_{21}^2 = 0$) using the Super-Kamiokande-I atmospheric neutrino data. The normal mass hierarchy is assumed.
- 153 HOSAKA 06A obtained this result by a three-neutrino oscillation analysis with one mass scale dominance ($\Delta m_{21}^2 = 0$) using the Super-Kamiokande-I atmospheric neutrino data. The inverted mass hierarchy is assumed.
- 154 YAMAMOTO 06 searched for $\nu_\mu \rightarrow \nu_e$ appearance. Assumes $2 \sin^2(2\theta_{\mu e}) = \sin^2(2\theta_{13})$. The quoted limit is for $\Delta m_{32}^2 = 1.9 \times 10^{-3} \text{ eV}^2$. That value of Δm_{32}^2 is the one- σ low value for AHN 06A. For the AHN 06A best fit value of $2.8 \times 10^{-3} \text{ eV}^2$, the $\sin^2(2\theta_{13})$ limit is < 0.26 . Supersedes AHN 04.
- 155 AHN 04 searched for $\nu_\mu \rightarrow \nu_e$ appearance. Assuming $2 \sin^2(2\theta_{\mu e}) = \sin^2(2\theta_{13})$, a limit on $\sin^2(2\theta_{\mu e})$ is converted to a limit on $\sin^2(2\theta_{13})$. The quoted limit is for $\Delta m_{32}^2 = 1.9 \times 10^{-3} \text{ eV}^2$. That value of Δm_{32}^2 is the one- σ low value for ALIU 05. For the ALIU 05 best fit value of $2.8 \times 10^{-3} \text{ eV}^2$, the $\sin^2(2\theta_{13})$ limit is < 0.30 .
- 156 The quoted limit is for $\Delta m_{32}^2 = 1.9 \times 10^{-3} \text{ eV}^2$. That value of Δm_{32}^2 is the 1- σ low value for ALIU 05. For the ALIU 05 best fit value of $2.8 \times 10^{-3} \text{ eV}^2$, the $\sin^2 2\theta_{13}$ limit is < 0.19 . In this range, the θ_{13} limit is larger for lower values of Δm_{32}^2 , and smaller for higher values of Δm_{32}^2 .
- 157 The quoted limit is for $\Delta m_{32}^2 = 1.9 \times 10^{-3} \text{ eV}^2$. That value of Δm_{32}^2 is the 1- σ low value for ALIU 05. For the ALIU 05 best fit value of $2.8 \times 10^{-3} \text{ eV}^2$, the $\sin^2 2\theta_{13}$ limit is < 0.23 .

(C) Other neutrino mixing results

The LSND collaboration reported in AGUILAR 01 a signal which is consistent with $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ oscillations. In a three neutrino framework, this would be a measurement of θ_{12} and Δm_{21}^2 . This does not appear to be consistent with the interpretation of other neutrino data. The MiniBooNE experiment, reported in AGUILAR-AREVALO 07, does a two-neutrino analysis which, assuming *CPT* conservation, rules out AGUILAR 01. The following listings include results which might be relevant towards understanding these observations. They include searches for $\nu_\mu \rightarrow \nu_e$, $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$, sterile neutrino oscillations, and *CPT* violation.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ($\nu_\mu \rightarrow \nu_e$)

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<0.034	90	AGUILAR-AR...07	MBOO	MiniBooNE
<0.0008	90	AHN 04	K2K	Water Cherenkov
<0.4	90	ASTIER 03	NOMD	CERN SPS
<2.4	90	AVVAKUMOV 02	NTEV	NUTEV FNAL
		158 AGUILAR 01	LSND	$\nu_\mu \rightarrow \nu_e$ osc.prob.
0.03 to 0.3	95	159 ATHANASSO...98	LSND	$\nu_\mu \rightarrow \nu_e$
<2.3	90	160 LOVERRE 96		CHARM/CDHS
<0.9	90	VILAIN 94C	CHM2	CERN SPS
<0.09	90	ANGELINI 86	HLBC	BEBC CERN PS

