16. BIG-BANG NUCLEOSYNTHESIS

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Big-Bang nucleosynthesis (BBN) offers the deepest reliable probe of the early universe, being based on well-understood Standard Model physics [1]. Predictions of the abundances of the light elements, D, ^{3}He, ^{4}He, and ^{7}Li, synthesized at the end of the "first three minutes" are in good overall agreement with the primordial abundances inferred from observational data, thus validating the standard hot Big-Bang cosmology (see [2–6] for reviews). This is particularly impressive given that these abundances span nine orders of magnitude — from $^4\mathrm{He/H} \sim 0.08$ down to $^7\mathrm{Li/H} \sim 10^{-10}$ (ratios by number). Thus BBN provides powerful constraints on possible deviations from the standard cosmology [3], and on new physics beyond the Standard Model [4].

16.1. Big-Bang nucleosynthesis theory

The synthesis of the light elements is sensitive to physical conditions in the early radiation-dominated era at temperatures $T \lesssim 1$ MeV, corresponding to an age $\gtrsim 1$ s. At higher temperatures, weak interactions were in thermal equilibrium, thus fixing the ratio of the neutron and proton number densities to be $n/p = \mathrm{e}^{-Q/T}$, where $Q = 1.293$ MeV is the neutron-proton mass difference. As the temperature dropped, the neutron-proton inter-conversion rate, $\Gamma_{n\leftrightarrow p} \sim G_{\mathrm{F}}^2 T^5$, fell faster than the Hubble expansion rate, $H \sim \sqrt{g_* G_{\mathrm{N}}}\, T^2$, where g_* counts the number of relativistic particle species determining the energy density in radiation. This resulted in breaking of chemical equilibrium ("freeze-out") at $T_{\mathrm{fr}} \sim (g_* G_{\mathrm{N}}/G_F^4)^{1/6} \simeq 1$ MeV. The neutron fraction at this time, $n/p = \mathrm{e}^{-Q/T_{\mathrm{fr}}} \simeq 1/6$ is thus sensitive to every known physical interaction, since Q is determined by both strong and electromagnetic interactions while T_{fr} depends on the weak as well as gravitational interactions. Moreover the sensitivity to the Hubble expansion rate affords a probe of *e.g.*, the number of relativistic neutrino species [7]. After freeze-out the neutrons were free to β-decay so the neutron fraction dropped to $\simeq 1/7$ by the time nuclear reactions began. An useful semi-analytic description of freeze-out has been given [8].

The rates of these reactions depend on the density of baryons (strictly speaking, nucleons), which is usually expressed normalized to the blackbody photon density as $\eta \equiv n_{\mathrm{B}}/n_\gamma$. As we shall see, all the light-element abundances can be explained with $\eta_{10} \equiv \eta/10^{-10}$ in the range 2.6–6.2. Equivalently, this can be stated as the allowed range for the baryon mass density today, $\rho_{\mathrm{B}} = (1.8\text{–}4.3) \times 10^{-31}\ \mathrm{g\,cm^{-3}}$, or as the baryonic fraction of the critical density: $\Omega_{\mathrm{B}} = \rho_{\mathrm{B}}/\rho_{\mathrm{crit}} \simeq \eta_{10} h^{-2}/274 = (0.0095\text{–}0.023) h^{-2}$, where $h \equiv H_0/100\ \mathrm{km\,s^{-1}\,Mpc^{-1}}$ is the present Hubble parameter.

The nucleosynthesis chain begins with the formation of deuterium in the process $p(n,\gamma)\mathrm{D}$. However, photo-dissociation by the high number density of photons delays production of deuterium (and other complex nuclei) until well after T drops below the binding energy of deuterium, $\Delta_{\mathrm{D}} = 2.23$ MeV. The quantity $\eta^{-1}\mathrm{e}^{-\Delta_{\mathrm{D}}/T}$, *i.e.*, the number of photons per baryon above the deuterium photo-dissociation threshold, falls below unity at $T \simeq 0.1$ MeV; nuclei can then begin to form without being immediately

photo-dissociated again. Only 2-body reactions such as $\mathrm{D}(p,\gamma)^3\mathrm{He}$, $^3\mathrm{He}(\mathrm{D},p)^4\mathrm{He}$, are important because the density is rather low at this time—about the density of water!

