

# Neutrino Mixing

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## (A) Neutrino fluxes and event ratios

### Events (observed/expected) from accelerator $\nu_\mu$ experiments.

Some neutrino oscillation experiments compare the flux in two or more detectors. This is usually quoted as the ratio of the event rate in the far detector to the expected rate based on an extrapolation from the near detector in the absence of oscillations.

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.71 \pm 0.08$	<sup>1</sup> AHN	06A K2K	K2K to Super-K
$0.64 \pm 0.05$	<sup>2</sup> MICHAEL	06 MINS	All charged current events
$0.71^{+0.08}_{-0.09}$	<sup>3</sup> ALIU	05 K2K	KEK to Super-K
$0.70^{+0.10}_{-0.11}$	<sup>4</sup> AHN	03 K2K	KEK to Super-K

<sup>1</sup> Based on the observation of 112 events when  $158.1^{+9.2}_{-8.6}$  were expected without oscillations. Including not only the number of events but also the shape of the energy distribution, the evidence for oscillation is at the level of about  $4.3 \sigma$ . Supersedes ALIU 05.

<sup>2</sup> This ratio is based on the observation of 215 events compared to an expectation of  $336 \pm 14$  without oscillations.

<sup>3</sup> This ratio is based on the observation of 107 events at the far detector 250 km away from KEK, and an expectation of  $151^{+12}_{-10}$ .

<sup>4</sup> This ratio is based on the observation of 56 events with an expectation of  $80.1^{+6.2}_{-5.4}$ .

### Events (observed/expected) from reactor $\bar{\nu}_e$ experiments.

The quoted values are the ratios of the measured reactor  $\bar{\nu}_e$  event rate at the quoted distances, and the rate expected without oscillations. The expected rate is based on the experimental data for the most significant reactor fuels ( $^{235}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$ ) and on calculations for  $^{238}\text{U}$ .

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.658 \pm 0.044 \pm 0.047$	<sup>5</sup> ARAKI	05 KLND	Japanese react. $\sim 180$ km
$0.611 \pm 0.085 \pm 0.041$	<sup>6</sup> EGUCHI	03 KLND	Japanese react. $\sim 180$ km
$1.01 \pm 0.024 \pm 0.053$	<sup>7</sup> BOEHM	01	Palo Verde react. 0.75–0.89 km
$1.01 \pm 0.028 \pm 0.027$	<sup>8</sup> APOLLONIO	99 CHOZ	Chooz reactors 1 km
$0.987 \pm 0.006 \pm 0.037$	<sup>9</sup> GREENWOOD	96	Savannah River, 18.2 m
$0.988 \pm 0.004 \pm 0.05$	ACHKAR	95 CNTR	Bugey reactor, 15 m
$0.994 \pm 0.010 \pm 0.05$	ACHKAR	95 CNTR	Bugey reactor, 40 m
$0.915 \pm 0.132 \pm 0.05$	ACHKAR	95 CNTR	Bugey reactor, 95 m
$0.987 \pm 0.014 \pm 0.027$	<sup>10</sup> DECLAIS	94 CNTR	Bugey reactor, 15 m
$0.985 \pm 0.018 \pm 0.034$	KUVSHINN...	91 CNTR	Rovno reactor
$1.05 \pm 0.02 \pm 0.05$	VUILLEUMIER	82	Gösgen reactor
$0.955 \pm 0.035 \pm 0.110$	<sup>11</sup> KWON	81	$\bar{\nu}_e p \rightarrow e^+ n$
$0.89 \pm 0.15$	<sup>11</sup> BOEHM	80	$\bar{\nu}_e p \rightarrow e^+ n$

- <sup>5</sup> Updated result of KamLAND, including the data used in EGUCHI 03. Note that the survival probabilities for different periods are not directly comparable because the effective baseline varies with power output of the reactor sources involved, and there were large variations in the reactor power production in Japan in 2003.
- <sup>6</sup> EGUCHI 03 observe reactor neutrino disappearance at  $\sim 180$  km baseline to various Japanese nuclear power reactors.
- <sup>7</sup> BOEHM 01 search for neutrino oscillations at 0.75 and 0.89 km distance from the Palo Verde reactors.
- <sup>8</sup> APOLLONIO 99, APOLLONIO 98 search for neutrino oscillations at 1.1 km fixed distance from Chooz reactors. They use  $\bar{\nu}_e p \rightarrow e^+ n$  in Gd-loaded scintillator target. APOLLONIO 99 supersedes APOLLONIO 98. See also APOLLONIO 03 for detailed description.
- <sup>9</sup> GREENWOOD 96 search for neutrino oscillations at 18 m and 24 m from the reactor at Savannah River.
- <sup>10</sup> DECLAIS 94 result based on integral measurement of neutrons only. Result is ratio of measured cross section to that expected in standard  $V-A$  theory. Replaced by ACHKAR 95.
- <sup>11</sup> KWON 81 represents an analysis of a larger set of data from the same experiment as BOEHM 80.

### ———— Atmospheric neutrinos ————

Neutrinos and antineutrinos produced in the atmosphere induce  $\mu$ -like and  $e$ -like events in underground detectors. The ratio of the numbers of the two kinds of events is defined as  $\mu/e$ . It has the advantage that systematic effects, such as flux uncertainty, tend to cancel, for both experimental and theoretical values of the ratio. The “ratio of the ratios” of experimental to theoretical  $\mu/e$ ,  $R(\mu/e)$ , or that of experimental to theoretical  $\mu/\text{total}$ ,  $R(\mu/\text{total})$  with  $\text{total} = \mu + e$ , is reported below. If the actual value is not unity, the value obtained in a given experiment may depend on the experimental conditions. In addition, the measured “up-down asymmetry” for  $\mu$  ( $N_{up}(\mu)/N_{down}(\mu)$ ) or  $e$  ( $N_{up}(e)/N_{down}(e)$ ) is reported. The expected “up-down asymmetry” is nearly unity if there is no neutrino oscillation.

#### **$R(\mu/e) = (\text{Measured Ratio } \mu/e) / (\text{Expected Ratio } \mu/e)$**

<i>VALUE</i>	<i>DOCUMENT ID</i>	<i>TECN</i>	<i>COMMENT</i>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.658 \pm 0.016 \pm 0.035$	<sup>12</sup> ASHIE	05	SKAM sub-GeV
$0.702^{+0.032}_{-0.030} \pm 0.101$	<sup>13</sup> ASHIE	05	SKAM multi-GeV
$0.69 \pm 0.10 \pm 0.06$	<sup>14</sup> SANCHEZ	03	SOU2 Calorimeter raw data
	<sup>15</sup> FUKUDA	96B	KAMI Water Cherenkov
$1.00 \pm 0.15 \pm 0.08$	<sup>16</sup> DAUM	95	FREJ Calorimeter
$0.60^{+0.06}_{-0.05} \pm 0.05$	<sup>17</sup> FUKUDA	94	KAMI sub-GeV
$0.57^{+0.08}_{-0.07} \pm 0.07$	<sup>18</sup> FUKUDA	94	KAMI multi-GeV
	<sup>19</sup> BECKER-SZ...	92B	IMB Water Cherenkov

- <sup>12</sup> ASHIE 05 results are based on an exposure of 92 kton yr during the complete Super-Kamiokande I running period. The analyzed data sample consists of fully-contained single-ring  $e$ -like events with  $0.1 \text{ GeV}/c < p_e$  and  $\mu$ -like events  $0.2 \text{ GeV}/c < p_\mu$ , both having a visible energy  $< 1.33 \text{ GeV}$ . These criteria match the definition used by FUKUDA 94.
- <sup>13</sup> ASHIE 05 results are based on an exposure of 92 kton yr during the complete Super-Kamiokande I running period. The analyzed data sample consists of fully-contained single-ring events with visible energy  $> 1.33 \text{ GeV}$  and partially-contained events. All partially-contained events are classified as  $\mu$ -like.
- <sup>14</sup> SANCHEZ 03 result is based on an exposure of 5.9 kton yr, and updates ALLISON 99 result. The analyzed data sample consists of fully-contained  $e$ -flavor and  $\mu$ -flavor events having lepton momentum  $> 0.3 \text{ GeV}/c$ .
- <sup>15</sup> FUKUDA 96B studied neutron background in the atmospheric neutrino sample observed in the Kamiokande detector. No evidence for the background contamination was found.
- <sup>16</sup> DAUM 95 results are based on an exposure of 2.0 kton yr which includes the data used by BERGER 90B. This ratio is for the contained and semicontained events. DAUM 95 also report  $R(\mu/e) = 0.99 \pm 0.13 \pm 0.08$  for the total neutrino induced data sample which includes upward going stopping muons and horizontal muons in addition to the contained and semicontained events.
- <sup>17</sup> FUKUDA 94 result is based on an exposure of 7.7 kton yr and updates the HIRATA 92 result. The analyzed data sample consists of fully-contained  $e$ -like events with  $0.1 < p_e < 1.33 \text{ GeV}/c$  and fully-contained  $\mu$ -like events with  $0.2 < p_\mu < 1.5 \text{ GeV}/c$ .
- <sup>18</sup> FUKUDA 94 analyzed the data sample consisting of fully contained events with visible energy  $> 1.33 \text{ GeV}$  and partially contained  $\mu$ -like events.
- <sup>19</sup> BECKER-SZENDY 92B reports the fraction of nonshowering events (mostly muons from atmospheric neutrinos) as  $0.36 \pm 0.02 \pm 0.02$ , as compared with expected fraction  $0.51 \pm 0.01 \pm 0.05$ . After cutting the energy range to the Kamiokande limits, BEIER 92 finds  $R(\mu/e)$  very close to the Kamiokande value.

### $R(\nu_\mu) = (\text{Measured Flux of } \nu_\mu) / (\text{Expected Flux of } \nu_\mu)$

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● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.84 \pm 0.12$	<sup>20</sup> ADAMSON	06	MINS MINOS atmospheric
$0.72 \pm 0.026 \pm 0.13$	<sup>21</sup> AMBROSIO	01	MCRO upward through-going
$0.57 \pm 0.05 \pm 0.15$	<sup>22</sup> AMBROSIO	00	MCRO upgoing partially contained
$0.71 \pm 0.05 \pm 0.19$	<sup>23</sup> AMBROSIO	00	MCRO downgoing partially contained + upgoing stopping
$0.74 \pm 0.036 \pm 0.046$	<sup>24</sup> AMBROSIO	98	MCRO Streamer tubes
	<sup>25</sup> CASPER	91	IMB Water Cherenkov
	<sup>26</sup> AGLIETTA	89	NUSX
$0.95 \pm 0.22$	<sup>27</sup> BOLIEV	81	Baksan
$0.62 \pm 0.17$	CROUCH	78	Case Western/UCI

<sup>20</sup> ADAMSON 06 uses a measurement of 107 total neutrinos compared to an expected rate of  $127 \pm 13$  without oscillations.

<sup>21</sup> AMBROSIO 01 result is based on the upward through-going muon tracks with  $E_\mu > 1 \text{ GeV}$ . The data came from three different detector configurations, but the statistics is largely dominated by the full detector run, from May 1994 to December 2000. The total live time, normalized to the full detector configuration, is 6.17 years. The first error is the statistical error, the second is the systematic error, dominated by the theoretical error in the predicted flux.