- 158 AGUILAR 01 is the final analysis of the LSND full data set. Search is made for the $\nu_\mu \rightarrow \nu_e$ oscillations using ν_μ from π^+ decay in flight by observing beam-on electron events from $\nu_e C \rightarrow e^- X$. Present analysis results in $8.1 \pm 12.2 \pm 1.7$ excess events in the $60 < E_e < 200$ MeV energy range, corresponding to oscillation probability of $0.10 \pm 0.16 \pm 0.04\%$. This is consistent, though less significant, with the previous result of ATHANASSOPOULOS 98, which it supersedes. The present analysis uses selection criteria developed for the decay at rest region, and is less effective in removing the background above 60 MeV than ATHANASSOPOULOS 98.
- 159 ATHANASSOPOULOS 98 is a search for the $\nu_\mu \rightarrow \nu_e$ oscillations using ν_μ from π^+ decay in flight. The 40 observed beam-on electron events are consistent with $\nu_e C \rightarrow e^- X$; the expected background is 21.9 ± 2.1 . Authors interpret this excess as evidence for an oscillation signal corresponding to oscillations with probability $(0.26 \pm 0.10 \pm 0.05)\%$. Although the significance is only 2.3σ , this measurement is an important and consistent cross check of ATHANASSOPOULOS 96 who reported evidence for $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ oscillations from μ^+ decay at rest. See also ATHANASSOPOULOS 98B.
- 160 LOVERRE 96 uses the charged-current to neutral-current ratio from the combined CHARM (ALLABY 86) and CDHS (ABRAMOWICZ 86) data from 1986.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$ ($\nu_\mu \rightarrow \nu_e$)

VALUE (units 10^{-3})	CL%	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
< 1.8	90	161 AGUILAR-AR...07	MBOO	MiniBooNE
<110	90	162 AHN 04	K2K	Water Cherenkov
< 1.4	90	ASTIER 03	NOMD	CERN SPS
< 1.6	90	AVVAKUMOV 02	NTEV	NUTEV FNAL
		163 AGUILAR 01	LSND	$\nu_\mu \rightarrow \nu_e$ osc.prob.
0.5 to 30	95	164 ATHANASSO...98	LSND	$\nu_\mu \rightarrow \nu_e$
< 3.0	90	165 LOVERRE 96		CHARM/CDHS
< 9.4	90	VILAIN 94C	CHM2	CERN SPS
< 5.6	90	166 VILAIN 94C	CHM2	CERN SPS

- 161 The limit is $\sin^2 2\theta < 0.9 \times 10^{-3}$ at $\Delta m^2 = 2 \text{ eV}^2$. That value of Δm^2 corresponds to the smallest mixing angle consistent with the reported signal from LSND in AGUILAR 01.
- 162 The limit becomes $\sin^2 2\theta < 0.15$ at $\Delta m^2 = 2.8 \times 10^{-3} \text{ eV}^2$, the best-fit value of the ν_μ disappearance analysis in K2K.
- 163 AGUILAR 01 is the final analysis of the LSND full data set of the search for the $\nu_\mu \rightarrow \nu_e$ oscillations. See footnote in preceding table for further details.
- 164 ATHANASSOPOULOS 98 report $(0.26 \pm 0.10 \pm 0.05)\%$ for the oscillation probability; the value of $\sin^2 2\theta$ for large Δm^2 is deduced from this probability. See footnote in preceding table for further details, and see the paper for a plot showing allowed regions. If effect is due to oscillation, it is most likely to be intermediate $\sin^2 2\theta$ and Δm^2 . See also ATHANASSOPOULOS 98B.
- 165 LOVERRE 96 uses the charged-current to neutral-current ratio from the combined CHARM (ALLABY 86) and CDHS (ABRAMOWICZ 86) data from 1986.
- 166 VILAIN 94C limit derived by combining the ν_μ and $\bar{\nu}_\mu$ data assuming CP conservation.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ($\bar{\nu}_\mu \rightarrow \bar{\nu}_e$)

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
• • • We do not use the following data for averages, fits, limits, etc. • • •				
<0.055	90	167 ARMBRUSTER02	KAR2	Liquid Sci. calor.
<2.6	90	AVVAKUMOV 02	NTEV	NUTEV FNAL
0.03–0.05		168 AGUILAR 01	LSND	LAMPF
0.05–0.08	90	169 ATHANASSO...96	LSND	LAMPF
0.048–0.090	80	170 ATHANASSO...95		
<0.07	90	171 HILL 95		
<0.9	90	VILAIN 94C	CHM2	CERN SPS
<0.14	90	172 FREEDMAN 93	CNTR	LAMPF

167 ARMBRUSTER 02 is the final analysis of the KARMEN 2 data for 17.7 m distance from the ISIS stopped pion and muon neutrino source. It is a search for $\bar{\nu}_e$, detected by the inverse β -decay reaction on protons and ^{12}C . 15 candidate events are observed, and 15.8 ± 0.5 background events are expected, hence no oscillation signal is detected. The results exclude large regions of the parameter area favored by the LSND experiment.

