CP VIOLATION IN K_L DECAYS

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The symmetries C (particle-antiparticle interchange) and P (space inversion) hold for strong and electromagnetic interactions. After the discovery of large C and P violation in the weak interactions, it appeared that the product CP was a good symmetry. In 1964 CP violation was observed in K^0 decays at a level given by the parameter $\epsilon \approx 2.3 \times 10^{-3}$.

A unified treatment of CP violation in K, D, B, and B_s mesons is given in "CP Violation in Meson Decays" by D. Kirkby and Y. Nir in this *Review*. A recent book by K. Kleinknecht [1] gives a more detailed review including a thorough discussion of the experimental techniques used to determine CP violation parameters. Here we give a concise summary of the formalism needed to define the parameters of CP violation in K_L decays and a description of our fits for the best values of these parameters.

1. Formalism for CP violation in Kaon decay:

CP violation has been observed in the semi-leptonic decays $K^0_L \rightarrow \pi^{\mp}\ell^{\pm}\nu$ and in the nonleptonic decay $K^0_L \rightarrow 2\pi$. The experimental numbers that have been measured are

$$\delta_L = \frac{\Gamma(K^0_L \rightarrow \pi^- \ell^+ \nu) - \Gamma(K^0_L \rightarrow \pi^+ \ell^- \nu)}{\Gamma(K^0_L \rightarrow \pi^- \ell^+ \nu) + \Gamma(K^0_L \rightarrow \pi^+ \ell^- \nu)} \qquad (1a)$$

$$\begin{aligned} \eta_{+-} &= A(K^0_L \rightarrow \pi^+\pi^-)/A(K^0_S \rightarrow \pi^+\pi^-) \\ &= |\eta_{+-}|\, e^{i\phi_{+-}} \qquad (1b) \end{aligned}$$

$$\begin{aligned} \eta_{00} &= A(K^0_L \rightarrow \pi^0\pi^0)/A(K^0_S \rightarrow \pi^0\pi^0) \\ &= |\eta_{00}|\, e^{i\phi_{00}} \ . \qquad (1c) \end{aligned}$$

CP violation can occur either in the $K^0 - \overline{K}^0$ mixing or in the decay amplitudes. Assuming CPT invariance, the mass eigenstates of the K^0–$\overline{K}^0$ system can be written

$$|K_S\rangle = p|K^0\rangle + q|\overline{K}^0\rangle \ , \qquad |K_L\rangle = p|K^0\rangle - q|\overline{K}^0\rangle \ . \qquad (2)$$

If CP invariance held, we would have $q = p$ so that K_S would be CP even and K_L CP odd. (We define $|\overline{K}^0\rangle$ as CP $|K^0\rangle$).

CP violation in K^0–$\overline{K}^0$ mixing is then given by the parameter $\widetilde{\epsilon}$ where

$$\frac{p}{q} = \frac{(1+\widetilde{\epsilon})}{(1-\widetilde{\epsilon})} \ . \tag{3}$$

CP violation can also occur in the decay amplitudes

$$A(K^0 \to \pi\pi(I)) = A_I e^{i\delta_I} \ , \qquad A(\overline{K}^0 \to \pi\pi(I)) = A_I^* e^{i\delta_I} \ , \tag{4}$$

where I is the isospin of $\pi\pi$, δ_I is the final-state phase shift, and A_I would be real if CP invariance held. The CP-violating observables are usually expressed in terms of ϵ and ϵ' defined by

$$\eta_{+-} = \epsilon + \epsilon' \ , \qquad \eta_{00} = \epsilon - 2\epsilon' \ , \tag{5a}$$

One can then show [2]

$$\epsilon = \widetilde{\epsilon} + i \ (\text{Im}\ A_0/\text{Re}\ A_0) \ , \tag{5b}$$

$$\sqrt{2}\epsilon' = ie^{i(\delta_2-\delta_0)}(\text{Re}\,A_2/\text{Re}\,A_0) \ (\text{Im}\,A_2/\text{Re}\,A_2 - \text{Im}\,A_0/\text{Re}\,A_0) \ , \tag{5c}$$

$$\delta_L = 2\text{Re}\ \epsilon/(1+|\epsilon|^2) \approx 2\text{Re}\ \epsilon \ . \tag{5d}$$

In Eqs. (5a) small corrections of order $\epsilon' \times \text{Re}\ (A_2/A_0)$ are neglected and Eq. (5d) assumes the $\Delta S = \Delta Q$ rule.