<sup>22</sup> AMBROSIO 00 result is based on the upgoing partially contained event sample. It came from 4.1 live years of data taking with the full detector, from April 1994 to February 1999. The average energy of atmospheric muon neutrinos corresponding to this sample is 4 GeV. The first error is statistical, the second is the systematic error, dominated by

the 25% theoretical error in the rate (20% in the flux and 15% in the cross section, added in quadrature). Within statistics, the observed deficit is uniform over the zenith angle.

- <sup>23</sup> AMBROSIO 00 result is based on the combined samples of downgoing partially contained events and upgoing stopping events. These two subsamples could not be distinguished due to the lack of timing information. The result came from 4.1 live years of data taking with the full detector, from April 1994 to February 1999. The average energy of atmospheric muon neutrinos corresponding to this sample is 4 GeV. The first error is statistical, the second is the systematic error, dominated by the 25% theoretical error in the rate (20% in the flux and 15% in the cross section, added in quadrature). Within statistics, the observed deficit is uniform over the zenith angle.
- <sup>24</sup> AMBROSIO 98 result is for all nadir angles and updates AHLEN 95 result. The lower cutoff on the muon energy is 1 GeV. In addition to the statistical and systematic errors, there is a Monte Carlo flux error (theoretical error) of  $\pm 0.13$ . With a neutrino oscillation hypothesis, the fit either to the flux or zenith distribution independently yields  $\sin^2 2\theta = 1.0$  and  $\Delta(m^2) \sim$  a few times  $10^{-3} \text{ eV}^2$ . However, the fit to the observed zenith distribution gives a maximum probability for  $\chi^2$  of only 5% for the best oscillation hypothesis.
- <sup>25</sup> CASPER 91 correlates showering/nonshowering signature of single-ring events with parent atmospheric-neutrino flavor. They find nonshowering ( $\approx \nu_\mu$  induced) fraction is  $0.41 \pm 0.03 \pm 0.02$ , as compared with expected  $0.51 \pm 0.05$  (syst).
- <sup>26</sup> AGLIETTA 89 finds no evidence for any anomaly in the neutrino flux. They define  $\rho = (\text{measured number of } \nu_e \text{'s}) / (\text{measured number of } \nu_\mu \text{'s})$ . They report  $\rho(\text{measured}) = \rho(\text{expected}) = 0.96^{+0.32}_{-0.28}$ .
- <sup>27</sup> From this data BOLIEV 81 obtain the limit  $\Delta(m^2) \leq 6 \times 10^{-3} \text{ eV}^2$  for maximal mixing,  $\nu_\mu \leftrightarrow \nu_\mu$  type oscillation.

### **R( $\mu$ /total) = (Measured Ratio $\mu$ /total) / (Expected Ratio $\mu$ /total)**

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$1.1^{+0.07}_{-0.12} \pm 0.11$	28 CLARK	97 IMB	multi-GeV

- <sup>28</sup> CLARK 97 obtained this result by an analysis of fully contained and partially contained events in the IMB water-Cherenkov detector with visible energy  $> 0.95 \text{ GeV}$ .

### **$N_{\text{up}}(\mu) / N_{\text{down}}(\mu)$**

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.551^{+0.035}_{-0.033} \pm 0.004$	29 ASHIE	05 SKAM	multi-GeV

- <sup>29</sup> ASHIE 05 results are based on an exposure of 92 kton yr during the complete Super-Kamiokande I running period. The analyzed data sample consists of fully-contained single-ring  $\mu$ -like events with visible energy  $> 1.33 \text{ GeV}$  and partially-contained events. All partially-contained events are classified as  $\mu$ -like. Upward-going events are those with  $-1 < \cos(\text{zenith angle}) < -0.2$  and downward-going events are those with  $0.2 < \cos(\text{zenith angle}) < 1$ . The  $\mu$ -like up-down ratio for the multi-GeV data deviates from 1 (the expectation for no atmospheric  $\nu_\mu$  oscillations) by more than 12 standard deviations.

### **$N_{\text{up}}(e) / N_{\text{down}}(e)$**

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
$0.961^{+0.086}_{-0.079} \pm 0.016$	30 ASHIE	05 SKAM	multi-GeV

<sup>30</sup> ASHIE 05 results are based on an exposure of 92 kton yr during the complete Super-Kamiokande I running period. The analyzed data sample consists of fully-contained single-ring  $e$ -like events with visible energy  $> 1.33$  GeV. Upward-going events are those with  $-1 < \cos(\text{zenith angle}) < -0.2$  and downward-going events are those with  $0.2 < \cos(\text{zenith angle}) < 1$ . The  $e$ -like up-down ratio for the multi-GeV data is consistent with 1 (the expectation for no atmospheric  $\nu_e$  oscillations).

**R(up/down;  $\mu$ ) = (Measured up/down;  $\mu$ ) / (Expected up/down;  $\mu$ )**

VALUE	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

$0.62^{+0.19}_{-0.14} \pm 0.02$	<sup>31</sup> ADAMSON	06	MINS atmospheric $\nu$ with far detector
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<sup>31</sup> ADAMSON 06 result is obtained with the MINOS far detector with an exposure of 4.54 kton yr. The expected ratio is calculated with no neutrino oscillation.

**R( $\mu^+/\mu^-$ ) = (Measured N( $\mu^+)$ /N( $\mu^-$ )) / (Expected N( $\mu^+)$ /N( $\mu^-$ ))**

VALUE	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

$1.39^{+0.35+0.08}_{-0.46-0.14}$	<sup>32</sup> ADAMSON	07	MINS Upward and horizontal $\mu$ with far detector
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$0.96^{+0.38}_{-0.27} \pm 0.15$	<sup>33</sup> ADAMSON	06	MINS atmospheric $\nu$ with far detector
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<sup>32</sup> ADAMSON 07 result is obtained with the MINOS far detector in 854.24 live days, based on neutrino-induced upward-going and horizontal muons. This result is consistent with  $CPT$  conservation.

<sup>33</sup> ADAMSON 06 result is obtained with the MINOS far detector with an exposure of 4.54 kton yr, based on contained events. The expected ratio is calculated by assuming the same oscillation parameters for neutrinos and antineutrinos.

————— **Solar neutrinos** —————

Solar neutrinos are produced by thermonuclear fusion reactions in the Sun. Radiochemical experiments measure particular combinations of fluxes from various neutrino-producing reactions, whereas water-Cherenkov experiments mainly measure a flux of neutrinos from decay of  $^8\text{B}$ . Solar neutrino fluxes are composed of all active neutrino species,  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$ . In addition, some other mechanisms may cause antineutrino components in solar neutrino fluxes. Each measurement method is sensitive to a particular component or a combination of components of solar neutrino fluxes. For details, see the following minireview.

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**$\nu_e$  Capture Rates from Radiochemical Experiments**

1 SNU (Solar Neutrino Unit) =  $10^{-36}$  captures per atom per second.

VALUE (SNU)	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

62.9 $\begin{smallmatrix} +5.5 \\ -5.3 \end{smallmatrix} \pm 2.5$	34	ALTMANN	05	GNO	$^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$
69.3 $\pm 4.1 \pm 3.6$	35	ALTMANN	05	GNO	GNO + GALX combined
70.8 $\begin{smallmatrix} +5.3 & +3.7 \\ -5.2 & -3.2 \end{smallmatrix}$	36	ABDURASHI...	02	SAGE	$^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$
77.5 $\pm 6.2 \begin{smallmatrix} +4.3 \\ -4.7 \end{smallmatrix}$	37	HAMPEL	99	GALX	$^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$
2.56 $\pm 0.16 \pm 0.16$	38	CLEVELAND	98	HOME	$^{37}\text{Cl} \rightarrow ^{37}\text{Ar}$

<sup>34</sup> ALTMANN 05 reports the complete result from the GNO solar neutrino experiment (GNO I+II+III), which is the successor project of GALLEX. Experimental technique of GNO is essentially the same as that of GALLEX. The run data cover the period 20 May 1998 through 9 April 2003.

<sup>35</sup> Combined result of GALLEX I+II+III+IV (HAMPEL 99) and GNO I+II+III.

<sup>36</sup> ABDURASHITOV 02 report a combined analysis of 92 runs of the SAGE solar-neutrino experiment during the period January 1990 through December 2001, and updates the ABDURASHITOV 99B result. A total of 406.4  $^{71}\text{Ge}$  events were observed. No evidence was found for temporal variations of the neutrino capture rate over the entire observation period.

<sup>37</sup> HAMPEL 99 report the combined result for GALLEX I+II+III+IV (65 runs in total), which update the HAMPEL 96 result. The GALLEX IV result (12 runs) is  $118.4 \pm 17.8 \pm 6.6$  SNU. (HAMPEL 99 discuss the consistency of partial results with the mean.) The GALLEX experimental program has been completed with these runs. The total run data cover the period 14 May 1991 through 23 January 1997. A total of 300  $^{71}\text{Ge}$  events were observed.

<sup>38</sup> CLEVELAND 98 is a detailed report of the  $^{37}\text{Cl}$  experiment at the Homestake Mine. The average solar neutrino-induced  $^{37}\text{Ar}$  production rate from 108 runs between 1970 and 1994 updates the DAVIS 89 result.

### $\phi_{ES} (^8\text{B})$

$^8\text{B}$  solar-neutrino flux measured via  $\nu e$  elastic scattering. This process is sensitive to all active neutrino flavors, but with reduced sensitivity to  $\nu_\mu, \nu_\tau$  due to the cross-section difference,  $\sigma(\nu_{\mu,\tau} e) \sim 0.16\sigma(\nu_e e)$ . If the  $^8\text{B}$  solar-neutrino flux involves nonelectron flavor active neutrinos, their contribution to the flux is  $\sim 0.16$  times of  $\nu_e$ .