Nearly all the surviving neutrons when nucleosynthesis begins end up bound in the most stable light element ^{4}He. Heavier nuclei do not form in any significant quantity both because of the absence of stable nuclei with mass number 5 or 8 (which impedes nucleosynthesis via n^4He, p^4He or ^{4}He^{4}He reactions) and the large Coulomb barriers for reactions such as $\mathrm{T}(^4\mathrm{He},\gamma)^7\mathrm{Li}$ and $^3\mathrm{He}(^4\mathrm{He},\gamma)^7\mathrm{Be}$. Hence the primordial mass fraction of ^{4}He, conventionally referred to as Y_p, can be estimated by the simple counting argument

$$Y_\mathrm{p} = \frac{2(n/p)}{1+n/p} \simeq 0.25\ . \tag{16.1}$$

There is little sensitivity here to the actual nuclear reaction rates, which are however important in determining the other "left-over" abundances: D and ^{3}He at the level of a few times 10^{-5} by number relative to H, and ^{7}Li/H at the level of about 10^{-10} (when η_{10} is in the range 1–10). These values can be understood in terms of approximate analytic arguments [9]. The experimental parameter most important in determining Y_p is the neutron lifetime, τ_n, which normalizes (the inverse of) $\Gamma_{n\leftrightarrow p}$. (This is not fully determined by G_F alone since neutrons and protons also have strong interactions, the effects of which cannot be calculated very precisely.) The experimental uncertainty in τ_n used to be a source of concern but has recently been reduced substantially: $\tau_n = 885.7 \pm 0.8$ s.

The elemental abundances, calculated using the (publicly available [10]) Wagoner code [1,11], are shown in Fig. 16.1 as a function of η_{10}. The ^{4}He curve includes small corrections due to radiative processes at zero and finite temperature [12], non-equilibrium neutrino heating during $e^\pm$ annihilation [13], and finite nucleon mass effects [14]; the range reflects primarily the 1σ uncertainty in the neutron lifetime. The spread in the curves for D, ^{3}He and ^{7}Li corresponds to the 1σ uncertainties in nuclear cross sections estimated by Monte Carlo methods [15–18]. Recently the input nuclear data have been carefully reassessed [19–20], leading to improved precision in the abundance predictions. Polynomial fits to the predicted abundances and the error correlation matrix have been given [18,21]. The boxes in Fig. 16.1 show the observationally inferred primordial abundances with their associated uncertainties, as discussed below.

16.2. Light element observations

BBN theory predicts the universal abundances of D, ^{3}He, ^{4}He, and ^{7}Li, which are essentially determined by $t \sim 180$ s. Abundances are however observed at much later epochs, after stellar nucleosynthesis has already commenced. The ejected remains of this stellar processing can alter the light element abundances from their primordial values, but also produce heavy elements such as C, N, O, and Fe ("metals"). Thus one seeks astrophysical sites with low metal abundances, in order to measure light element abundances which are closer to primordial.

We observe ^{4}He in clouds of ionized hydrogen (H II regions), the most metal-poor of which are in dwarf blue compact galaxies. There is now a large body of data on ^{4}He and