The quantities Im A_0, Im A_2, and Im $\widetilde{\epsilon}$ depend on the choice of phase convention since one can change the phases of K^0 and $\overline{K}^0$ by a transformation of the strange quark state $|s\rangle \to |s\rangle\ e^{i\alpha}$; of course, observables are unchanged. It is possible by a choice of phase convention to set $\text{Im}\,A_0$ or $\text{Im}\,A_2$ or $\text{Im}\,\widetilde{\epsilon}$ to zero, but none of these is zero with the usual phase conventions in the Standard Model. The choice $\text{Im}\,A_0 = 0$ is called the Wu-Yang phase convention [3] in which case $\epsilon = \widetilde{\epsilon}$. The value of ϵ' is independent of phase convention and a nonzero value demonstrates CP violation in the decay amplitudes, referred to as direct CP violation. The possibility that direct CP violation is essentially zero and that CP violation occurs only in the mixing matrix was referred to as the superweak theory [4].

By applying CPT invariance and unitarity the phase of ϵ is given approximately by

$$\phi_\epsilon \ \approx \ \tan^{-1} \frac{2(m_{K_L} - m_{K_S})}{\Gamma_{K_S} - \Gamma_{K_L}} \approx 43.51 \pm 0.05^\circ \tag{6a}$$

while Eq. (5c) gives the phase of ϵ' to be

$$\phi_{\epsilon'} = \delta_2 - \delta_0 + \frac{\pi}{2} \approx 48 \pm 4^\circ \ , \tag{6b}$$

where the numerical value is based on an analysis of π–π scattering [5]. The approximation in Eq. (6a) depends on the assumption that direct CP violation is very small in all K^0 decays. This is expected to be good to a few tenths of a degree as indicated by the small value of ϵ' and of η_{+-0}, the CP-violation parameter in the decay $K_S \to \pi^+\pi^-\pi^0$ [6], although limits on η_{000} are still poor. The relation in Eq. (6a) is exact in the superweak theory so this is sometimes called the superweak phase $\phi_{\rm SW}$. An important point for the analysis is that $\cos(\phi_{\epsilon'}–\phi_\epsilon) \simeq 1$. The consequence is that only two real quantities need be measured, the magnitude of ϵ and the value of (ϵ'/ϵ) including its sign. The measured quantity $|\eta_{00}/\eta_{+-}|^2$, which is very close to unity so that we can write

$$|\eta_{00}/\eta_{+-}|^2 \approx 1 - 6{\rm Re}\ (\epsilon'/\epsilon) \approx 1 - 6\epsilon'/\epsilon \ . \tag{7a}$$

$$\mathrm{Re}(\epsilon'/\epsilon) \approx \tfrac{1}{3}(1 - |\eta_{00}/\eta_{+-}|) \ . \tag{7b}$$

From the experimental measurements in this Edition of the *Review of Particle Physics* and the fits discussed in the next section, one finds

$$|\epsilon| = (2.284 \pm 0.014) \times 10^{-3} \ , \tag{8a}$$

$$\phi_\epsilon = (43.5 \pm 0.7)^\circ \ , \tag{8b}$$

$$\mathrm{Re}(\epsilon'/\epsilon) \approx \epsilon'/\epsilon = (1.67 \pm 0.26) \times 10^{-3} \ , \tag{8c}$$