<u>VALUE (<math>10^6 \text{ cm}^{-2}\text{s}^{-1}</math>)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
• • • We do not use the following data for averages, fits, limits, etc. • • •			
2.35 $\pm 0.02 \pm 0.08$	39	HOSAKA	06 SKAM average flux
2.35 $\pm 0.22 \pm 0.15$	40	AHARMIM	05A SNO Salty D <sub>2</sub> O; $^8\text{B}$ shape not constrained
2.34 $\pm 0.23 \begin{smallmatrix} +0.15 \\ -0.14 \end{smallmatrix}$	40	AHARMIM	05A SNO Salty D <sub>2</sub> O; $^8\text{B}$ shape constrained
2.39 $\begin{smallmatrix} +0.24 \\ -0.23 \end{smallmatrix} \pm 0.12$	41	AHMAD	02 SNO average flux
2.39 $\pm 0.34 \begin{smallmatrix} +0.16 \\ -0.14 \end{smallmatrix}$	42	AHMAD	01 SNO average flux
2.80 $\pm 0.19 \pm 0.33$	43	FUKUDA	96 KAMI average flux
2.70 $\pm 0.27$	43	FUKUDA	96 KAMI day flux
2.87 $\begin{smallmatrix} +0.27 \\ -0.26 \end{smallmatrix}$	43	FUKUDA	96 KAMI night flux

- <sup>39</sup> HOSAKA 06 reports the final results for 1496 live days with Super-Kamiokande-I between May 31, 1996 and July 15, 2001, and replace FUKUDA 02 results. The analysis threshold is 5 MeV except for the first 280 live days (6.5 MeV).
- <sup>40</sup> AHARMIM 05A measurements were made with dissolved NaCl (0.195% by weight) in heavy water over the period between July 26, 2001 and August 28, 2003, corresponding to 391.4 live days, and update AHMED 04A. The *CC*, *ES*, and *NC* events were statistically separated. In one method, the <sup>8</sup>B energy spectrum was not constrained. In the other method, the constraint of an undistorted <sup>8</sup>B energy spectrum was added for comparison with AHMAD 02 results.
- <sup>41</sup> AHMAD 02 reports the <sup>8</sup>B solar-neutrino flux measured via  $\nu e$  elastic scattering above the kinetic energy threshold of 5 MeV. The data correspond to 306.4 live days with SNO between November 2, 1999 and May 28, 2001, and updates AHMAD 01 results.
- <sup>42</sup> AHMAD 01 reports the <sup>8</sup>B solar-neutrino flux measured via  $\nu e$  elastic scattering above the kinetic energy threshold of 6.75 MeV. The data correspond to 241 live days with SNO between November 2, 1999 and January 15, 2001.
- <sup>43</sup> FUKUDA 96 results are for a total of 2079 live days with Kamiokande II and III from January 1987 through February 1995, covering the entire solar cycle 22, with threshold  $E_e > 9.3$  MeV (first 449 days),  $> 7.5$  MeV (middle 794 days), and  $> 7.0$  MeV (last 836 days). These results update the HIRATA 90 result for the average <sup>8</sup>B solar-neutrino flux and HIRATA 91 result for the day-night variation in the <sup>8</sup>B solar-neutrino flux. The total data sample was also analyzed for short-term variations: within experimental errors, no strong correlation of the solar-neutrino flux with the sunspot numbers was found.

### $\phi_{CC} (^8\text{B})$

<sup>8</sup>B solar-neutrino flux measured with charged-current reaction which is sensitive exclusively to  $\nu_e$ .

<u>VALUE (<math>10^6 \text{ cm}^{-2}\text{s}^{-1}</math>)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
• • • We do not use the following data for averages, fits, limits, etc. • • •			
$1.68 \pm 0.06^{+0.08}_{-0.09}$	44 AHARMIM	05A SNO	Salty D <sub>2</sub> O; <sup>8</sup> B shape not const.
$1.72 \pm 0.05 \pm 0.11$	44 AHARMIM	05A SNO	Salty D <sub>2</sub> O; <sup>8</sup> B shape constrained
$1.76^{+0.06}_{-0.05} \pm 0.09$	45 AHMAD	02 SNO	average flux
$1.75 \pm 0.07^{+0.12}_{-0.11} \pm 0.05$	46 AHMAD	01 SNO	average flux

- <sup>44</sup> AHARMIM 05A measurements were made with dissolved NaCl (0.195% by weight) in heavy water over the period between July 26, 2001 and August 28, 2003, corresponding to 391.4 live days, and update AHMED 04A. The *CC*, *ES*, and *NC* events were statistically separated. In one method, the <sup>8</sup>B energy spectrum was not constrained. In the other method, the constraint of an undistorted <sup>8</sup>B energy spectrum was added for comparison with AHMAD 02 results.
- <sup>45</sup> AHMAD 02 reports the SNO result of the <sup>8</sup>B solar-neutrino flux measured with charged-current reaction on deuterium,  $\nu_e d \rightarrow ppe^-$ , above the kinetic energy threshold of 5 MeV. The data correspond to 306.4 live days with SNO between November 2, 1999 and May 28, 2001, and updates AHMAD 01 results. The complete description of the SNO Phase I data set is given in AHARMIM 07.
- <sup>46</sup> AHMAD 01 reports the first SNO result of the <sup>8</sup>B solar-neutrino flux measured with the charged-current reaction on deuterium,  $\nu_e d \rightarrow ppe^-$ , above the kinetic energy threshold of 6.75 MeV. The data correspond to 241 live days with SNO between November 2, 1999 and January 15, 2001.

**$\phi_{NC} (^8\text{B})$** 

$^8\text{B}$  solar neutrino flux measured with neutral-current reaction, which is equally sensitive to  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$ .

<u>VALUE (<math>10^6 \text{ cm}^{-2}\text{s}^{-1}</math>)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
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• • • We do not use the following data for averages, fits, limits, etc. • • •

$4.94 \pm 0.21^{+0.38}_{-0.34}$	47 AHARMIM	05A SNO	Salty $\text{D}_2\text{O}$ ; $^8\text{B}$ shape not const.
$4.81 \pm 0.19^{+0.28}_{-0.27}$	47 AHARMIM	05A SNO	Salty $\text{D}_2\text{O}$ ; $^8\text{B}$ shape constrained
$5.09^{+0.44+0.46}_{-0.43-0.43}$	48 AHMAD	02 SNO	average flux; $^8\text{B}$ shape const.
$6.42 \pm 1.57^{+0.55}_{-0.58}$	48 AHMAD	02 SNO	average flux; $^8\text{B}$ shape not const.

<sup>47</sup> AHARMIM 05A measurements were made with dissolved NaCl (0.195% by weight) in heavy water over the period between July 26, 2001 and August 28, 2003, corresponding to 391.4 live days, and update AHMED 04A. The *CC*, *ES*, and *NC* events were statistically separated. In one method, the  $^8\text{B}$  energy spectrum was not constrained. In the other method, the constraint of an undistorted  $^8\text{B}$  energy spectrum was added for comparison with AHMAD 02 results.

<sup>48</sup> AHMAD 02 reports the first SNO result of the  $^8\text{B}$  solar-neutrino flux measured with the neutral-current reaction on deuterium,  $\nu_\ell d \rightarrow n p \nu_\ell$ , above the neutral-current reaction threshold of 2.2 MeV. The data correspond to 306.4 live days with SNO between November 2, 1999 and May 28, 2001. The complete description of the SNO Phase I data set is given in AHARMIM 07.

 **$\phi_{\nu_\mu+\nu_\tau} (^8\text{B})$** 

Nonelectron-flavor active neutrino component ( $\nu_\mu$  and  $\nu_\tau$ ) in the  $^8\text{B}$  solar-neutrino flux.

<u>VALUE (<math>10^6 \text{ cm}^{-2}\text{s}^{-1}</math>)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
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• • • We do not use the following data for averages, fits, limits, etc. • • •

$3.26 \pm 0.25^{+0.40}_{-0.35}$	49 AHARMIM	05A SNO	From $\phi_{NC}$ , $\phi_{CC}$ , and $\phi_{ES}$ ; $^8\text{B}$ shape not const.
$3.09 \pm 0.22^{+0.30}_{-0.27}$	49 AHARMIM	05A SNO	From $\phi_{NC}$ , $\phi_{CC}$ , and $\phi_{ES}$ ; $^8\text{B}$ shape constrained
$3.41 \pm 0.45^{+0.48}_{-0.45}$	50 AHMAD	02 SNO	From $\phi_{NC}$ , $\phi_{CC}$ , and $\phi_{ES}$
$3.69 \pm 1.13$	51 AHMAD	01	Derived from SNO+SuperKam, water Cherenkov

<sup>49</sup> AHARMIM 05A measurements were made with dissolved NaCl (0.195% by weight) in heavy water over the period between July 26, 2001 and August 28, 2003, corresponding to 391.4 live days, and update AHMED 04A. The *CC*, *ES*, and *NC* events were statistically separated. In one method, the  $^8\text{B}$  energy spectrum was not constrained. In the other method, the constraint of an undistorted  $^8\text{B}$  energy spectrum was added for comparison with AHMAD 02 results.

<sup>50</sup> AHMAD 02 deduced the nonelectron-flavor active neutrino component ( $\nu_\mu$  and  $\nu_\tau$ ) in the  $^8\text{B}$  solar-neutrino flux, by combining the charged-current result, the  $\nu e$  elastic-scattering result and the neutral-current result. The complete description of the SNO Phase I data set is given in AHARMIM 07.

<sup>51</sup> AHMAD 01 deduced the nonelectron-flavor active neutrino component ( $\nu_\mu$  and  $\nu_\tau$ ) in the  $^8\text{B}$  solar-neutrino flux, by combining the SNO charged-current result (AHMAD 01) and the Super-Kamiokande  $\nu e$  elastic-scattering result (FUKUDA 01).



## Total Flux of Active $^8\text{B}$ Solar Neutrinos

Total flux of active neutrinos ( $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$ ).

<u>VALUE (<math>10^6 \text{ cm}^{-2}\text{s}^{-1}</math>)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
• • • We do not use the following data for averages, fits, limits, etc. • • •			
$4.94 \pm 0.21^{+0.38}_{-0.34}$	52 AHARMIM	05A SNO	From $\phi_{NC}$ ; $^8\text{B}$ shape not const.
$4.81 \pm 0.19^{+0.28}_{-0.27}$	52 AHARMIM	05A SNO	From $\phi_{NC}$ ; $^8\text{B}$ shape constrained
$5.09^{+0.44+0.46}_{-0.43-0.43}$	53 AHMAD	02 SNO	Direct measurement from $\phi_{NC}$
$5.44 \pm 0.99$	54 AHMAD	01	Derived from SNO+SuperKam, water Cherenkov

<sup>52</sup> AHARMIM 05A measurements were made with dissolved NaCl (0.195% by weight) in heavy water over the period between July 26, 2001 and August 28, 2003, corresponding to 391.4 live days, and update AHMED 04A. The CC, ES, and NC events were statistically separated. In one method, the  $^8\text{B}$  energy spectrum was not constrained. In the other method, the constraint of an undistorted  $^8\text{B}$  energy spectrum was added for comparison with AHMAD 02 results.

<sup>53</sup> AHMAD 02 determined the total flux of active  $^8\text{B}$  solar neutrinos by directly measuring the neutral-current reaction,  $\nu_\ell d \rightarrow n p \nu_\ell$ , which is equally sensitive to  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$ . The complete description of the SNO Phase I data set is given in AHARMIM 07.

<sup>54</sup> AHMAD 01 deduced the total flux of active  $^8\text{B}$  solar neutrinos by combining the SNO charged-current result (AHMAD 01) and the Super-Kamiokande  $\nu e$  elastic-scattering result (FUKUDA 01).