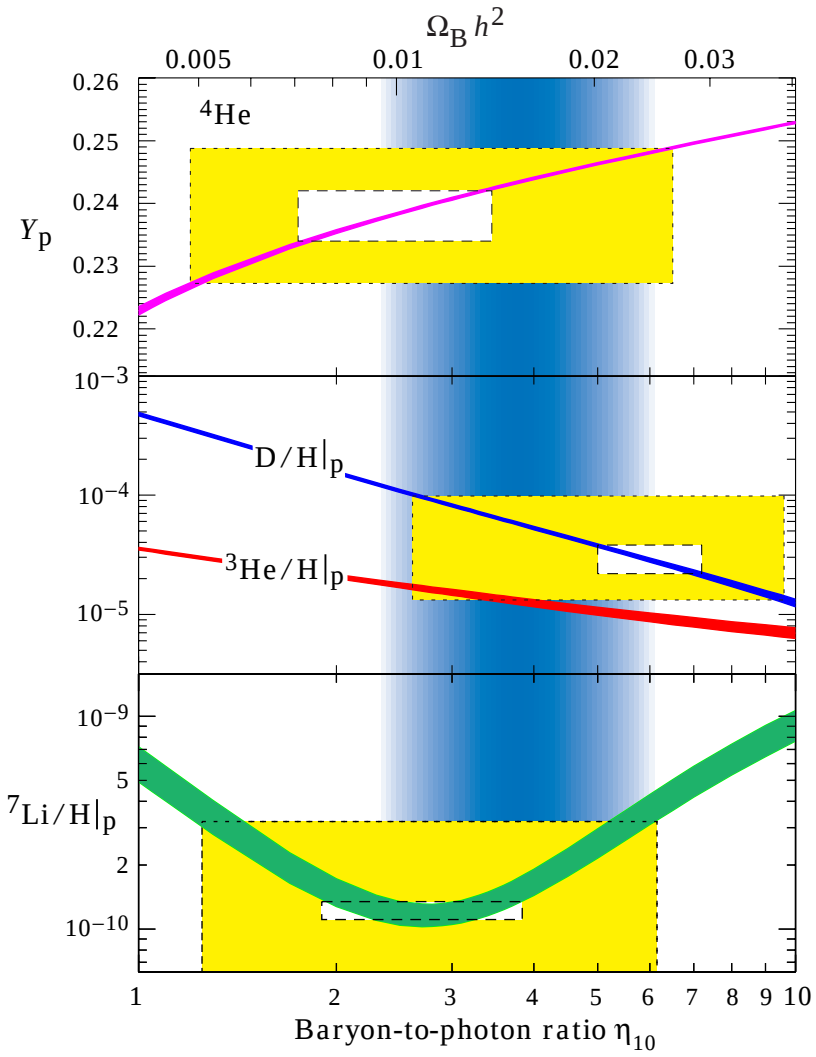

Figure 16.1: The primordial abundances of ^{4}He, D, ^{3}He and ^{7}Li as predicted by the standard model of Big-Bang nucleosynthesis. Boxes indicate the observed light element abundances (smaller boxes: 2σ statistical errors; larger boxes: $\pm 2\sigma$ statistical and systematic errors added in quadrature). See text for details.

CNO in these systems [22–23]. These data confirm that the small stellar contribution to helium is positively correlated with metal production. Extrapolating to zero metallicity gives the primordial ^{4}He abundance [24]

$$Y_p = 0.238 \pm 0.002 \pm 0.005 \ . \tag{16.2}$$

Here and throughout, the first error is statistical, and the second is an estimate of the systematic uncertainty. The latter clearly dominates, and is based on the scatter in

different analyses of the physical properties of the H II regions [22–23,25–26]. Other extrapolations to zero metallicity give $Y_{\rm p} = 0.244 \pm 0.002$ [23], and $Y_{\rm p} = 0.235 \pm 0.003$ [27]. The value (and systematic error) in Eq. (16.2) is consistent with all these determinations and is shown in Fig. 16.1.

The systems best suited for Li observations are hot, metal-poor stars belonging to the halo population (Pop II) of our Galaxy. Observations have long shown [28–29] that Li does not vary significantly in such stars having metallicities $\lesssim 1/30$ of solar — the "Spite plateau" [28]. Recent precision data suggest a small but significant correlation between Li and Fe [30] which can be understood as the result of Li production from Galactic cosmic rays [31–32]. Extrapolating to zero metallicity one arrives at a primordial value [33]

$$\mathrm{Li/H}|_{\rm p} = (1.23 \pm 0.06^{+0.68+0.56}_{-0.32}) \times 10^{-10} \ . \tag{16.3}$$

The last error is our estimate of the maximum upward correction necessary to allow for possible destruction of Li in Pop II stars, due to *e.g.*, mixing of the outer layers with the hotter interior [34–35]. Such processes can be constrained by the absence of significant scatter in the Li-Fe correlation plot [29–30], and observations of the fragile isotope ^{6}Li [32].

In recent years, high-resolution spectra have revealed the presence of D in high-redshift, low-metallicity quasar absorption systems (QAS), via its isotope-shifted Lyman-α absorption [36–40]. These are the first measurements of light element abundances at cosmological distances. It is believed that there are no astrophysical sources of deuterium [41], so any measurement of D/H provides a lower limit to primordial D/H and thus an upper limit on η; for example, the local interstellar value of $\mathrm{D/H} = (1.5 \pm 0.1) \times 10^{-5}$ [42] requires that $\eta_{10} \leq 9$. In fact, local interstellar D may have been depleted by a factor of 2 or more due to stellar processing. However, for the high-redshift systems, conventional models of galactic nucleosynthesis (chemical evolution) do not predict significant D/H depletion [43].