$$\phi_{+-} = (43.4 \pm 0.7)^\circ \ , \tag{8d}$$

$$\phi_{00}–\phi_{+-} = (0.2 \pm 0.4)^\circ \ , \tag{8e}$$

$$\delta_L = (3.27 \pm 0.12) \times 10^{-3} \ . \tag{8f}$$

Direct CP violation, as indicated by ϵ'/ϵ, is expected in the Standard Model. However the numerical value cannot be reliably predicted because of theoretical uncertainties [7]. The value of δ_L agrees with Eq. (5d). The values of ϕ_{+-} and $\phi_{00} - \phi_{+-}$ are used to set limits on CPT violation. [See Tests of Conservation Laws.]

2. Fits for K_L^0 CP-violation parameters:

In recent years, K_L^0 CP-violation experiments have improved our knowledge of CP-violation parameters and their consistency with the expectations of CPT invariance and unitarity. To determine the best values of the CP-violation parameters in $K_L^0 \to \pi^+\pi^-$ and $\pi^0\pi^0$ decay, we make two types of fits, one for the phases ϕ_{+-} and ϕ_{00} jointly with Δm and τ_S, and the other for the amplitudes $|\eta_{+-}|$ and $|\eta_{00}|$ jointly with the $K_L^0 \to \pi\pi$ branching fractions.

Fits to ϕ_{+-}, ϕ_{00}, $\Delta\phi$, Δm, and τ_S data: These are joint fits to the data on ϕ_{+-}, ϕ_{00}, the phase difference $\Delta\phi = \phi_{00} - \phi_{+-}$, the $K_L^0 - K_S^0$ mass difference Δm, and the K_S^0 mean life τ_S, including the effects of correlations.

Measurements of ϕ_{+-} and ϕ_{00} are highly correlated with Δm and τ_S. Some measurements of τ_S are correlated with Δm. The correlations are given in the footnotes of the ϕ_{+-} and ϕ_{00} sections of the K_L^0 Particle Listings and the τ_S section of the K_S^0 Particle listings.

In most cases, the correlations are quoted as 100%, *i.e.*with the value and error of ϕ_{+-} or ϕ_{00} given at a fixed value of Δm and τ_S with additional terms specifying the dependence of the value on Δm and τ_S. These cases lead to diagonal bands in Figs. [1] and [2]. The KTeV experiment [8] quotes its results as values of ϕ_{+-}, Δm, and τ_S with correlations, leading to the ellipses labeled "b".

The data on τ_S, Δm, and ϕ_{+-} shown in Figs. [1] and [2]. are combined with data on ϕ_{00} and $\phi_{00} - \phi_{+-}$ in two fits, one without assuming CPT and the other with this assumption. The results without assuming CPT are shown as ellipses labeled "a". These ellipses are seen to be in good agreement with the superweak phase

$$\phi_{\rm SW} = \tan^{-1}\left(\frac{2\Delta m}{\Delta\Gamma}\right) = \tan^{-1}\left(\frac{2\Delta m \tau_S \tau_L}{\hbar(\tau_L - \tau_S)}\right) \ . \qquad (9)$$

In Figs. [1] and [2], $\phi_{\rm SW}$ is shown as narrow bands labeled "j".

Table 2 column 2, "Fit w/o CPT," gives the resulting fitted parameters, while Table 3 gives the correlation matrix for this