## Day-Night Asymmetry ( $^8\text{B}$ )

$$A = (\phi_{\text{night}} - \phi_{\text{day}}) / \phi_{\text{average}}$$

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
• • • We do not use the following data for averages, fits, limits, etc. • • •			
$0.021 \pm 0.020^{+0.012}_{-0.013}$	55 HOSAKA	06 SKAM	Based on $\phi_{ES}$
$0.017 \pm 0.016^{+0.012}_{-0.013}$	56 HOSAKA	06 SKAM	Fitted in the LMA region
$-0.056 \pm 0.074 \pm 0.053$	57 AHARMIM	05A SNO	From salty SNO $\phi_{CC}$
$-0.037 \pm 0.063 \pm 0.032$	57 AHARMIM	05A SNO	From salty SNO $\phi_{CC}$ ; const. of no $\phi_{NC}$ asymmetry
$0.14 \pm 0.063^{+0.015}_{-0.014}$	58 AHMAD	02B SNO	Derived from SNO $\phi_{CC}$
$0.07 \pm 0.049^{+0.013}_{-0.012}$	59 AHMAD	02B SNO	Const. of no $\phi_{NC}$ asymmetry

<sup>55</sup> HOSAKA 06 reports the final results for 1496 live days with Super-Kamiokande-I between May 31, 1996 and July 15, 2001, and replace FUKUDA 02 results. The analysis threshold is 5 MeV except for the first 280 live days (6.5 MeV).

<sup>56</sup> This result with reduced statistical uncertainty is obtained by assuming two-neutrino oscillations within the LMA (large mixing angle) region and by fitting the time variation of the solar neutrino flux measured via  $\nu_e$  elastic scattering to the variations expected from neutrino oscillations. For details, see SMY 04. There is an additional small systematic error of  $\pm 0.0004$  coming from uncertainty of oscillation parameters.

<sup>57</sup> AHARMIM 05A measurements were made with dissolved NaCl (0.195% by weight) in heavy water over the period between July 26, 2001 and August 28, 2003, with 176.5 days of the live time recorded during the day and 214.9 days during the night. This result is obtained with the spectral distribution of the CC events not constrained to the  $^8\text{B}$  shape.

- 58 AHMAD 02B results are based on the charged-current interactions recorded between November 2, 1999 and May 28, 2001, with the day and night live times of 128.5 and 177.9 days, respectively. The complete description of the SNO Phase I data set is given in AHARMIM 07.
- 59 AHMAD 02B results are derived from the charged-current interactions, neutral-current interactions, and  $\nu e$  elastic scattering, with the total flux of active neutrinos constrained to have no asymmetry. The data were recorded between November 2, 1999 and May 28, 2001, with the day and night live times of 128.5 and 177.9 days, respectively. The complete description of the SNO Phase I data set is given in AHARMIM 07.

### $\phi_{ES}$ (hep)

hep solar-neutrino flux measured via  $\nu e$  elastic scattering. This process is sensitive to all active neutrino flavors, but with reduced sensitivity to  $\nu_{\mu}, \nu_{\tau}$  due to the cross-section difference,  $\sigma(\nu_{\mu, \tau} e) \sim 0.16\sigma(\nu_e e)$ . If the hep solar-neutrino flux involves nonelectron flavor active neutrinos, their contribution to the flux is  $\sim 0.16$  times of  $\nu_e$ .

VALUE ( $10^3 \text{ cm}^{-2}\text{s}^{-1}$ )	CL%	DOCUMENT ID	TECN
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• • • We do not use the following data for averages, fits, limits, etc. • • •

<73	90	<sup>60</sup> HOSAKA	06 SKAM
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<sup>60</sup>HOSAKA 06 result is obtained from the recoil electron energy window of 18–21 MeV, and updates FUKUDA 01 result.

### $\phi_{\bar{\nu}_e}$ (<sup>8</sup>B)

Searches are made for electron antineutrino flux from the Sun. Flux limits listed here are derived relative to the BS05(OP) Standard Solar Model <sup>8</sup>B solar neutrino flux, with an assumption that solar  $\bar{\nu}_e$ s follow an unoscillated <sup>8</sup>B neutrino spectrum.

VALUE (%)	CL%	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

<1.9	90	<sup>61</sup> BALATA	06 CNTR	$1.8 < E_{\bar{\nu}_e} < 20.0 \text{ MeV}$
<0.72	90	AHARMIM	04 SNO	$4.0 < E_{\bar{\nu}_e} < 14.8 \text{ MeV}$
<0.025	90	EGUCHI	04 KLND	$8.3 < E_{\bar{\nu}_e} < 14.8 \text{ MeV}$
<0.7	90	GANDO	03 SKAM	$8.0 < E_{\bar{\nu}_e} < 20.0 \text{ MeV}$
<1.7	90	AGLIETTA	96 LSD	$7 < E_{\bar{\nu}_e} < 17 \text{ MeV}$

<sup>61</sup>BALATA 06 obtained this result from the search for  $\bar{\nu}_e$  interactions with Counting Test Facility (the prototype of the Borexino detector).

## (B) Three-neutrino mixing parameters

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### $\sin^2(2\theta_{12})$

VALUE	DOCUMENT ID	TECN	COMMENT
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$0.86^{+0.03}_{-0.04}$	<sup>62</sup> AHARMIM	05A FIT	KamLAND + global solar
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• • • We do not use the following data for averages, fits, limits, etc. • • •

$0.85^{+0.04}_{-0.06}$	63 HOSAKA	06	FIT	KamLAND + global solar
$0.85^{+0.06}_{-0.05}$	64 HOSAKA	06	FIT	SKAM+SNO+KamLAND
$0.86^{+0.05}_{-0.07}$	65 HOSAKA	06	FIT	SKAM+SNO
0.75–0.95	66 AHARMIM	05A	FIT	global solar
$0.82 \pm 0.05$	67 ARAKI	05	FIT	KamLAND + global solar
$0.82 \pm 0.04$	68 AHMED	04A	FIT	KamLAND + global solar
0.71–0.93	69 AHMED	04A	FIT	global solar
$0.85^{+0.05}_{-0.07}$	70 SMY	04	FIT	KamLAND + global solar
$0.83^{+0.06}_{-0.08}$	71 SMY	04	FIT	global solar
$0.87^{+0.07}_{-0.08}$	72 SMY	04	FIT	SKAM + SNO
0.62–0.88	73 AHMAD	02B	FIT	global solar
0.62–0.95	74 FUKUDA	02	FIT	global solar

<sup>62</sup> The result given by AHARMIM 05A is  $\theta = (33.9 \pm 1.6)^\circ$ . This result is obtained by a two-neutrino oscillation analysis using SNO pure deuteron and salt phase data, SK  $\nu_e$  data, Cl and Ga CC data, and KamLAND data (ARAKI 05). *CPT* invariance is assumed. AHARMIM 05A also quotes  $\theta = (33.9^{+2.4}_{-2.2})^\circ$  as the error enveloping the 68% CL two-dimensional region. This translates into  $\sin^2 2\theta = 0.86^{+0.05}_{-0.06}$ .

<sup>63</sup> HOSAKA 06 obtained this result by a two-neutrino oscillation analysis using SK  $\nu_e$  data, CC data from other solar neutrino experiments, and KamLAND data (ARAKI 05). *CPT* invariance is assumed.

<sup>64</sup> HOSAKA 06 obtained this result by a two-neutrino oscillation analysis using the data from Super-Kamiokande, SNO (AHMAD 02 and AHMAD 02B), and KamLAND (ARAKI 05) experiments. *CPT* invariance is assumed.

<sup>65</sup> HOSAKA 06 obtained this result by a two-neutrino oscillation analysis using the Super-Kamiokande and SNO (AHMAD 02 and AHMAD 02B) solar neutrino data.

<sup>66</sup> AHARMIM 05A obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in figure 35a of AHARMIM 05A. AHARMIM 05A also quotes  $\tan^2 \theta = 0.45^{+0.09}_{-0.08}$  as the error enveloping the 68% CL two-dimensional region. This translates into  $\sin^2 2\theta = 0.86^{+0.05}_{-0.07}$ .

<sup>67</sup> ARAKI 05 obtained this result by a two-neutrino oscillation analysis using KamLAND and solar neutrino data. *CPT* invariance is assumed. The  $1\sigma$  error shown here is translated from the number provided by the KamLAND collaboration,  $\tan^2 \theta = 0.40^{+0.07}_{-0.05}$ . The corresponding number quoted in ARAKI 05 is  $\tan^2 \theta = 0.40^{+0.10}_{-0.07}$  ( $\sin^2 2\theta = 0.82 \pm 0.07$ ), which envelops the 68% CL two-dimensional region.

<sup>68</sup> The result given by AHMED 04A is  $\theta = (32.5^{+1.7}_{-1.6})^\circ$ . This result is obtained by a two-neutrino oscillation analysis using solar neutrino and KamLAND data (EGUCHI 03). *CPT* invariance is assumed. AHMED 04A also quotes  $\theta = (32.5^{+2.4}_{-2.3})^\circ$  as the error enveloping the 68% CL two-dimensional region. This translates into  $\sin^2 2\theta = 0.82 \pm 0.06$ .

<sup>69</sup> AHMED 04A obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in Fig. 5(a) of AHMED 04A. The best-fit point is  $\Delta(m^2) = 6.5 \times 10^{-5} \text{ eV}^2$ ,  $\tan^2 \theta = 0.40$  ( $\sin^2 2\theta = 0.82$ ).

- <sup>70</sup> The result given by SMY 04 is  $\tan^2\theta = 0.44 \pm 0.08$ . This result is obtained by a two-neutrino oscillation analysis using solar neutrino and KamLAND data (IANNI 03). *CPT* invariance is assumed.
- <sup>71</sup> SMY 04 obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The  $1\sigma$  errors are read from Fig. 6(a) of SMY 04.
- <sup>72</sup> SMY 04 obtained this result by a two-neutrino oscillation analysis using the Super-Kamiokande and SNO (AHMAD 02 and AHMAD 02B) solar neutrino data. The  $1\sigma$  errors are read from Fig. 6(a) of SMY 04.
- <sup>73</sup> AHMAD 02B obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in Fig. 4(b) of AHMAD 02B. The best fit point is  $\Delta(m^2) = 5.0 \times 10^{-5} \text{ eV}^2$  and  $\tan\theta = 0.34$  ( $\sin^2 2\theta = 0.76$ ).
- <sup>74</sup> FUKUDA 02 obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in Fig. 4 of FUKUDA 02. The best fit point is  $\Delta(m^2) = 6.9 \times 10^{-5} \text{ eV}^2$  and  $\tan^2\theta = 0.38$  ( $\sin^2 2\theta = 0.80$ ).