168 AGUILAR 01 is the final analysis of the LSND full data set. It is a search for $\bar{\nu}_e$ 30 m from LAMPF beam stop. Neutrinos originate mainly for π^+ decay at rest. $\bar{\nu}_e$ are detected through $\bar{\nu}_e p \rightarrow e^+ n$ ($20 < E_{e^+} < 60$ MeV) in delayed coincidence with $n p \rightarrow d \gamma$. Authors observe $87.9 \pm 22.4 \pm 6.0$ total excess events. The observation is attributed to $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ oscillations with the oscillation probability of $0.264 \pm 0.067 \pm 0.045\%$, consistent with the previously published result. Taking into account all constraints, the most favored allowed region of oscillation parameters is a band of $\Delta(m^2)$ from 0.2–2.0 eV². Supersedes ATHANASSOPOULOS 95, ATHANASSOPOULOS 96, and ATHANASSOPOULOS 98.

169 ATHANASSOPOULOS 96 is a search for $\bar{\nu}_e$ 30 m from LAMPF beam stop. Neutrinos originate mainly from π^+ decay at rest. $\bar{\nu}_e$ could come from either $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ or $\nu_e \rightarrow \bar{\nu}_e$; our entry assumes the first interpretation. They are detected through $\bar{\nu}_e p \rightarrow e^+ n$ ($20 \text{ MeV} < E_{e^+} < 60 \text{ MeV}$) in delayed coincidence with $n p \rightarrow d \gamma$. Authors observe $51 \pm 20 \pm 8$ total excess events over an estimated background 12.5 ± 2.9 . ATHANASSOPOULOS 96B is a shorter version of this paper.

170 ATHANASSOPOULOS 95 error corresponds to the 1.6σ band in the plot. The expected background is 2.7 ± 0.4 events. Corresponds to an oscillation probability of $(0.34^{+0.20}_{-0.18} \pm 0.07)\%$. For a different interpretation, see HILL 95. Replaced by ATHANASSOPOULOS 96.

171 HILL 95 is a report by one member of the LSND Collaboration, reporting a different conclusion from the analysis of the data of this experiment (see ATHANASSOPOULOS 95). Contrary to the rest of the LSND Collaboration, Hill finds no evidence for the neutrino oscillation $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ and obtains only upper limits.

172 FREEDMAN 93 is a search at LAMPF for $\bar{\nu}_e$ generated from any of the three neutrino types ν_μ , $\bar{\nu}_\mu$, and ν_e which come from the beam stop. The $\bar{\nu}_e$'s would be detected by the reaction $\bar{\nu}_e p \rightarrow e^+ n$. FREEDMAN 93 replaces DURKIN 88.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$ ($\bar{\nu}_\mu \rightarrow \bar{\nu}_e$)

VALUE (units 10^{-3})	CL%	DOCUMENT ID	TECN	COMMENT
• • • We do not use the following data for averages, fits, limits, etc. • • •				
<1.7	90	173 ARMBRUSTER02	KAR2	Liquid Sci. calor.
<1.1	90	AVVAKUMOV 02	NTEV	NUTEV FNAL
$5.3 \pm 1.3 \pm 9.0$		174 AGUILAR 01	LSND	LAMPF

$6.2 \pm 2.4 \pm 1.0$		175	ATHANASSO...96	LSND	LAMPF
3–12	80	176	ATHANASSO...95		
<6	90	177	HILL	95	

173 ARMBRUSTER 02 is the final analysis of the KARMEN 2 data. See footnote in the preceding table for further details, and the paper for the exclusion plot.

174 AGUILAR 01 is the final analysis of the LSND full data set. The deduced oscillation probability is $0.264 \pm 0.067 \pm 0.045\%$; the value of $\sin^2 2\theta$ for large $\Delta(m^2)$ is twice this probability (although these values are excluded by other constraints). See footnote in preceding table for further details, and the paper for a plot showing allowed regions. Supersedes ATHANASSOPOULOS 95, ATHANASSOPOULOS 96, and ATHANASSOPOULOS 98.

175 ATHANASSOPOULOS 96 reports $(0.31 \pm 0.12 \pm 0.05)\%$ for the oscillation probability; the value of $\sin^2 2\theta$ for large $\Delta(m^2)$ should be twice this probability. See footnote in preceding table for further details, and see the paper for a plot showing allowed regions.

176 ATHANASSOPOULOS 95 error corresponds to the 1.6σ band in the plot. The expected background is 2.7 ± 0.4 events. Corresponds to an oscillation probability of $(0.34^{+0.20}_{-0.18} \pm 0.07)\%$. For a different interpretation, see HILL 95. Replaced by ATHANASSOPOULOS 96.