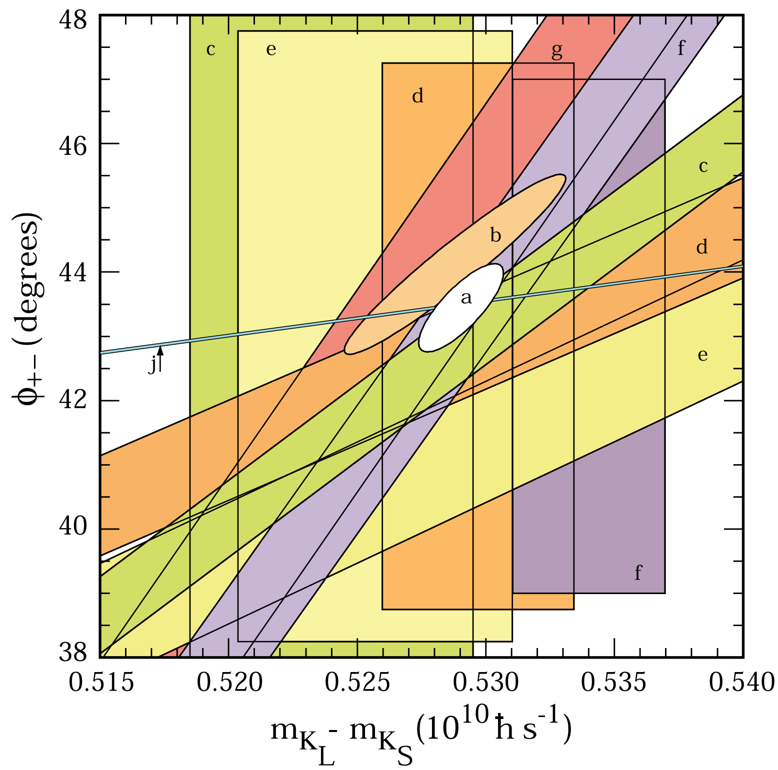

Figure 1: ϕ_{+-} vs Δm for experiments which do not assume CPT invariance. Δm measurements appear as vertical bands spanning $\Delta m \pm 1\sigma$, cut near the top and bottom to aid the eye. Most ϕ_{+-} measurements appear as diagonal bands spanning $\phi_{+-} \pm \sigma_\phi$. Data are labeled by letters: "b"–FNAL KTeV, "c"–CERN CPLEAR, "d"–FNAL E773, "e"–FNAL E731, "f"–CERN, "g"–CERN NA31, and are cited in Table 1. The narrow band "j" shows $\phi_{\rm SW}$. The ellipse "a" shows the $\chi^2 = 1$ contour of the fit result. See full-color version on color pages at end of book.

fit. The white ellipses labeled "a" in Fig. 1 and Fig. 2 are the $\chi^2 = 1$ contours for this fit.

For experiments which have dependencies on unseen fit parameters, that is, parameters other than those shown on the x or y axis of the figure, their band positions are evaluated using the fit results and their band widths include the fitted uncertainty in the unseen parameters. This is also true for the $\phi_{\rm SW}$ bands.

If CPT invariance and unitarity are assumed, then by Eq. (6a), the phase of ϵ is constrained to be approximately

Table 1: References, Document ID's, and sources corresponding to the letter labels in the figures. The data are given in the ϕ_{+-} and Δm sections of the K_L Particle Listings, and the τ_S section of the K_S Particle Listings.

Label	Source	PDG Document ID	Ref.
a	this review	OUR FIT	
b	FNAL KTeV	ALAVI-HARATI 03	[8]
c	CERN CPLEAR	APOSTOLAKIS 99C	[9]
d	FNAL E773	SCHWINGENHEUER 95	[10]
e	FNAL E731	GIBBONS 93,93C	[11,12]
f	CERN	GEWENIGER 74B,74C	[13,14]
g	CERN NA31	CAROSI 90	[15]
h	CERN NA48	LAI 02C	[16]
i	CERN NA31	BERTANZA 97	[17]
j	this review	SUPERWEAK 04	

equal to

$$\phi_{\rm SW} = (43.507 \pm 0.0004)^\circ + 54(\Delta m - 0.5290)^\circ + 32(\tau_S - 0.8958) \tag{10}$$

where we have linearized the Δm and τ_S dependence of Eq. (9). The error ± 0.0004 is due to the uncertainty in τ_L. Here Δm has units $10^{10}\,\hbar\,{\rm s}^{-1}$ and τ_S has units 10^{-10} s.