### $\Delta m_{21}^2$

VALUE ( $10^{-5} \text{ eV}^2$ )	DOCUMENT ID	TECN	COMMENT
<b>8.0±0.3</b>	<sup>75</sup> HOSAKA	06	FIT KamLAND + global solar
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
8.0±0.3	<sup>76</sup> HOSAKA	06	FIT SKAM+SNO+KamLAND
6.3 <sup>+3.7</sup> <sub>-1.5</sub>	<sup>77</sup> HOSAKA	06	FIT SKAM+SNO
5–12	<sup>78</sup> HOSAKA	06	FIT SKAM day/night in the LMA region
8.0 <sup>+0.4</sup> <sub>-0.3</sub>	<sup>79</sup> AHARMIM	05A	FIT KamLAND + global solar LMA
3.3–14.4	<sup>80</sup> AHARMIM	05A	FIT global solar
7.9 <sup>+0.4</sup> <sub>-0.3</sub>	<sup>81</sup> ARAKI	05	FIT KamLAND + global solar
7.1 <sup>+1.0</sup> <sub>-0.3</sub>	<sup>82</sup> AHMED	04A	FIT KamLAND + global solar
3.2–13.7	<sup>83</sup> AHMED	04A	FIT global solar
7.1 <sup>+0.6</sup> <sub>-0.5</sub>	<sup>84</sup> SMY	04	FIT KamLAND + global solar
6.0 <sup>+1.7</sup> <sub>-1.6</sub>	<sup>85</sup> SMY	04	FIT global solar
6.0 <sup>+2.5</sup> <sub>-1.6</sub>	<sup>86</sup> SMY	04	FIT SKAM + SNO
2.8–12.0	<sup>87</sup> AHMAD	02B	FIT global solar
3.2–19.1	<sup>88</sup> FUKUDA	02	FIT global solar

- <sup>75</sup> HOSAKA 06 obtained this result by a two-neutrino oscillation analysis using solar neutrino and KamLAND data (ARAKI 05). *CPT* invariance is assumed.
- <sup>76</sup> HOSAKA 06 obtained this result by a two-neutrino oscillation analysis using the data from Super-Kamiokande, SNO (AHMAD 02 and AHMAD 02B), and KamLAND (ARAKI 05) experiments. *CPT* invariance is assumed.
- <sup>77</sup> HOSAKA 06 obtained this result by a two-neutrino oscillation analysis using the Super-Kamiokande and SNO (AHMAD 02 and AHMAD 02B) solar neutrino data.
- <sup>78</sup> HOSAKA 06 obtained this result from the consistency between the observed and expected day-night flux asymmetry amplitude. The listed 68% CL range is derived from the  $1\sigma$  boundary of the amplitude fit to the data. Oscillation parameters are constrained to be in the LMA region. The mixing angle is fixed at  $\tan^2\theta = 0.44$  because the fit depends only very weakly on it.

- 79 AHARMIM 05A obtained this result by a two-neutrino oscillation analysis using solar neutrino and KamLAND data (ARAKI 05). *CPT* invariance is assumed. AHARMIM 05A also quotes  $\Delta(m^2) = (8.0^{+0.6}_{-0.4}) \times 10^{-5} \text{ eV}^2$  as the error enveloping the 68% CL two-dimensional region.
- 80 AHARMIM 05A obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in figure 35a of AHARMIM 05A. AHARMIM 05A also quotes  $\Delta(m^2) = (6.5^{+4.4}_{-2.3}) \times 10^{-5} \text{ eV}^2$  as the error enveloping the 68% CL two-dimensional region.
- 81 ARAKI 05 obtained this result by a two-neutrino oscillation analysis using KamLAND and solar neutrino data. *CPT* invariance is assumed. The  $1\sigma$  error shown here is provided by the KamLAND collaboration. The error quoted in ARAKI 05,  $\Delta(m^2) = (7.9^{+0.6}_{-0.5}) \times 10^{-5}$ , envelops the 68% CL two-dimensional region.
- 82 AHMED 04A obtained this result by a two-neutrino oscillation analysis using solar neutrino and KamLAND data (EGUCHI 03). *CPT* invariance is assumed. AHMED 04A also quotes  $\Delta(m^2) = (7.1^{+1.2}_{-0.6}) \times 10^{-5} \text{ eV}^2$  as the error enveloping the 68% CL two-dimensional region.
- 83 AHMED 04A obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in Fig. 5(a) of AHMED 04A. The best-fit point is  $\Delta(m^2) = 6.5 \times 10^{-5} \text{ eV}^2$ ,  $\tan^2\theta = 0.40$  ( $\sin^2 2\theta = 0.82$ ).
- 84 SMY 04 obtained this result by a two-neutrino oscillation analysis using solar neutrino and KamLAND data (IANNI 03). *CPT* invariance is assumed.
- 85 SMY 04 obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The  $1\sigma$  errors are read from Fig. 6(a) of SMY 04.
- 86 SMY 04 obtained this result by a two-neutrino oscillation analysis using the Super-Kamiokande and SNO (AHMAD 02 and AHMAD 02B) solar neutrino data. The  $1\sigma$  errors are read from Fig. 6(a) of SMY 04.
- 87 AHMAD 02B obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in Fig. 4(b) of AHMAD 02B. The best fit point is  $\Delta(m^2) = 5.0 \times 10^{-5} \text{ eV}^2$  and  $\tan\theta = 0.34$  ( $\sin^2 2\theta = 0.76$ ).
- 88 FUKUDA 02 obtained this result by a two-neutrino oscillation analysis using the data from all solar neutrino experiments. The listed range of the parameter envelops the 95% CL two-dimensional region shown in Fig. 4 of FUKUDA 02. The best fit point is  $\Delta(m^2) = 6.9 \times 10^{-5} \text{ eV}^2$  and  $\tan^2\theta = 0.38$  ( $\sin^2 2\theta = 0.80$ ).

### $\sin^2(2\theta_{23})$

The ranges below correspond to the projection onto the  $\sin^2(2\theta_{23})$  axis of the 90% CL contours in the  $\sin^2(2\theta_{23}) - \Delta m_{32}^2$  plane presented by the authors.

VALUE	DOCUMENT ID	TECN	COMMENT
<b>&gt;0.92</b>	89 ASHIE	05 SKAM	Super-Kamiokande
• • •	We do not use the following data for averages, fits, limits, etc. • • •		
>0.2	90 ADAMSON	06 MINS	atmospheric $\nu$ with far detector
>0.59	91 AHN	06A K2K	KEK to Super-K
>0.91	92 HOSAKA	06A SKAM	$3\nu$ oscillation; normal mass hierarchy
>0.86	93 HOSAKA	06A SKAM	$3\nu$ oscillation; inverted mass hierarchy

>0.7	94	MICHAEL	06	MINS	MINOS
>0.58	95	ALIU	05	K2K	KEK to Super-K
>0.6	96	ALLISON	05	SOU2	
>0.80	97	AMBROSIO	04	MCRO	MACRO
>0.90	98	ASHIE	04	SKAM	L/E distribution
>0.30	99	AHN	03	K2K	KEK to Super-K
>0.45	100	AMBROSIO	03	MCRO	MACRO
>0.77	101	AMBROSIO	03	MCRO	MACRO
>0.50	102	SANCHEZ	03	SOU2	Soudan-2 Atmospheric
>0.80	103	AMBROSIO	01	MCRO	upward $\mu$
>0.82	104	AMBROSIO	01	MCRO	upward $\mu$
>0.45	105	FUKUDA	99C	SKAM	upward $\mu$
>0.70	106	FUKUDA	99D	SKAM	upward $\mu$
>0.30	107	FUKUDA	99D	SKAM	stop $\mu$ / through
>0.82	108	FUKUDA	98C	SKAM	Super-Kamiokande
>0.30	109	HATAKEYAMA	98	KAMI	Kamiokande
>0.73	110	HATAKEYAMA	98	KAMI	Kamiokande
>0.65	111	FUKUDA	94	KAMI	Kamiokande

- <sup>89</sup> ASHIE 05 obtained this result by a two-neutrino oscillation analysis using 92 kton yr atmospheric neutrino data from the complete Super-Kamiokande I running period.
- <sup>90</sup> ADAMSON 06 obtained this result by a two-neutrino oscillation analysis of the L/E distribution using 4.54 kton yr atmospheric neutrino data with the MINOS far detector.
- <sup>91</sup> Supercedes ALIU 05.
- <sup>92</sup> HOSAKA 06A obtained this result ( $\sin^2\theta_{23} = 0.37\text{--}0.65$ ) by a three-neutrino oscillation analysis with one mass scale dominance ( $\Delta m_{21}^2 = 0$ ) using the Super-Kamiokande-I atmospheric neutrino data. The normal mass hierarchy is assumed.
- <sup>93</sup> HOSAKA 06A obtained this result ( $\sin^2\theta_{23} = 0.37\text{--}0.69$ ) by a three-neutrino oscillation analysis with one mass scale dominance ( $\Delta m_{21}^2 = 0$ ) using the Super-Kamiokande-I atmospheric neutrino data. The inverted mass hierarchy is assumed.
- <sup>94</sup> MICHAEL 06 best fit is for maximal mixing.
- <sup>95</sup> The best fit is for maximal mixing.
- <sup>96</sup> ALLISON 05 result is based upon atmospheric neutrino interactions including upward-stopping muons, with an exposure of 5.9 kton yr. From a two-flavor oscillation analysis the best-fit point is  $\Delta m^2 = 0.0017 \text{ eV}^2$  and  $\sin^2(2\theta) = 0.97$ .
- <sup>97</sup> AMBROSIO 04 obtained this result, without using the absolute normalization of the neutrino flux, by combining the angular distribution of upward through-going muon tracks with  $E_\mu > 1 \text{ GeV}$ ,  $N_{low}$  and  $N_{high}$ , and the numbers of InDown + UpStop and InUp events. Here,  $N_{low}$  and  $N_{high}$  are the number of events with reconstructed neutrino energies  $< 30 \text{ GeV}$  and  $> 130 \text{ GeV}$ , respectively. InDown and InUp represent events with downward and upward-going tracks starting inside the detector due to neutrino interactions, while UpStop represents entering upward-going tracks which stop in the detector. The best fit is for maximal mixing.
- <sup>98</sup> ASHIE 04 obtained this result from the  $L(\text{flight length})/E(\text{estimated neutrino energy})$  distribution of  $\nu_\mu$  disappearance probability, using the Super-Kamiokande-I 1489 live-day atmospheric neutrino data.
- <sup>99</sup> There are several islands of allowed region from this K2K analysis, extending to high values of  $\Delta m^2$ . We only include the one that overlaps atmospheric neutrino analyses. The best fit is for maximal mixing.
- <sup>100</sup> AMBROSIO 03 obtained this result on the basis of the ratio  $R = N_{low}/N_{high}$ , where  $N_{low}$  and  $N_{high}$  are the number of upward through-going muon events with reconstructed neutrino energy  $< 30 \text{ GeV}$  and  $> 130 \text{ GeV}$ , respectively. The data came from