The three most precise recent observations of deuterium in QAS give $\mathrm{D/H} = (3.0 \pm 0.4) \times 10^{-5}$ [37], where the error is statistical only. However, there remains concern over systematic errors: other lower values have been reported in different (damped Lyman-α) systems [38–39]; and even the ISM value of D/H now shows unexpected scatter of a factor of 2 [44]. Given these uncertainties, we choose to bracket the observed values with an upper limit set by the non-detection of D absorption in a high-redshift system, $\mathrm{D/H} < 9.7 \times 10^{-5}$ at 2σ [40], and a lower limit set by the local interstellar value [42]. These appear on Fig. 16.1, where it is clear that, despite the observational uncertainties, the steep decrease of D/H with η makes it a sensitive probe of the baryon density. We believe there is good reason for optimism that the observation of D in a larger sample of high-redshift systems, along with a better understanding of local and low-redshift D via the *FUSE* mission, will allow for reduction in systematic errors, and thereby increase the precision with which η can be determined.

Finally, we turn to ^{3}He. Here, the only observations available are in the solar system and (high-metallicity) H II regions in our Galaxy [45]. This makes inference of the primordial abundance difficult, a problem compounded by the fact that stellar

nucleosynthesis models for ^{3}He are in conflict with observations [46]. Consequently, it is no longer appropriate to use ^{3}He as a cosmological probe; instead, one might hope to turn the problem around and constrain stellar astrophysics using the predicted primordial ^{3}He abundance [47].

16.3. Concordance, Dark Matter, and the CMB

We now use the observed light element abundances to assess the theory. We first consider standard BBN, which is based on Standard Model physics alone, so $N_\nu = 3$ and the only free parameter is the baryon-to-photon ratio η. (The implications of BBN for physics beyond the Standard Model will be considered below, §4). Thus, any abundance measurement determines η, while additional measurements overconstrain the theory and thereby provide a consistency check.

First we note that the overlap in the η ranges spanned by the larger boxes in Fig. 16.1 indicates overall concordance. More quantitatively, when we account for theoretical uncertainties as well as the statistical and systematic errors in observations, there is acceptable agreement among the abundances when

$$2.6 \leq \eta_{10} \leq 6.2 \ . \tag{16.4}$$

However the agreement is much less satisfactory if we use only the quoted statistical errors in the observations. In particular, as seen in Fig. 16.1, ^{4}He and ^{7}Li are consistent with each other but favor a value of η which is lower by $\sim 2\sigma$ from that indicated by the D abundance. Additional studies are required to clarify if this discrepancy is real.

Even so, the overall concordance is remarkable: using well-established microphysics we have extrapolated back to an age of ~ 1 s to correctly predict light element abundances spanning 9 orders of magnitude. This is a major success for the standard cosmology, and inspires confidence in extrapolation back to still earlier times.

This concordance provides a measure of the baryon content of the universe. With n_γ fixed by the present CMB temperature (see the Cosmic Background Radiation review), the baryon density is $\Omega_{\rm B} = 3.65 \times 10^{-3} h^{-2} \eta_{10}$, so that

$$0.0095 \leq \Omega_{\rm B} h^2 \leq 0.023 \ \ , \tag{16.5}$$

a result that plays a key role in our understanding of the matter budget of the universe. First we note that $\Omega_{\rm B} \ll 1$, *i.e.*, baryons cannot close the universe. Furthermore, the cosmic density of (optically) luminous matter is $\Omega_{\rm lum} \simeq 0.0024 h^{-1}$ [49], so that $\Omega_{\rm B} \gg \Omega_{\rm lum}$: most baryons are optically dark, probably in the form of a $\sim 10^6$ K x-ray emitting intergalactic medium [50]. Finally, given that $\Omega_{\rm M} \sim 0.3$ (see the Dark Matter and Cosmological Parameters reviews), we infer that most matter in the universe is not only dark but also takes some non-baryonic (more precisely, non-nucleonic) form.