If in addition we use the observation that $Re(\epsilon'/\epsilon) \ll 1$ and $cos(\phi_{\epsilon'} - \phi_\epsilon) \simeq 1$, as well as the numerical value of $\phi_{\epsilon'} - \phi_\epsilon$ given in Eq. (6*b*), then Eqs. (5a), which are sketched in Fig. 3, lead to the constraint

$$\begin{aligned} \phi_{00} - \phi_{+-} &\approx -3\ {\rm Im}\left(\frac{\epsilon'}{\epsilon}\right) \\ &\approx -3\ {\rm Re}\left(\frac{\epsilon'}{\epsilon}\right) \tan(\phi_{\epsilon'} - \phi_\epsilon) \\ &\approx -0.023^\circ \pm 0.020^\circ \end{aligned} \tag{11}$$

so that $\phi_{+-} \approx \phi_{00} \approx \phi_\epsilon \approx \phi_{\rm SW}$.

In the fit assuming CPT we constrain $\phi_\epsilon = \phi_{\rm SW}$ using the linear expression in Eq. (10) and constrain $\phi_{00} - \phi_{+-}$ using Eq. (11). These constraints are inserted into the Data Listings

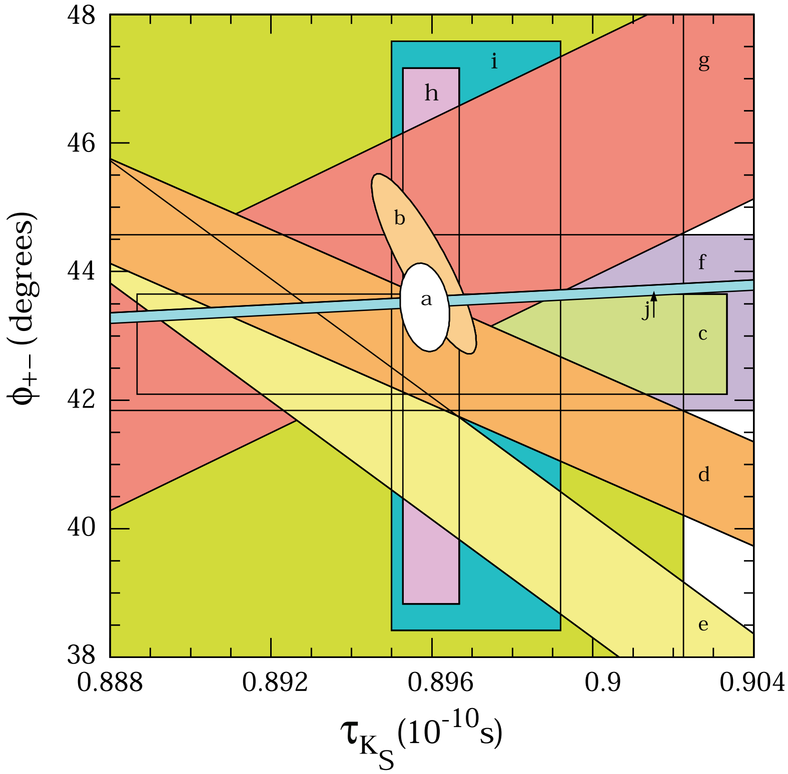

Figure 2: ϕ_{+-} vs τ_S. τ_S measurements appear as vertical bands spanning $\tau_S \pm 1\sigma$, some of which are cut near the top and bottom to aid the eye. Most ϕ_{+-} measurements appear as diagonal or horizontal bands spanning $\phi_{+-} \pm \sigma_\phi$. Data are labeled by letters: "b"–FNAL KTeV, "c"–CERN CPLEAR, "d"–FNAL E773, "e"–FNAL E731, "f"–CERN, "g"–CERN NA31, "h"–CERN NA48, "i"–CERN NA31, and are cited in Table 1. The narrow band "j" shows $\phi_{\rm SW}$. The ellipse "a" shows the fit result's $\chi^2 = 1$ contour. Color version at end of book.

with the Document ID of SUPERWEAK 04. Some additional data for which the authors assumed CPT are added to this fit or substitute for other less precise data for which the authors did not make this assumption. See the data listings for details.