- the full detector run started in 1994. The method of FELDMAN 98 is used to obtain the limits.
- 101 AMBROSIO 03 obtained this result by using the ratio  $R$  and the angular distribution of the upward through-going muons.  $R$  is given in the previous note and the angular distribution is reported in AMBROSIO 01. The method of FELDMAN 98 is used to obtain the limits. The best fit is to maximal mixing.
- 102 SANCHEZ 03 is based on an exposure of 5.9 kton yr. The result is obtained using a likelihood analysis of the neutrino L/E distribution for a selection  $\mu$  flavor sample while the  $e$ -flavor sample provides flux normalization. The method of FELDMAN 98 is used to obtain the allowed region. The best fit is  $\sin^2(2\theta) = 0.97$ .
- 103 AMBROSIO 01 result is based on the angular distribution of upward through-going muon tracks with  $E_\mu > 1$  GeV. The data came from three different detector configurations, but the statistics is largely dominated by the full detector run, from May 1994 to December 2000. The total live time, normalized to the full detector configuration is 6.17 years. The best fit is obtained outside the physical region. The method of FELDMAN 98 is used to obtain the limits. The best fit is for maximal mixing.
- 104 AMBROSIO 01 result is based on the angular distribution and normalization of upward through-going muon tracks with  $E_\mu > 1$  GeV. See the previous footnote.
- 105 FUKUDA 99C obtained this result from a total of 537 live days of upward through-going muon data in Super-Kamiokande between April 1996 to January 1998. With a threshold of  $E_\mu > 1.6$  GeV, the observed flux is  $(1.74 \pm 0.07 \pm 0.02) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ . The best fit is  $\sin^2(2\theta) = 0.95$ .
- 106 FUKUDA 99D obtained this result from a simultaneous fitting to zenith angle distributions of upward-stopping and through-going muons. The flux of upward-stopping muons of minimum energy of 1.6 GeV measured between April 1996 and January 1998 is  $(0.39 \pm 0.04 \pm 0.02) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ . This is compared to the expected flux of  $(0.73 \pm 0.16 \text{ (theoretical error)}) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ . The best fit is to maximal mixing.
- 107 FUKUDA 99D obtained this result from the zenith dependence of the upward-stopping/through-going flux ratio. The best fit is to maximal mixing.
- 108 FUKUDA 98C obtained this result by an analysis of 33.0 kton yr atmospheric neutrino data. The best fit is for maximal mixing.
- 109 HATAKEYAMA 98 obtained this result from a total of 2456 live days of upward-going muon data in Kamiokande between December 1985 and May 1995. With a threshold of  $E_\mu > 1.6$  GeV, the observed flux of upward through-going muons is  $(1.94 \pm 0.10^{+0.07}_{-0.06}) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ . This is compared to the expected flux of  $(2.46 \pm 0.54 \text{ (theoretical error)}) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ . The best fit is for maximal mixing.
- 110 HATAKEYAMA 98 obtained this result from a combined analysis of Kamiokande contained events (FUKUDA 94) and upward going muon events. The best fit is  $\sin^2(2\theta) = 0.95$ .
- 111 FUKUDA 94 obtained the result by a combined analysis of sub- and multi-GeV atmospheric neutrino events in Kamiokande. The best fit is for maximal mixing.

## $\Delta m_{32}^2$

The sign of  $\Delta m_{32}^2$  is not known at this time. Only the absolute value is quoted below.

The ranges below correspond to the projection onto the  $\Delta m_{32}^2$  axis of the 90% CL contours in the  $\sin^2(2\theta_{23}) - \Delta m_{32}^2$  plane presented by the authors.

VALUE ( $10^{-3} \text{ eV}^2$ )	DOCUMENT ID	TECN	COMMENT
<b>1.9 to 3.0</b>	112 ASHIE	04	SKAM L/E distribution

• • • We do not use the following data for averages, fits, limits, etc. • • •

0.07–50	113	ADAMSON	06	MINS	atmospheric $\nu$ with far detector
1.9–4.0	114,115	AHN	06A	K2K	KEK to Super-K
1.8–3.1	116	HOSAKA	06A	SKAM	$3\nu$ oscillation; normal mass hierarchy
1.8–3.7	117	HOSAKA	06A	SKAM	$3\nu$ oscillation; inverted mass hierarchy
2.2–3.8	118	MICHAEL	06	MINS	MINOS
1.9–3.6	114	ALIU	05	K2K	KEK to Super-K
0.3–12	119	ALLISON	05	SOU2	
1.5–3.4	120	ASHIE	05	SKAM	atmospheric neutrino
0.6–8.0	121	AMBROSIO	04	MCRO	MACRO
1.5–3.9	122	AHN	03	K2K	KEK to Super-K
0.25–9.0	123	AMBROSIO	03	MCRO	MACRO
0.6–7.0	124	AMBROSIO	03	MCRO	MACRO
0.15–15	125	SANCHEZ	03	SOU2	Soudan-2 Atmospheric
0.6–15	126	AMBROSIO	01	MCRO	upward $\mu$
1.0–6.0	127	AMBROSIO	01	MCRO	upward $\mu$
1.0–50	128	FUKUDA	99C	SKAM	upward $\mu$
1.5–15.0	129	FUKUDA	99D	SKAM	upward $\mu$
0.7–18	130	FUKUDA	99D	SKAM	stop $\mu$ / through
0.5–6.0	131	FUKUDA	98C	SKAM	Super-Kamiokande
0.55–50	132	HATAKEYAMA98		KAMI	Kamiokande
4–23	133	HATAKEYAMA98		KAMI	Kamiokande
5–25	134	FUKUDA	94	KAMI	Kamiokande

112 ASHIE 04 obtained this result from the  $L(\text{flight length})/E(\text{estimated neutrino energy})$  distribution of  $\nu_\mu$  disappearance probability, using the Super-Kamiokande-I 1489 live-day

atmospheric neutrino data. The best fit is for  $\Delta m^2 = 2.4 \times 10^{-3} \text{ eV}^2$ .

113 ADAMSON 06 obtained this result by a two-neutrino oscillation analysis of the  $L/E$  distribution using 4.54 kton yr atmospheric neutrino data with the MINOS far detector.

114 The best fit in the physical region is for  $\Delta m^2 = 2.8 \times 10^{-3} \text{ eV}^2$ .

115 Supercedes ALIU 05.

116 HOSAKA 06A obtained this result by a three-neutrino oscillation analysis with one mass scale dominance ( $\Delta m_{21}^2 = 0$ ) using the Super-Kamiokande-I atmospheric neutrino data. The normal mass hierarchy is assumed.

117 HOSAKA 06A obtained this result by a three-neutrino oscillation analysis with one mass scale dominance ( $\Delta m_{21}^2 = 0$ ) using the Super-Kamiokande-I atmospheric neutrino data. The inverted mass hierarchy is assumed.

118 MICHAEL 06 best fit is  $2.74 \times 10^{-3} \text{ eV}^2$ .

119 ALLISON 05 result is based on an atmospheric neutrino observation with an exposure of 5.9 kton yr. From a two-flavor oscillation analysis the best-fit point is  $\Delta m^2 = 0.0017 \text{ eV}^2$  and  $\sin^2 2\theta = 0.97$ .

120 ASHIE 05 obtained this result by a two-neutrino oscillation analysis using 92 kton yr atmospheric neutrino data from the complete Super-Kamiokande I running period. The best fit is for  $\Delta m^2 = 2.1 \times 10^{-3} \text{ eV}^2$ .

121 AMBROSIO 04 obtained this result, without using the absolute normalization of the neutrino flux, by combining the angular distribution of upward through-going muon tracks with  $E_\mu > 1 \text{ GeV}$ ,  $N_{low}$  and  $N_{high}$ , and the numbers of InDown + UpStop and InUp events. Here,  $N_{low}$  and  $N_{high}$  are the number of events with reconstructed neutrino energies  $< 30 \text{ GeV}$  and  $> 130 \text{ GeV}$ , respectively. InDown and InUp represent events with downward and upward-going tracks starting inside the detector due to neutrino interactions, while UpStop represents entering upward-going tracks which stop in the detector. The best fit is for  $\Delta m^2 = 2.3 \times 10^{-3} \text{ eV}^2$ .



- 122 There are several islands of allowed region from this K2K analysis, extending to high values of  $\Delta m^2$ . We only include the one that overlaps atmospheric neutrino analyses. The best fit is for  $\Delta m^2 = 2.8 \times 10^{-3} \text{ eV}^2$ .
- 123 AMBROSIO 03 obtained this result on the basis of the ratio  $R = N_{low}/N_{high}$ , where  $N_{low}$  and  $N_{high}$  are the number of upward through-going muon events with reconstructed neutrino energy  $< 30 \text{ GeV}$  and  $> 130 \text{ GeV}$ , respectively. The data came from the full detector run started in 1994. The method of FELDMAN 98 is used to obtain the limits. The best fit is for  $\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$ .
- 124 AMBROSIO 03 obtained this result by using the ratio  $R$  and the angular distribution of the upward through-going muons.  $R$  is given in the previous note and the angular distribution is reported in AMBROSIO 01. The method of FELDMAN 98 is used to obtain the limits. The best fit is for  $\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$ .
- 125 SANCHEZ 03 is based on an exposure of  $5.9 \text{ kton yr}$ . The result is obtained using a likelihood analysis of the neutrino L/E distribution for a selection  $\mu$  flavor sample while the  $e$ -flavor sample provides flux normalization. The method of FELDMAN 98 is used to obtain the allowed region. The best fit is for  $\Delta m^2 = 5.2 \times 10^{-3} \text{ eV}^2$ .
- 126 AMBROSIO 01 result is based on the angular distribution of upward through-going muon tracks with  $E_\mu > 1 \text{ GeV}$ . The data came from three different detector configurations, but the statistics is largely dominated by the full detector run, from May 1994 to December 2000. The total live time, normalized to the full detector configuration is  $6.17 \text{ years}$ . The best fit is obtained outside the physical region. The method of FELDMAN 98 is used to obtain the limits.
- 127 AMBROSIO 01 result is based on the angular distribution and normalization of upward through-going muon tracks with  $E_\mu > 1 \text{ GeV}$ . See the previous footnote.
- 128 FUKUDA 99C obtained this result from a total of  $537 \text{ live days}$  of upward through-going muon data in Super-Kamiokande between April 1996 to January 1998. With a threshold of  $E_\mu > 1.6 \text{ GeV}$ , the observed flux is  $(1.74 \pm 0.07 \pm 0.02) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ . The best fit is for  $\Delta m^2 = 5.9 \times 10^{-3} \text{ eV}^2$ .
- 129 FUKUDA 99D obtained this result from a simultaneous fitting to zenith angle distributions of upward-stopping and through-going muons. The flux of upward-stopping muons of minimum energy of  $1.6 \text{ GeV}$  measured between April 1996 and January 1998 is  $(0.39 \pm 0.04 \pm 0.02) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ . This is compared to the expected flux of  $(0.73 \pm 0.16 \text{ (theoretical error)}) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ . The best fit is for  $\Delta m^2 = 3.9 \times 10^{-3} \text{ eV}^2$ .
- 130 FUKUDA 99D obtained this result from the zenith dependence of the upward-stopping/through-going flux ratio. The best fit is for  $\Delta m^2 = 3.1 \times 10^{-3} \text{ eV}^2$ .
- 131 FUKUDA 98C obtained this result by an analysis of  $33.0 \text{ kton yr}$  atmospheric neutrino data. The best fit is for  $\Delta m^2 = 2.2 \times 10^{-3} \text{ eV}^2$ .
- 132 HATAKEYAMA 98 obtained this result from a total of  $2456 \text{ live days}$  of upward-going muon data in Kamiokande between December 1985 and May 1995. With a threshold of  $E_\mu > 1.6 \text{ GeV}$ , the observed flux of upward through-going muons is  $(1.94 \pm 0.10^{+0.07}_{-0.06}) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ . This is compared to the expected flux of  $(2.46 \pm 0.54 \text{ (theoretical error)}) \times 10^{-13} \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ . The best fit is for  $\Delta m^2 = 2.2 \times 10^{-3} \text{ eV}^2$ .
- 133 HATAKEYAMA 98 obtained this result from a combined analysis of Kamiokande contained events (FUKUDA 94) and upward going muon events. The best fit is for  $\Delta m^2 = 13 \times 10^{-3} \text{ eV}^2$ .
- 134 FUKUDA 94 obtained the result by a combined analysis of sub- and multi-GeV atmospheric neutrino events in Kamiokande. The best fit is for  $\Delta m^2 = 16 \times 10^{-3} \text{ eV}^2$ .