The BBN prediction for the cosmic baryon density can be tested through precision observations of CMB temperature fluctuations (see the Cosmic Background Radiation review). One can determine η from the amplitudes of the acoustic peaks in the CMB angular power spectrum making it possible to compare two measures of η using very

different physics, at two very different epochs [51]. In the standard cosmology, there is no change in η between BBN and CMB decoupling, thus, a comparison of $\eta_{\rm BBN}$ and $\eta_{\rm CMB}$ is a key test. Agreement would endorse the standard picture, and would open the way to sharper understanding of particle physics and astrophysics [48]. Disagreement could point to new physics during or between the BBN and CMB epochs.

As with other cosmological parameter determinations from CMB data, the derived $\eta_{\rm CMB}$ depends on the adopted priors [52–54], in particular the form assumed for the spectrum of primordial density fluctuations [55]. If this is taken to be a scale-free power-law, both the BOOMERanG [56] and DASI [57] data yield $\Omega_{\rm B}h^2 = 0.022^{+0.004}_{-0.003}$. This is consistent with the range quoted in Eq. (16.5), and in particularly good agreement with the value inferred from recent high-redshift D/H measurements [37]. This encouraging comparison will be sharpened further by data from the MAP satellite, and subsequently the Planck Surveyor.

16.4. Beyond the Standard Model

Given the simple physics underlying BBN, it is remarkable that it still provides the most effective test for the cosmological viability of ideas concerning physics beyond the Standard Model. Although baryogenesis and inflation must have occurred at higher temperatures in the early universe, we do not as yet have 'standard models' for these so BBN still marks the boundary between the established and the speculative in Big-Bang cosmology. It might appear possible to push the boundary back to the quark-hadron transition at $T \sim \Lambda_{\rm QCD}$ or electroweak symmetry breaking at $T \sim 1/\sqrt{G_{\rm F}}$; however so far no observable relics of these epochs have been identified, either theoretically or observationally. Thus although the Standard Model provides a precise description of physics up to the Fermi scale, cosmology cannot be traced in detail before the BBN era.

Limits on particle physics beyond the Standard Model come mainly from the observational bounds on the ^{4}He abundance. This is proportional to the n/p ratio which is determined when the weak-interaction rates fall behind the Hubble expansion rate at $T_{\rm fr} \sim 1$ MeV. The presence of additional neutrino flavors (or of any other relativistic species) at this time increases g_*, hence the expansion rate, leading to a larger value of $T_{\rm fr}$, n/p, and therefore $Y_{\rm p}$ [7,58] (see also Big Bang Cosmology Review). In the Standard Model, the number of relativistic particle species at 1 MeV is $g_* = 5.5 + \frac{7}{4}N_\nu$, where 5.5 accounts for photons and $e^\pm$, and N_ν is the number of (massless) neutrino flavors. The helium curves in Fig. 16.1 were computed taking $N_\nu = 3$; the computed abundance scales as $\Delta\, Y_{\rm BBN} \simeq 0.013 \Delta N_\nu$ [8]. Clearly the central value for N_ν from BBN will depend on η, which is independently determined (with little sensitivity to N_ν) by the adopted D or ^{7}Li abundance. For example, if the best value for the observed primordial ^{4}He abundance is 0.238, then, for $\eta_{10} \sim 2$, the central value for N_ν is very close to 3. A maximum likelihood analysis on η and N_ν based on ^{4}He and ^{7}Li [59] finds the (correlated) 95% CL ranges to be $1.7 \leq \eta_{10} \leq 4.3$, and $1.4 \leq N_\nu \leq 4.9$. Consistent results were obtained in another study [60] which presented a simpler method (FastBBN [10]) to extract such bounds based on χ^2 statistics given a set of input abundances. Tighter bounds are obtained if less conservative assumptions are made concerning primordial abundances, *e.g.*, adopting

the 'low' D abundance [37] fixes $\eta_{10} = 5.6 \pm 0.6$ ($\Omega_{\rm B}h^2 = 0.02 \pm 0.002$) at 95% CL, and requires $N_\nu < 3.2$ [61] even if the 'high' ^{4}He abundance [23] is used.

It is clear that just as one can use the measured helium abundance to place limits on g_* [58], any changes in the strong, weak, electromagnetic, or gravitational coupling constants, arising *e.g.*, from the dynamics of new dimensions, can be similarly constrained [63].