The results of this fit are shown in Table 2, column 3, "Fit w/CPT," and the correlation matrix is shown in Table 4. The Δm precision is improved by the CPT assumption.

Fits for ϵ'/ϵ, $|\eta_{+-}|$, $|\eta_{00}|$, and B($K_L \to \pi\pi$)

We list measurements of $|\eta_{+-}|$, $|\eta_{00}|$, $|\eta_{00}/\eta_{+-}|$ and ϵ'/ϵ. Independent information on $|\eta_{+-}|$ and $|\eta_{00}|$ can be obtained

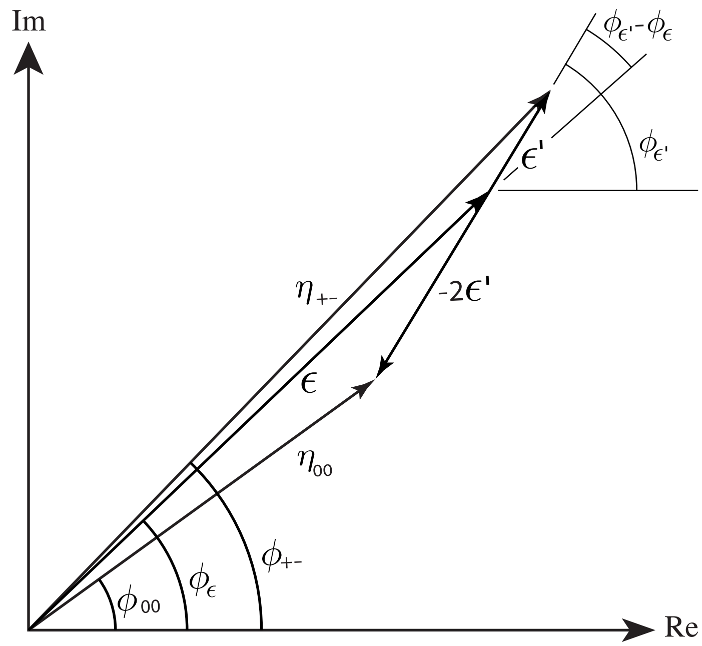

Figure 3: Sketch of Eqs. (5a). Not to scale.

Table 2: Fit results for ϕ_{+-}, Δm, τ_S, ϕ_{00}, $\Delta\phi = \phi_{00} - \phi_{+-}$, and ϕ_ϵ without and with the CPT assumption.

Quantity(units)	Fit w/o CPT	Fit w/ CPT
$\phi_{+-}(^\circ)$	43.4 ± 0.7 (S=1.3)	43.52 ± 0.06 (S=1.3)
$\Delta m(10^{10}\hbar\ \mathrm{s}^{-1})$	0.5290 ± 0.0016 (S=1.2)	0.5292 ± 0.0010 (S=1.2)
$\tau_S(10^{-10}\mathrm{s})$	0.8958 ± 0.0006 (S=1.2)	0.8953 ± 0.0006 (S=1.4)
$\phi_{00}(^\circ)$	43.7 ± 0.8 (S=1.2)	43.50 ± 0.06 (S=1.3)
$\Delta\phi(^\circ)$	0.2 ± 0.4	-0.022 ± 0.041 (S=2.1)
$\phi_\epsilon(^\circ)$	43.5 ± 0.7 (S=1.3)	43.51 ± 0.05 (S=1.2)
χ^2	17.3	21.8
No. Deg. Freedom	13	17

from measurements of the K_L^0 and K_S^0 lifetimes (τ_L, τ_S) and branching ratios (B) to $\pi\pi$, using the relations

$$|\eta_{+-}| = \left[\frac{\mathrm{B}(K_L^0 \to \pi^+\pi^-)}{\tau_L}\ \frac{\tau_S}{\mathrm{B}(K_S^0 \to \pi^+\pi^-)}\right]^{1/2} , \qquad (12a)$$

$$|\eta_{00}| = \left[\frac{\mathrm{B}(K_L^0 \to \pi^0\pi^0)}{\tau_L}\ \frac{\tau_S}{\mathrm{B}(K_S^0 \to \pi^0\pi^0)}\right]^{1/2} . \qquad (12b)$$