**$\sin^2(2\theta_{13})$** 

At present time, limits of  $\sin^2(2\theta_{13})$  are derived from the search for the reactor  $\bar{\nu}_e$  disappearance at distances corresponding to the  $\Delta m_{23}^2$  value, i.e.  $L \sim 1\text{km}$ . Alternatively, somewhat weaker limits can be obtained from the analysis of the solar neutrino data.

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
<b>&lt;0.19</b>	90	135 APOLLONIO	99 CHOZ	Reactor Experiment
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<0.48	90	136 HOSAKA	06A SKAM	$3\nu$ oscillation; normal mass hierarchy
<0.79	90	137 HOSAKA	06A SKAM	$3\nu$ oscillation; inverted mass hierarchy
<0.36		138 YAMAMOTO	06 K2K	Accelerator experiment
<0.48	90	139 AHN	04 K2K	Accelerator experiment
<0.36	90	140 BOEHM	01	Palo Verde react.
<0.45	90	141 BOEHM	00	Palo Verde react.

135 The quoted limit is for  $\Delta m_{32}^2 = 1.9 \times 10^{-3} \text{eV}^2$ . That value of  $\Delta m_{32}^2$  is the 1- $\sigma$  low value for ALIU 05. For the ALIU 05 best fit value of  $2.8 \times 10^{-3} \text{eV}^2$ , the  $\sin^2 2\theta_{13}$  limit is  $< 0.13$ . See also APOLLONIO 03 for a detailed description of the experiment.

136 HOSAKA 06A obtained this result by a three-neutrino oscillation analysis with one mass scale dominance ( $\Delta m_{21}^2 = 0$ ) using the Super-Kamiokande-I atmospheric neutrino data. The normal mass hierarchy is assumed.

137 HOSAKA 06A obtained this result by a three-neutrino oscillation analysis with one mass scale dominance ( $\Delta m_{21}^2 = 0$ ) using the Super-Kamiokande-I atmospheric neutrino data. The inverted mass hierarchy is assumed.

138 YAMAMOTO 06 searched for  $\nu_\mu \rightarrow \nu_e$  appearance. Assumes  $2 \sin^2(2\theta_{\mu e}) = \sin^2(2\theta_{13})$ . The quoted limit is for  $\Delta m_{32}^2 = 1.9 \times 10^{-3} \text{eV}^2$ . That value of  $\Delta m_{32}^2$  is the one- $\sigma$  low value for AHN 06A. For the AHN 06A best fit value of  $2.8 \times 10^{-3} \text{eV}^2$ , the  $\sin^2(2\theta_{13})$  limit is  $< 0.26$ . Supersedes AHN 04.

139 AHN 04 searched for  $\nu_\mu \rightarrow \nu_e$  appearance. Assuming  $2 \sin^2(2\theta_{\mu e}) = \sin^2(2\theta_{13})$ , a limit on  $\sin^2(2\theta_{\mu e})$  is converted to a limit on  $\sin^2(2\theta_{13})$ . The quoted limit is for  $\Delta m_{32}^2 = 1.9 \times 10^{-3} \text{eV}^2$ . That value of  $\Delta m_{32}^2$  is the one- $\sigma$  low value for ALIU 05. For the ALIU 05 best fit value of  $2.8 \times 10^{-3} \text{eV}^2$ , the  $\sin^2(2\theta_{13})$  limit is  $< 0.30$ .

140 The quoted limit is for  $\Delta m_{32}^2 = 1.9 \times 10^{-3} \text{eV}^2$ . That value of  $\Delta m_{32}^2$  is the 1- $\sigma$  low value for ALIU 05. For the ALIU 05 best fit value of  $2.8 \times 10^{-3} \text{eV}^2$ , the  $\sin^2 2\theta_{13}$  limit is  $< 0.19$ . In this range, the  $\theta_{13}$  limit is larger for lower values of  $\Delta m_{32}^2$ , and smaller for higher values of  $\Delta m_{32}^2$ .

141 The quoted limit is for  $\Delta m_{32}^2 = 1.9 \times 10^{-3} \text{eV}^2$ . That value of  $\Delta m_{32}^2$  is the 1- $\sigma$  low value for ALIU 05. For the ALIU 05 best fit value of  $2.8 \times 10^{-3} \text{eV}^2$ , the  $\sin^2 2\theta_{13}$  limit is  $< 0.23$ .

**(C) Other neutrino mixing results**

The LSND collaboration reported in AGUILAR 01 a signal which is consistent with  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  oscillations. In a three neutrino framework, this would be a measurement of  $\theta_{12}$  and  $\Delta m_{21}^2$ . This does not appear to be consistent with the interpretation of other neutrino data. The MiniBooNE experiment, reported in AGUILAR-AREVALO 07, does a two-neutrino analysis

which, assuming *CPT* conservation, rules out AGUILAR 01. The following listings include results which might be relevant towards understanding these observations. They include searches for  $\nu_\mu \rightarrow \nu_e$ ,  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ , sterile neutrino oscillations, and *CPT* violation.

### $\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ( $\nu_\mu \rightarrow \nu_e$ )

<u>VALUE (eV<sup>2</sup>)</u>	<u>CL%</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<0.034	90	AGUILAR-AR...07	MBOO	MiniBooNE
<0.0008	90	AHN 04	K2K	Water Cherenkov
<0.4	90	ASTIER 03	NOMD	CERN SPS
<2.4	90	AVVAKUMOV 02	NTEV	NUTEV FNAL
		<sup>142</sup> AGUILAR 01	LSND	$\nu_\mu \rightarrow \nu_e$ osc.prob.
0.03 to 0.3	95	<sup>143</sup> ATHANASSO...98	LSND	$\nu_\mu \rightarrow \nu_e$
<2.3	90	<sup>144</sup> LOVERRE 96		CHARM/CDHS
<0.9	90	VILAIN 94C	CHM2	CERN SPS
<0.09	90	ANGELINI 86	HLBC	BEBC CERN PS

<sup>142</sup>AGUILAR 01 is the final analysis of the LSND full data set. Search is made for the  $\nu_\mu \rightarrow \nu_e$  oscillations using  $\nu_\mu$  from  $\pi^+$  decay in flight by observing beam-on electron events from  $\nu_e C \rightarrow e^- X$ . Present analysis results in  $8.1 \pm 12.2 \pm 1.7$  excess events in the  $60 < E_e < 200$  MeV energy range, corresponding to oscillation probability of  $0.10 \pm 0.16 \pm 0.04\%$ . This is consistent, though less significant, with the previous result of ATHANASSOPOULOS 98, which it supersedes. The present analysis uses selection criteria developed for the decay at rest region, and is less effective in removing the background above 60 MeV than ATHANASSOPOULOS 98.

<sup>143</sup>ATHANASSOPOULOS 98 is a search for the  $\nu_\mu \rightarrow \nu_e$  oscillations using  $\nu_\mu$  from  $\pi^+$  decay in flight. The 40 observed beam-on electron events are consistent with  $\nu_e C \rightarrow e^- X$ ; the expected background is  $21.9 \pm 2.1$ . Authors interpret this excess as evidence for an oscillation signal corresponding to oscillations with probability  $(0.26 \pm 0.10 \pm 0.05)\%$ . Although the significance is only  $2.3\sigma$ , this measurement is an important and consistent cross check of ATHANASSOPOULOS 96 who reported evidence for  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  oscillations from  $\mu^+$  decay at rest. See also ATHANASSOPOULOS 98B.

<sup>144</sup>LOVERRE 96 uses the charged-current to neutral-current ratio from the combined CHARM (ALLABY 86) and CDHS (ABRAMOWICZ 86) data from 1986.

### $\sin^2(2\theta)$ for "Large" $\Delta(m^2)$ ( $\nu_\mu \rightarrow \nu_e$ )

<u>VALUE (units <math>10^{-3}</math>)</u>	<u>CL%</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
< 1.8	90	<sup>145</sup> AGUILAR-AR...07	MBOO	MiniBooNE
<110	90	<sup>146</sup> AHN 04	K2K	Water Cherenkov
< 1.4	90	ASTIER 03	NOMD	CERN SPS
< 1.6	90	AVVAKUMOV 02	NTEV	NUTEV FNAL
		<sup>147</sup> AGUILAR 01	LSND	$\nu_\mu \rightarrow \nu_e$ osc.prob.
0.5 to 30	95	<sup>148</sup> ATHANASSO...98	LSND	$\nu_\mu \rightarrow \nu_e$
< 3.0	90	<sup>149</sup> LOVERRE 96		CHARM/CDHS
< 9.4	90	VILAIN 94C	CHM2	CERN SPS
< 5.6	90	<sup>150</sup> VILAIN 94C	CHM2	CERN SPS

- 145 The limit is  $\sin^2 2\theta < 0.9 \times 10^{-3}$  at  $\Delta m^2 = 2 \text{ eV}^2$ . That value of  $\Delta m^2$  corresponds to the smallest mixing angle consistent with the reported signal from LSND in AGUILAR 01.
- 146 The limit becomes  $\sin^2 2\theta < 0.15$  at  $\Delta m^2 = 2.8 \times 10^{-3} \text{ eV}^2$ , the best-fit value of the  $\nu_\mu$  disappearance analysis in K2K.
- 147 AGUILAR 01 is the final analysis of the LSND full data set of the search for the  $\nu_\mu \rightarrow \nu_e$  oscillations. See footnote in preceding table for further details.
- 148 ATHANASSOPOULOS 98 report  $(0.26 \pm 0.10 \pm 0.05)\%$  for the oscillation probability; the value of  $\sin^2 2\theta$  for large  $\Delta m^2$  is deduced from this probability. See footnote in preceding table for further details, and see the paper for a plot showing allowed regions. If effect is due to oscillation, it is most likely to be intermediate  $\sin^2 2\theta$  and  $\Delta m^2$ . See also ATHANASSOPOULOS 98B.
- 149 LOVERRE 96 uses the charged-current to neutral-current ratio from the combined CHARM (ALLABY 86) and CDHS (ABRAMOWICZ 86) data from 1986.
- 150 VILAIN 94C limit derived by combining the  $\nu_\mu$  and  $\bar{\nu}_\mu$  data assuming  $CP$  conservation.