The limits on N_ν can be translated into limits on other types of particles or particle masses that would affect the expansion rate of the Universe during nucleosynthesis. For example consider 'sterile' neutrinos with only right-handed interactions of strength $G_{\rm R} < G_{\rm F}$. Such particles would decouple at higher temperature than (left-handed) neutrinos, so their number density ($\propto T^3$) relative to neutrinos would be reduced by any subsequent entropy release, *e.g.*, due to annihilations of massive particles that become non-relativistic in between the two decoupling temperatures. Thus (relativistic) particles with less than full strength weak interactions contribute less to the energy density than particles that remain in equilibrium up to the time of nucleosynthesis [62]. If we impose $N_\nu < 4$ as an illustrative constraint, then the three right-handed neutrinos must have a temperature $3(T_{\nu_{\rm R}}/T_{\nu_{\rm L}})^4 < 1$. Since the temperature of the decoupled $\nu_{\rm R}$'s is determined by entropy conservation (see the Big-Bang Cosmology review), $T_{\nu_{\rm R}}/T_{\nu_{\rm L}} = [(43/4)/g_*(T_{\rm d})]^{1/3} < 0.76$, where $T_{\rm d}$ is the decoupling temperature of the $\nu_{\rm R}$'s. This requires $g_*(T_{\rm d}) > 24$ so decoupling must have occurred at $T_{\rm d} > 140$ MeV. The decoupling temperature is related to $G_{\rm R}$ through $(G_{\rm R}/G_{\rm F})^2 \sim (T_{\rm d}/3\,{\rm MeV})^{-3}$, where 3 MeV is the decoupling temperature for the $\nu_{\rm L}$'s. This yields a limit $G_{\rm R} \lesssim 10^{-2} G_{\rm F}$. This limit is rather sensitive to the assumed upper limit to N_ν; *e.g.*, taking $N_\nu < 3.5$ strengthens it to $G_{\rm R} \lesssim 0.002\ G_{\rm F}$, since $T_{\rm d}$ is now constrained to be larger than the temperature of the quark-hadron transition when a large amount of entropy is released. The above argument sets lower limits on the masses of new Z' gauge bosons in superstring models [64] or in extended technicolor models [65] to which such right-handed neutrinos would be coupled. Similarly a Dirac magnetic moment for neutrinos, which would allow the right-handed states to be produced through scattering and thus increase g_*, can be significantly constrained [66], as can any new interactions for neutrinos which have a similar effect [67]. Right-handed states can be populated directly by helicity-flip scattering if the neutrino mass is large enough and this can be used to used to infer *e.g.*, a bound of $m_{\nu_\tau} \lesssim 1$ MeV taking $N_\nu < 4$ [68]. If there is mixing between active and sterile neutrinos then the physics is more complicated [69].

The limit on the expansion rate during BBN can also be translated into bounds on the mass/lifetime of particles which are non-relativistic during BBN resulting in an even faster speed-up rate; the subsequent decays of such particles will typically also change the entropy, leading to further constraints [70]. Even more stringent constraints come from requiring that the synthesized light element abundances are not excessively altered through photodissociations by the electromagnetic cascades triggered by the decays [71,72], or by the effects of hadrons in the cascades [73]. Such arguments have been applied to *e.g.*, rule out a MeV mass ν_τ which decays during nucleosynthesis [74]; even if the decays are to non-interacting particles (and light neutrinos), bounds can be derived from considering their effects on BBN [75].

Such arguments have proven very effective in constraining supersymmetry. For example if the gravitino is light and contributes to g_*, the BBN limit $N_\nu < 4$ requires its mass to exceed ~ 1 eV [76]. In models where supersymmetry breaking is gravity mediated the gravitino mass is usually much higher, of order the electroweak scale; such gravitinos would be unstable and decay after BBN. The constraints on unstable particles discussed above imply stringent bounds on the allowed abundance of such particles, which in turn impose powerful constraints on supersymmetric inflationary cosmology [72,73]. These can be evaded only if the gravitino is massive enough to decay before BBN, *i.e.*, $m_{3/2} \gtrsim 50$ TeV [77] which would be unnatural, or if it is in fact the lightest supersymmetric particle and thus stable [72,78]. Similar constraints apply to moduli—very weakly coupled fields in supergravity/string models which obtain an electroweak-scale mass from supersymmetry breaking [79].

Finally, we mention that BBN places powerful constraints on the recently suggested possibility that there are new large dimensions in nature, perhaps enabling the scale of quantum gravity to be as low as the electroweak scale [80].

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