177 HILL 95 is a report by one member of the LSND Collaboration, reporting a different conclusion from the analysis of the data of this experiment (see ATHANASSOPOULOS 95). Contrary to the rest of the LSND Collaboration, Hill finds no evidence for the neutrino oscillation $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ and obtains only upper limits.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ($\nu_\mu(\bar{\nu}_\mu) \rightarrow \nu_e(\bar{\nu}_e)$)

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
<0.075	90	BORODOV... 92	CNTR	BNL E776

• • • We do not use the following data for averages, fits, limits, etc. • • •

<1.6	90	178 ROMOSAN	97	CCFR	FNAL
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178 ROMOSAN 97 uses wideband beam with a 0.5 km decay region.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$ ($\nu_\mu(\bar{\nu}_\mu) \rightarrow \nu_e(\bar{\nu}_e)$)

VALUE (units 10^{-3})	CL%	DOCUMENT ID	TECN	COMMENT	
<1.8	90	179 ROMOSAN	97	CCFR	FNAL

• • • We do not use the following data for averages, fits, limits, etc. • • •

<3.8	90	180 MCFARLAND	95	CCFR	FNAL
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<3	90	BORODOV... 92	CNTR	BNL E776
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179 ROMOSAN 97 uses wideband beam with a 0.5 km decay region.

180 MCFARLAND 95 state that "This result is the most stringent to date for $250 < \Delta(m^2) < 450$ eV² and also excludes at 90%CL much of the high $\Delta(m^2)$ region favored by the recent LSND observation." See ATHANASSOPOULOS 95 and ATHANASSOPOULOS 96.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ($\bar{\nu}_e \nrightarrow \bar{\nu}_e$)

VALUE (eV ²)	CL%	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

<0.01	90	181 ACHKAR	95	CNTR	Bugey reactor
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181 ACHKAR 95 bound is for $L=15, 40,$ and 95 m.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$ ($\bar{\nu}_e \not\leftrightarrow \bar{\nu}_e$)

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
<0.02	90	¹⁸² ACHKAR	95	CNTR For $\Delta(m^2) = 0.6 \text{ eV}^2$

¹⁸²ACHKAR 95 bound is from data for $L=15, 40,$ and 95 m distance from the Bugey reactor.

————— Sterile neutrino limits from atmospheric neutrino studies —————

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ($\nu_\mu \rightarrow \nu_s$)

ν_s means ν_τ or any sterile (noninteracting) ν .

VALUE (10^{-5} eV^2)	CL%	DOCUMENT ID	TECN	COMMENT
<3000 (or <550)	90	¹⁸³ OYAMA	89	KAMI Water Cherenkov
<4.2 or >54 .	90	BIONTA	88	IMB Flux has $\nu_\mu, \bar{\nu}_\mu, \nu_e,$ and $\bar{\nu}_e$

¹⁸³OYAMA 89 gives a range of limits, depending on assumptions in their analysis. They argue that the region $\Delta(m^2) = (100-1000) \times 10^{-5} \text{ eV}^2$ is not ruled out by any data for large mixing.

Search for $\nu_\mu \rightarrow \nu_s$

VALUE	DOCUMENT ID	TECN	COMMENT
	¹⁸⁴ AMBROSIO 01	MCRO	matter effects
	¹⁸⁵ FUKUDA 00	SKAM	neutral currents + matter effects

¹⁸⁴AMBROSIO 01 tested the pure 2-flavor $\nu_\mu \rightarrow \nu_s$ hypothesis using matter effects which change the shape of the zenith-angle distribution of upward through-going muons. With maximum mixing and $\Delta(m^2)$ around 0.0024 eV^2 , the $\nu_\mu \rightarrow \nu_s$ oscillation is disfavored with 99% confidence level with respect to the $\nu_\mu \rightarrow \nu_\tau$ hypothesis.

¹⁸⁵FUKUDA 00 tested the pure 2-flavor $\nu_\mu \rightarrow \nu_s$ hypothesis using three complementary atmospheric-neutrino data samples. With this hypothesis, zenith-angle distributions are expected to show characteristic behavior due to neutral currents and matter effects. In the $\Delta(m^2)$ and $\sin^2 2\theta$ region preferred by the Super-Kamiokande data, the $\nu_\mu \rightarrow \nu_s$ hypothesis is rejected at the 99% confidence level, while the $\nu_\mu \rightarrow \nu_\tau$ hypothesis consistently fits all of the data sample.

————— CPT tests —————

$\langle \Delta m_{21}^2 - \Delta \bar{m}_{21}^2 \rangle$

VALUE (10^{-4} eV^2)	CL%	DOCUMENT ID	TECN	COMMENT
<1.1	99.7	¹⁸⁶ DEGOUVEA	05	FIT solar vs. reactor

¹⁸⁶DEGOUVEA 05 obtained this bound at the 3σ CL from the KamLAND (ARAKI 05) and solar neutrino data.

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