Table 3: Correlation matrix for the results of the fit without the CPT assumption

	ϕ_{+-}	Δm	τ_S	ϕ_{00}	$\Delta\phi$	ϕ_ϵ
ϕ_{+-}	1.00	0.79	−0.19	0.85	0.00	0.98
Δm	0.79	1.00	−0.16	0.69	0.03	0.78
τ_S	−0.19	−0.16	1.00	−0.15	0.01	−0.18
ϕ_{00}	0.85	0.69	−0.15	1.00	0.53	0.94
$\Delta\phi$	0.00	0.03	0.01	0.53	1.00	0.21
ϕ_ϵ	0.98	0.78	−0.18	0.94	0.21	1.00

Table 4: Correlation matrix for the results of the fit with the CPT assumption

	ϕ_{+-}	Δm	τ_S	ϕ_{00}	$\Delta\phi$	ϕ_ϵ
ϕ_{+-}	1.00	0.92	0.32	0.76	−0.26	0.97
Δm	0.92	1.00	0.00	0.84	−0.02	0.94
τ_S	0.32	0.00	1.00	0.30	0.01	0.33
ϕ_{00}	0.76	0.84	0.30	1.00	0.44	0.89
$\Delta\phi$	−0.26	−0.02	0.01	0.44	1.00	−0.02
ϕ_ϵ	0.97	0.94	0.33	0.89	−0.02	1.00

For historical reasons the branching ratio fits and the CP-violation fits are done separately, but we want to include the influence of $|\eta_{+-}|$, $|\eta_{00}|$, $|\eta_{00}/\eta_{+-}|$, and ϵ'/ϵ measurements on $\mathrm{B}(K_L^0 \to \pi^+\pi^-)$ and $\mathrm{B}(K_L^0 \to \pi^0\pi^0)$ and vice versa. We approximate a global fit to all of these measurements by first performing two independent fits: 1) BRFIT, a fit to the K_L^0 branching ratios, rates, and mean life, and 2) ETAFIT, a fit to the $|\eta_{+-}|$, $|\eta_{00}|$, $|\eta_{+-}/\eta_{00}|$, and ϵ'/ϵ measurements. The results from fit 1, along with the K_S^0 values from this edition are used to compute values of $|\eta_{+-}|$ and $|\eta_{00}|$ which are included as measurements in the $|\eta_{00}|$ and $|\eta_{+-}|$ sections with a document ID of BRFIT 04. Thus the fit values of $|\eta_{+-}|$ and $|\eta_{00}|$ given in this edition include both the direct measurements and the results from the branching ratio fit.

The process is reversed in order to include the direct $|\eta|$ measurements in the branching ratio fit. The results from fit 2 above (before including BRFIT 04 values) are used along with the K_L^0 and K_S^0 mean lives and the $K_S^0 \to \pi\pi$ branching fractions to compute the K_L^0 branching ratios $\Gamma(K_L^0 \to \pi^+\pi^-)/\Gamma(\text{total})$ and $\Gamma(K_L^0 \to \pi^0\pi^0)/\Gamma(K_L^0 \to \pi^+\pi^-)$. These branching ratio values are included as measurements in the branching ratio section with a document ID of ETAFIT 04. Thus the K_L^0 branching ratio fit values in this edition include the results of direct measurements of $|\eta_{+-}|$, $|\eta_{00}|$, $|\eta_{00}/\eta_{+-}|$, and ϵ'/ϵ. A more detailed discussion of these fits is given in the 1990 edition of this *Review* [18].

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