### $\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ( $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ )

VALUE (eV <sup>2</sup> )	CL%	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<0.055	90	151 ARMBRUSTER02	KAR2	Liquid Sci. calor.
<2.6	90	AVVAKUMOV 02	NTEV	NUTEV FNAL
0.03–0.05		152 AGUILAR 01	LSND	LAMPF
0.05–0.08	90	153 ATHANASSO...96	LSND	LAMPF
0.048–0.090	80	154 ATHANASSO...95		
<0.07	90	155 HILL 95		
<0.9	90	VILAIN 94C	CHM2	CERN SPS
<0.14	90	156 FREEDMAN 93	CNTR	LAMPF

- 151 ARMBRUSTER 02 is the final analysis of the KARMEN 2 data for 17.7 m distance from the ISIS stopped pion and muon neutrino source. It is a search for  $\bar{\nu}_e$ , detected by the inverse  $\beta$ -decay reaction on protons and  $^{12}\text{C}$ . 15 candidate events are observed, and  $15.8 \pm 0.5$  background events are expected, hence no oscillation signal is detected. The results exclude large regions of the parameter area favored by the LSND experiment.
- 152 AGUILAR 01 is the final analysis of the LSND full data set. It is a search for  $\bar{\nu}_e$  30 m from LAMPF beam stop. Neutrinos originate mainly for  $\pi^+$  decay at rest.  $\bar{\nu}_e$  are detected through  $\bar{\nu}_e p \rightarrow e^+ n$  ( $20 < E_{e^+} < 60 \text{ MeV}$ ) in delayed coincidence with  $np \rightarrow d\gamma$ . Authors observe  $87.9 \pm 22.4 \pm 6.0$  total excess events. The observation is attributed to  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  oscillations with the oscillation probability of  $0.264 \pm 0.067 \pm 0.045\%$ , consistent with the previously published result. Taking into account all constraints, the most favored allowed region of oscillation parameters is a band of  $\Delta(m^2)$  from  $0.2\text{--}2.0 \text{ eV}^2$ . Supersedes ATHANASSOPOULOS 95, ATHANASSOPOULOS 96, and ATHANASSOPOULOS 98.
- 153 ATHANASSOPOULOS 96 is a search for  $\bar{\nu}_e$  30 m from LAMPF beam stop. Neutrinos originate mainly from  $\pi^+$  decay at rest.  $\bar{\nu}_e$  could come from either  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  or  $\nu_e \rightarrow \bar{\nu}_e$ ; our entry assumes the first interpretation. They are detected through  $\bar{\nu}_e p \rightarrow e^+ n$  ( $20 \text{ MeV} < E_{e^+} < 60 \text{ MeV}$ ) in delayed coincidence with  $np \rightarrow d\gamma$ . Authors observe  $51 \pm 20 \pm 8$  total excess events over an estimated background  $12.5 \pm 2.9$ . ATHANASSOPOULOS 96B is a shorter version of this paper.
- 154 ATHANASSOPOULOS 95 error corresponds to the  $1.6\sigma$  band in the plot. The expected background is  $2.7 \pm 0.4$  events. Corresponds to an oscillation probability of  $(0.34^{+0.20}_{-0.18} \pm 0.07)\%$ . For a different interpretation, see HILL 95. Replaced by ATHANASSOPOULOS 96.

155 HILL 95 is a report by one member of the LSND Collaboration, reporting a different conclusion from the analysis of the data of this experiment (see ATHANASSOPOULOS 95). Contrary to the rest of the LSND Collaboration, Hill finds no evidence for the neutrino oscillation  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  and obtains only upper limits.

156 FREEDMAN 93 is a search at LAMPF for  $\bar{\nu}_e$  generated from any of the three neutrino types  $\nu_\mu$ ,  $\bar{\nu}_\mu$ , and  $\nu_e$  which come from the beam stop. The  $\bar{\nu}_e$ 's would be detected by the reaction  $\bar{\nu}_e p \rightarrow e^+ n$ . FREEDMAN 93 replaces DURKIN 88.

### $\sin^2(2\theta)$ for "Large" $\Delta(m^2)$ ( $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ )

VALUE (units $10^{-3}$ )	CL%	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<1.7	90	157 ARMBRUSTER02	KAR2	Liquid Sci. calor.
<1.1	90	AVVAKUMOV 02	NTEV	NUTEV FNAL
5.3±1.3±9.0		158 AGUILAR 01	LSND	LAMPF
6.2±2.4±1.0		159 ATHANASSO...96	LSND	LAMPF
3–12	80	160 ATHANASSO...95		
<6	90	161 HILL 95		

157 ARMBRUSTER 02 is the final analysis of the KARMEN 2 data. See footnote in the preceding table for further details, and the paper for the exclusion plot.

158 AGUILAR 01 is the final analysis of the LSND full data set. The deduced oscillation probability is  $0.264 \pm 0.067 \pm 0.045\%$ ; the value of  $\sin^2 2\theta$  for large  $\Delta(m^2)$  is twice this probability (although these values are excluded by other constraints). See footnote in preceding table for further details, and the paper for a plot showing allowed regions. Supersedes ATHANASSOPOULOS 95, ATHANASSOPOULOS 96, and ATHANASSOPOULOS 98.

159 ATHANASSOPOULOS 96 reports  $(0.31 \pm 0.12 \pm 0.05)\%$  for the oscillation probability; the value of  $\sin^2 2\theta$  for large  $\Delta(m^2)$  should be twice this probability. See footnote in preceding table for further details, and see the paper for a plot showing allowed regions.

160 ATHANASSOPOULOS 95 error corresponds to the  $1.6\sigma$  band in the plot. The expected background is  $2.7 \pm 0.4$  events. Corresponds to an oscillation probability of  $(0.34^{+0.20}_{-0.18} \pm 0.07)\%$ . For a different interpretation, see HILL 95. Replaced by ATHANASSOPOULOS 96.

161 HILL 95 is a report by one member of the LSND Collaboration, reporting a different conclusion from the analysis of the data of this experiment (see ATHANASSOPOULOS 95). Contrary to the rest of the LSND Collaboration, Hill finds no evidence for the neutrino oscillation  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  and obtains only upper limits.

### $\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ( $\nu_\mu(\bar{\nu}_\mu) \rightarrow \nu_e(\bar{\nu}_e)$ )

VALUE ( $eV^2$ )	CL%	DOCUMENT ID	TECN	COMMENT
<b>&lt;0.075</b>	90	BORODOV... 92	CNTR	BNL E776
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<1.6	90	162 ROMOSAN 97	CCFR	FNAL

162 ROMOSAN 97 uses wideband beam with a 0.5 km decay region.

### $\sin^2(2\theta)$ for "Large" $\Delta(m^2)$ ( $\nu_\mu(\bar{\nu}_\mu) \rightarrow \nu_e(\bar{\nu}_e)$ )

VALUE (units $10^{-3}$ )	CL%	DOCUMENT ID	TECN	COMMENT
<b>&lt;1.8</b>	90	163 ROMOSAN 97	CCFR	FNAL
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<3.8	90	164 MCFARLAND 95	CCFR	FNAL
<3	90	BORODOV... 92	CNTR	BNL E776

<sup>163</sup> ROMOSAN 97 uses wideband beam with a 0.5 km decay region.

<sup>164</sup> MCFARLAND 95 state that "This result is the most stringent to date for  $250 < \Delta(m^2) < 450 \text{ eV}^2$  and also excludes at 90%CL much of the high  $\Delta(m^2)$  region favored by the recent LSND observation." See ATHANASSOPOULOS 95 and ATHANASSOPOULOS 96.

### $\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ( $\bar{\nu}_e \nrightarrow \bar{\nu}_e$ )

VALUE (eV <sup>2</sup> )	CL%	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

<0.01	90	<sup>165</sup> ACHKAR	95	CNTR Bugey reactor
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<sup>165</sup> ACHKAR 95 bound is for  $L=15, 40,$  and  $95 \text{ m}$ .

### $\sin^2(2\theta)$ for "Large" $\Delta(m^2)$ ( $\bar{\nu}_e \nrightarrow \bar{\nu}_e$ )

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

<0.02	90	<sup>166</sup> ACHKAR	95	CNTR For $\Delta(m^2) = 0.6 \text{ eV}^2$
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<sup>166</sup> ACHKAR 95 bound is from data for  $L=15, 40,$  and  $95 \text{ m}$  distance from the Bugey reactor.

## ———— Sterile neutrino limits from atmospheric neutrino studies ————

### $\Delta(m^2)$ for $\sin^2(2\theta) = 1$ ( $\nu_\mu \rightarrow \nu_s$ )

$\nu_s$  means  $\nu_\tau$  or any sterile (noninteracting)  $\nu$ .

VALUE ( $10^{-5} \text{ eV}^2$ )	CL%	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

<3000 (or <550)	90	<sup>167</sup> OYAMA	89	KAMI Water Cherenkov
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< 4.2 or > 54.	90	BIONTA	88	IMB Flux has $\nu_\mu, \bar{\nu}_\mu, \nu_e,$ and $\bar{\nu}_e$
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<sup>167</sup> OYAMA 89 gives a range of limits, depending on assumptions in their analysis. They argue that the region  $\Delta(m^2) = (100-1000) \times 10^{-5} \text{ eV}^2$  is not ruled out by any data for large mixing.

### Search for $\nu_\mu \rightarrow \nu_s$

VALUE	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

<sup>168</sup> AMBROSIO	01	MCRO	matter effects
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<sup>169</sup> FUKUDA	00	SKAM	neutral currents + matter effects
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<sup>168</sup> AMBROSIO 01 tested the pure 2-flavor  $\nu_\mu \rightarrow \nu_s$  hypothesis using matter effects which change the shape of the zenith-angle distribution of upward through-going muons. With maximum mixing and  $\Delta(m^2)$  around  $0.0024 \text{ eV}^2$ , the  $\nu_\mu \rightarrow \nu_s$  oscillation is disfavored with 99% confidence level with respect to the  $\nu_\mu \rightarrow \nu_\tau$  hypothesis.

<sup>169</sup> FUKUDA 00 tested the pure 2-flavor  $\nu_\mu \rightarrow \nu_s$  hypothesis using three complementary atmospheric-neutrino data samples. With this hypothesis, zenith-angle distributions are expected to show characteristic behavior due to neutral currents and matter effects. In the  $\Delta(m^2)$  and  $\sin^2 2\theta$  region preferred by the Super-Kamiokande data, the  $\nu_\mu \rightarrow \nu_s$  hypothesis is rejected at the 99% confidence level, while the  $\nu_\mu \rightarrow \nu_\tau$  hypothesis consistently fits all of the data sample.

## ———— CPT tests ————

$$\langle \Delta m_{21}^2 - \Delta \bar{m}_{21}^2 \rangle$$

VALUE ( $10^{-4} \text{ eV}^2$ )	CL%	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

<1.1	99.7	<sup>170</sup> DEGOUVEA	05	FIT solar vs. reactor
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<sup>170</sup>DEGOUVEA 05 obtained this bound at the  $3\sigma$  CL from the KamLAND (ARAKI 05) and solar neutrino data.

## REFERENCES FOR Neutrino Mixing

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AHN	06A	PR D74 072003	M.H. Ahn <i>et al.</i>	(K2K Collab.)
BALATA	06	EPJ C47 21	M. Balata <i>et al.</i>	(Borexino Collab.)
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